Documents required for enablement on Commodity Derivatives Segment

Kindly find enclosed the checklist for enablement in the Commodity Derivatives segment: -



 Following are the formats of the documents: -

1. Trading membership undertaking on a Stamp Paper of Rs. 600 duly notarized. Format enclosed.
	* Corporate

* + Limited Liability Partnership 
	+ Firms 
	+ Individual 
1. Clearing Member - Trading Member agreement on a stamp paper of Rs.500 duly notarized. Format enclosed**. To be submitted by Trading Members only.**

 

1. Clearing membership undertaking. (Required to be submitted when the member is to be enabled as a Clearing Member)
	* Corporate 
	* Limited Liability Partnership

* + Firms

* + Individual

1. Board Resolution - mentioning the authorized signatories, along with the specimen signature of the signatories.

 

1. Submission of request for approval as user for allotment of user ids as per the prescribed format. The trading member has to specify names of the users to be allotted role of corporate Manager or dealer. Format enclosed.

 

1. Audited Net worth certificate in format C-1



1. In case the trading member wants to be enabled as clearing member they need to open the settlement account, and proof of the same on the letterhead of the bank with the date of opening of the accounts needs to be submitted. Further a five point confirmation letter as per the format enclosed is required. Please note that the said letter should be on the letter head of the clearing member duly acknowledged by the Bank. (Kindly note that the above document is required only in case trading member wants to be enabled as Clearing Member).



1. IMS Letter (Required to be submitted when the member is to be enabled as a Clearing Member)



1. Collateral Interface for Member (CIM) activation letter. (Required to be submitted when the member is to be enabled as a Clearing Member)



1. Letter requesting activation of administrator user in NSCCLs Clearing Management System (NCMS). (Required to be submitted when the member is to be enabled as a Clearing Member)



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1. Connect to NSE application form.

 

1. Documents for application of Digital Signature Certificate for one of the Designated Director as per Circular No. 556 dated November 15, 2006

 

1. Documentary proof for the appointment of the compliance officer as per Circular No. 257, Ref No. NSE/MEM/3441/ dated June 14, 2002

 

1. Base Minimum Capital undertaking should be submitted by the trading member mentioning the category to which the member belongs to.



1. Member declaration on trading members’ letter head for accessing the trading system via connectivity/s other than POP based Leased lines terminating at its own office

 