

Annexure 1
Application for Registration as a Market Maker
(On the letter head)

Date: _____

To,
The Managing Director
National Stock Exchange of India Ltd.
Exchange Plaza
Bandra-Kurla Complex
Bandra (E)
Mumbai – 400051.

Subject: Application for registration as Market Maker

Dear Sir,

We, _____, the trading member of Capital Market Segment of the National Stock Exchange of India Limited (NSEIL) bearing Securities and Exchange Board of India (SEBI) registration number _____ are desirous of applying for registration as market maker on SME segment of NSEIL. The same has been approved in our Board meeting held on _____ (Certified true copy of Board Resolution is attached).

I/We hereby undertake / confirm / declare that:

1. To adhere to the Bye-laws, Rules and Regulations introduced/ modified from time to time with/without prior notice.
2. To adhere to the Circular Download Ref. No. NSE/SME/MEM/21427 dated August 06, 2012 issued by NSEIL and such other Circular issued by NSEIL from time to time with/without prior notice.
3. Networth as certified by practicing Chartered Accountant is Rs. _____ as on _____ (as required by Capital Market Segment). The same has been submitted to NSEIL vide letter dated _____.
4. As on date there is no outstanding SEBI fees payable by me/us and there are no outstanding dues (NSEIL) payable by me/us to NSE.
5. I/We shall ensure compliance with the requirements specified in SEBI circular CIR/MRD/DP/14/2010 dated April 26, 2010, CIR/MRD/DSA/17/2010 dated May 18, 2010, SEBI Issue of Capital and Disclosure Requirements (ICDR) Regulations, 2009 and such other requirements as may be specified from time to time by SEBI any other regulatory authority for market intermediaries in the business.

6. I/We shall execute, sign, and subscribe, to such documents, papers, agreements, covenants, bonds and/or undertakings as may be required by NSEIL from time to time.

We request you to kindly register me/us as market maker.

Thanking You.

Yours sincerely,

Place:

Kindly note that application should be signed by **Designated Director/Designated Partner/Proprietor** and submitted through the **Integrated Member Portal** as per the path mentioned below:

<https://www.connect2nse.com/MemberPortal/> > ENIT Services >Exchange> Market Maker SME> Registration

In case of any queries regarding registration, kindly contact Membership Department on 2659 8249 or email on memops@nse.co.in.