

Public Notice Via email

With regard to the last date of submission of claim against Fairwealth Securities Ltd., the Exchange had vide its public notice dated February 28, 2020 informed that in case a client is unable to lodge a claim along with the relevant documents within 3 months from the date of notification for valid reasons, but does so after a reasonable time but not later than 6 months from the date of the notice till 28th August 2020, the Exchange will accept such claim for processing.

In view of the prevailing pandemic situation, the Exchange has extended the timeline for accepting claims till October 31, 2020.

You can lodge your claim online on the Exchange portal <https://investorhelpline.nseindia.com/NICEPLUS/welcomeUser> and upload the relevant documents.

In this regard, we advise you to follow the following instructions while lodging your claim on the Exchange portal:

1. The overall size of the file should be below 10 MB.
2. The file should not contain any formulas.
3. The application (NICEPLUS) should be opened in Google Chrome.

Defaulters' Section
National Stock Exchange of India Ltd.
19th August 2020



NSE

National Stock Exchange of India Ltd.

'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai-400 051

NOTICE

Notice is hereby given that the following Trading Member of the National Stock Exchange of India Ltd. (Exchange) has been declared a defaulter under Byelaw 1(a) of Chapter XII of the Byelaws of the Exchange and expelled under Rules 1 and 2 of Chapter IV of the Rules of the Exchange:

| Member Name | SEBI Regn. No. | Declaration Date |
|----------------------------|----------------|-------------------|
| Fairwealth Securities Ltd. | INZ000186238 | 14th January 2020 |

The constituents of the above mentioned member are hereby advised to lodge claims, if any, in the prescribed claim form, against the above mentioned member within 3 months from the date of this notification and no such claims filed beyond 3 months will be entertained by the Exchange against the above mentioned member and it shall be deemed that no such claims exist against the above mentioned member or such claims, if any, shall be deemed to have been waived. The claims filed against the above mentioned member will be dealt with in accordance with the Byelaws, Rules, Regulations and the procedures of the Exchange.

The maximum compensation limit per investor is ₹25 lakhs out of the Investor Protection Fund, if found due and payable under the Byelaws, Rules and Regulations of the Exchange subject to an overall limit per defaulter and /or expelled member.

The claim form may be downloaded from <https://www.nseindia.com> or obtained from the corporate office at Mumbai or the regional / branch offices of the Exchange. The claim form, duly filled and signed, along with the relevant documents may be sent to the Defaulters' Section of the Exchange at 'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 or at the regional / branch offices of the Exchange. The claim can also be lodged online on the Exchange portal <https://investorhelpline.nseindia.com/NICEPLUS/welcomeUser> and the relevant documents can be uploaded.

Henceforth, the authorized persons affiliated with the above mentioned member are not authorized to deal as an authorized person of the above mentioned member. The list of such authorized persons is available on <https://www.nseindia.com>.

For National Stock Exchange of India Ltd.

Sd/-

Place: Mumbai

Date: 17th January 2020

Senior Manager
Defaulters' Section



Nifty50



NSE

National Stock Exchange of India Ltd.

'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai-400 051

NOTICE

Notice is hereby given that the following Trading Members of the National Stock Exchange of India Ltd. (Exchange) have been declared defaulters under Byelaw 1(a) of Chapter XII of the Byelaws of the Exchange and expelled under Rules 1 and 2 of Chapter IV of the Rules of the Exchange:

| Member Name | SEBI Regn. No. | Declaration Date |
|-------------------------------|--|--------------------------------|
| Fairwealth Securities Ltd. | INZ000186238 | 14 th January 2020 |
| Kaynet Finance Ltd. | INB231276339 INF231276339 INE231276339 | 13 th February 2020 |
| BMA Wealth Creators Ltd. | INZ000184733 | 13 th February 2020 |
| Vrise Securities Private Ltd. | INZ000010934 | 13 th February 2020 |

The constituents of the above mentioned members are hereby advised to lodge claims, if any, in the prescribed claim form, against the above mentioned members within 3 months from the date of this notice and no such claims filed beyond 3 months will be entertained by the Exchange against the above mentioned members and it shall be deemed that no such claims exist against the above mentioned members or such claims, if any, shall be deemed to have been waived.

With respect to the timelines mentioned above for filing of the claims, claimants may take note that in case a claimant is unable to file his or her claim along with relevant documents within the time limit prescribed in this notice for valid reasons, but does so after a reasonable time but not later than 6 months from the date of this notice for the respective defaulter and expelled member, the Exchange will accept such claims for processing.

All claims submitted by investors will be considered for processing if found due and payable in accordance with Rules, Byelaws, Regulations, guidelines etc. of the Exchange, SEBI circulars and Regulations and the maximum compensation limit per investor is ₹ 25 lakhs out of the Investor Protection Fund.

The claim form may be downloaded from <https://www.nseindia.com> or obtained from the corporate office at Mumbai or the regional / branch offices of the Exchange. The claim form, duly filled and signed, along with the relevant documents may be sent to the Defaulters' Section of the Exchange at 'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 or at the regional / branch offices of the Exchange. The claim can also be lodged online on the Exchange portal <https://investorhelpline.nseindia.com/NICEPLUS/welcomeUser> and the relevant documents can be uploaded.

The authorized persons affiliated with the above mentioned members are not authorized to deal as an authorized person of the above mentioned member. The list of such authorized persons is available on <https://www.nseindia.com>.

for National Stock Exchange of India Ltd.
Sd/-

Place: Mumbai

Senior Manager

Date: 28th February 2020

Defaulters' Section



Nifty50