Public Notice Via email

With regard to the last date of submission of claim against KSBL Securities Ltd., the Exchange had vide its public notice dated March 3, 2020 informed that in case a client is unable to lodge a claim along with the relevant documents within 3 months from the date of notification for valid reasons, but does so after a reasonable time but not later than 6 months from the date of the notice till 3rd September 2020, the Exchange will accept such claim for processing.

In view of the prevailing pandemic situation, the Exchange has extended the timeline for accepting claims till October 31, 2020.

You can lodge your claim online on the Exchange portal https://investorhelpline.nseindia.com/NICEPLUS/welcomeUser and upload the relevant documents.

In this regard, we advise you to follow the following instructions while lodging your claim on the Exchange portal:

- 1. The overall size of the file should be below 10 MB.
- 2. The file should not contain any formulas.
- 3. The application (NICEPLUS) should be opened in Google Chrome.

Defaulters' Section National Stock Exchange of India Ltd. 19th August 2020



National Stock Exchange of India Ltd.

'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai-400 051

NOTICE

Notice is hereby given that the following Trading Member of the National Stock Exchange of India Ltd. (Exchange) has been declared a defaulter under Byelaw 1(a) of Chapter XII of the Byelaws of the Exchange and expelled under Rules 1 and 2 of Chapter IV of the Rules of the Exchange:

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Trading Member	SEBI Regn. No.	Declaration Date
KSBL Securities Ltd.	INZ000104638	28 th February 2020

The constituents of KSBL Securities Limited are hereby advised to lodge claims, if any, in the prescribed claim form, against the above mentioned member within 3 months from the date of this notice and no such claims filed beyond 3 months will be entertained by the Exchange against the above mentioned member and it shall be deemed that no such claims exist against the above mentioned member or such claims, if any, shall be deemed to have been waived.

With respect to the timelines mentioned above for filing of the claims, claimants may take note that in case a claimant is unable to file his or her claim along with relevant documents within the time limit prescribed in this notice for valid reasons, but does so after a reasonable time but not later than 6 months from the date of this notice for above mentioned member, the Exchange will accept such claims for processing.

All claims submitted by investors will be considered for processing if found due and payable in accordance with Rules, Byelaws, Regulations, Guidelines etc. of the Exchange, SEBI circulars and Regulations and the maximum compensation limit per investor is ₹25 lakhs out of the Investor Protection Fund.

claim form may be downloaded https://www.nseindia.com/invest/details-to-be-provided-for-lo dging-claims or obtained from the corporate office at Mumbai or the regional / branch offices of the Exchange. The claim form, duly filled and signed, along with the relevant documents may be sent to the Defaulters' Section of the Exchange at 'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 or at the regional / branch offices of the Exchange. The claim can also lodged online on the Exchange portal investorhelpline.nseindia.com/NICEPLUS/welcomeUser and the relevant documents can be uploaded.

The authorized persons affiliated with the above mentioned member are not authorized to deal as an authorized person of the above mentioned member. The list of such authorized persons is available on https://www.nseindia.com/resources/exchange-communication-circulars (Circular No. NSE/COMP/43719 dated 28th February 2020).

for National Stock Exchange of India Ltd.

Place: Mumbai Date: 3rd March 2020 Senior Manager Defaulters' Section

