

# ANNEXURE A

## SCHEME SUMMARY DOCUMENT

Fields

|    |                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|----|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1  | Fund Name                                              | WhiteOak Capital Large & Mid Cap Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 2  | Option Names (Regular & Direct)                        | Growth Option                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 3  | Fund Type                                              | An open ended equity scheme investing in both large cap and mid cap stocks.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 4  | Riskometer (At the time of Launch)                     | Very High risk                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 5  | Riskometer (As on date)                                | Very High risk                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 6  | Category as per SEBI Categorization Circular           | Large & Mid Cap Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 7  | Potential Risk Class (as on date)                      | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 8  | Description, Objective of the scheme                   | The primary objective of the scheme is to generate long term capital appreciation by investing in and managing a diversified portfolio of equity and equity related instruments of large and mid cap companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.                                                                                                                                                                                                                                                        |
| 9  | Stated Asset Allocation                                | Equity & Equity related Instruments of Large and Mid Cap Companies of which:<br>Min -70% Max - 100%<br>(Risk Profile Very High)<br>Large Cap Companies:<br>Min -35% Max - 65%<br>(Risk Profile Very High)<br>Mid Cap Companies<br>Min -35% Max - 65%<br>(Risk Profile Very High)<br>Equity and Equity Related Instruments other than above<br>Min -0% Max - 30%<br>(Risk Profile Very High)<br>Debt Securities and Money Market Instruments<br>Min -0% Max - 30%<br>(Risk Profile Low to Medium)<br>Units issued by REITs and InvITs<br>Min -0% Max - 10%<br>(Risk Profile Very High) |
| 10 | Face Value                                             | Rs.10/-                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 11 | NFO Open Date                                          | 01-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 12 | NFO Close Date                                         | 15-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 13 | Allotment Date                                         | 22-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 14 | Reopen Date                                            | 26-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 15 | Maturity Date (For closed-end funds)                   | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 16 | Benchmark (Tier 1)                                     | S&P BSE 250 Large MidCap TRI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 17 | Benchmark (Tier 2)                                     | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 18 | Fund Manager 1 - Name                                  | Mr. Ramesh Mantri                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 19 | Fund Manager 1 - Type (Primary/ Comanage/ Description) | Comanage/Equity                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 20 | Fund Manager 1 - From Date                             | 22-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 21 | Fund Manager 2 - Name                                  | Mr. Piyush Baranwal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 22 | Fund Manager 2 - Type (Primary/ Comanage/ Description) | Comanage/Debt                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 23 | Fund Manager 2 - From Date                             | 22-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 24 | Fund Manager 3 - Name                                  | Ms. Trupti Agrawal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 25 | Fund Manager 3 - Type (Primary/ Comanage/ Description) | Assistant Fund Manager                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 26 | Fund Manager 3 - From Date                             | 22-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|    | Fund Manager 4 - Name                                  | Mr. Shariq Merchant                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|    | Fund Manager 4 - Type (Primary/ Comanage/ Description) | Fund Manager for Overseas Investments                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|    | Fund Manager 4 - From Date                             | 22-12-2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 27 | Annual Expense (Stated maximum)                        | Regular- 2.15 %<br>Direct- 0.40 %                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 28 | Exit Load (if applicable)                              | 1.00% (of applicable NAV); if Units are redeemed/ switched-out within 1 month from the date of allotment., Nil thereafter                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 29 | Custodian                                              | Deutsche Bank A.G.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 30 | Auditor                                                | M/s. N. M. Raiji and Co.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 31 | Registrar                                              | Computer Age Management Services Limited                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 32 | RTA Code (To be phased out)                            | INR000002813                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 33 | Listing Details                                        | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 34 | ISINs                                                  | Regular-Growth-INF03VN01837<br>Direct-Growth-INF03VN01845                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

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| 35                        | AMFI Codes (To be phased out)                   | 152226-WhiteOak Capital Large & Mid Cap Fund Direct Plan Growth<br>152225-WhiteOak Capital Large & Mid Cap Fund Regular Plan Growth                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 36                        | SEBI Codes                                      | WHIT/O/E/LMF/23/10/0013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Investment Amount Details |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 37                        | Minimum Application Amount                      | Rs.100                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 38                        | Minimum Application Amount in multiples of Rs.  | Re.1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 39                        | Minimum Additional Amount                       | Rs.100                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 40                        | Minimum Additional Amount in multiples of Rs.   | Re.1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 41                        | Minimum Redemption Amount in Rs.                | Rs.500 and in multiples of Re. 0.01 or account balance whichever is lower                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 42                        | Minimum Redemption Amount in Units              | No minimum redemption criterion for Unit based redemption                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 43                        | Minimum Balance Amount (if applicable)          | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 44                        | Minimum Balance Amount in Units (if applicable) | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 45                        | Max Investment Amount                           | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 46                        | Minimum Switch Amount (if applicable)           | Rs.100                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 47                        | Minimum Switch units                            | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 48                        | Switch Multiple Amount (if applicable)          | Re. 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 49                        | Switch Multiple Units (if applicable)           | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 50                        | Max Switch Amount                               | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 51                        | Max Switch Units (if applicable)                | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 52                        | Swing Pricing (if applicable)                   | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 53                        | Side-pocketing (if applicable)                  | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| SIP SWP & STP Details     |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 54                        | Frequency                                       | SIP - Weekly, Fortnightly, Monthly, Quarterly<br>SWP - Monthly, Quarterly, Half-Yearly, Yearly<br>STP - Daily, Weekly, Monthly, Quarterly                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 55                        | Minimum amount                                  | SIP - Weekly/Fortnightly/Monthly - Rs.100, Quarterly - Rs. 500<br>SWP - Rs.500<br>STP - Daily/Weekly- Rs. 500, Monthly-Rs.1000, Quarterly- Rs.1500                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 56                        | In multiple of                                  | SIP - Re.1<br>SWP - Re.1<br>STP - Re.0.01                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 57                        | Minimum Instalments                             | SIP - Weekly/Fortnightly/Monthly - 6, Quarterly - 4<br>SWP - 2<br>STP - Daily/Weekly- 12 (if the instalment amount is less than Rs. 1000/-), 6 (if the instalment amount is more than Rs. 1000/-), Monthly - 6, Quarterly - 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 58                        | Dates                                           | SIP - Weekly- Any day (Monday to Friday) (If no day is selected Monday will be the default day), Fortnightly- 1st and 16th day of each month, as applicable (1st and 16th of the month will be the default dates), Monthly- Any date (1st to 28th of the month) (10th would be the default date), Quarterly- Any date (1st to 28th of the month) (10th would be the default date)<br><br>SWP - Monthly- Any date of every month (between 1st & 28th), Quarterly- Any date of every Quarter (between 1st & 28th), Half-Yearly- Any date of every half-year (between 1st & 28th), Yearly- Any date of every year (between 1st & 28th)<br><br>STP - Daily- All business days, Weekly - Any Day from Monday to Friday (If no day is selected Monday will be the default day), Monthly / Quarterly -Any Date of every month (between 1st & 28th) (10th would be the default date) |
| 59                        | Maximum Amount (if any)                         | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |