

**ANNEXURE A**

SCHEME SUMMARY DOCUMENT

Fields

|    |  |   |
|----|--|---|
| 1  | Fund Name  | WhiteOak Capital Mid Cap Fund   |
| 2  | Option Names (Regular & Direct)                        | Growth Option<br>Income Distribution cum Capital Withdrawal (IDCW)<br>IDCW Payout Option<br>IDCW Reinvestment Option  |
| 3  | Fund Type  | An Open Ended Equity Scheme Predominantly Investing In Mid-Cap Stocks   |
| 4  | Riskometer (At the time of Launch)                     | Very High risk  |
| 5  | Riskometer (As on date)                                | Very High risk  |
| 6  | Category as per SEBI Categorization Circular           | Mid Cap Fund  |
| 7  | Potential Risk Class (as on date)                      | NA  |
| 8  | Description, Objective of the scheme                   | The primary objective of the Scheme is to seek to generate capital appreciation by actively investing in and managing a diversified portfolio primarily composed of mid-cap stocks.<br>However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.   |
| 9  | Stated Asset Allocation                                | Equity and Equity Related Instruments of Mid- Cap Companies**<br>Min -65% Max - 100%<br>(Risk Profile Very High)<br>Equity and Equity Related Instruments other than above<br>Min -0% Max - 35%<br>(Risk Profile Very High)<br>Debt Securities and Money Market Instruments<br>Min -0% Max - 35%<br>(Risk Profile Low to Medium)<br>Units issued by REITs and InvITs<br>Min -0% Max - 10%<br>(Risk Profile Very High) |
| 10 | Face Value   | Rs.10/-   |
| 11 | NFO Open Date  | 16-08-2022  |
| 12 | NFO Close Date   | 30-08-2022  |
| 13 | Allotment Date   | 07-09-2022  |
| 14 | Reopen Date  | 08-09-2022  |
| 15 | Maturity Date (For closed-end funds)                   | NA  |
| 16 | Benchmark (Tier 1)                                     | S&P BSE MidCap 150 TRI  |
| 17 | Benchmark (Tier 2)                                     | NA  |
| 18 | Fund Manager 1 - Name                                  | Mr. Ramesh Mantri   |
| 19 | Fund Manager 1 - Type (Primary/ Comanage/ Description) | Comanage/Equity   |
| 20 | Fund Manager 1 - From Date                             | 07-09-2022  |
| 21 | Fund Manager 2 - Name                                  | Mr. Piyush Baranwal   |
| 22 | Fund Manager 2 - Type (Primary/ Comanage/ Description) | Comanage/Debt   |
| 23 | Fund Manager 2 - From Date                             | 07-09-2022  |
| 24 | Fund Manager 3 - Name                                  | Ms. Trupti Agrawal  |
| 25 | Fund Manager 3 - Type (Primary/ Comanage/ Description) | Assistant Fund Manager  |
| 26 | Fund Manager 3 - From Date                             | 07-09-2022  |
| 27 | Fund Manager 4 - Name                                  | Mr. Shariq Merchant   |
| 28 | Fund Manager 4 - Type (Primary/ Comanage/ Description) | Fund Manager for Overseas Investments   |
| 29 | Fund Manager 4 - From Date                             | 07-09-2022  |
| 30 | Annual Expense (Stated maximum)                        | Regular- 2.1 %<br>Direct- 0.44 %  |
| 31 | Exit Load (if applicable)                              | 1.00% (of applicable NAV); if Units are redeemed/ switched-out within 1 month from the date of allotment., Nil thereafter   |
| 32 | Custodian  | Deutsche Bank A.G.  |
| 33 | Auditor  | M/s. N. M. Raiji and Co.  |
| 34 | Registrar  | Computer Age Management Services Limited  |
| 35 | RTA Code (To be phased out)                            | INR000002813  |
| 36 | Listing Details  | NA  |

|                           |   |  |
|---------------------------|---|--|
| 37                        | ISINs   | Regular-Growth-INF03VN01563<br>Regular-IDCW Reinvestment- INF03VN01589<br>Regular-IDCW Payout- INF03VN01571<br>Direct-Growth-INF03VN01597<br>Direct-IDCW Reinvestment- INF03VN01613<br>Direct-IDCW Payout- INF03VN01605  |
| 38                        | AMFI Codes (To be phased out)                   | 150584-WhiteOak Capital Mid Cap Fund Direct Plan Growth<br>150583-WhiteOak Capital Mid Cap Fund Regular Plan Growth<br>150585-WhiteOak Capital Mid Cap Fund Regular Plan IDCW Payout/Reinvestment<br>150582-WhiteOak Capital Mid Cap Fund Direct Plan IDCW Payout/Reinvestment   |
| 39                        | SEBI Codes                                      | WHIT/O/E/MIF/22/05/0005  |
| Investment Amount Details |   |  |
| 40                        | Minimum Application Amount                      | Rs.500   |
| 41                        | Minimum Application Amount in multiples of Rs.  | Re.1   |
| 42                        | Minimum Additional Amount                       | NA   |
| 43                        | Minimum Additional Amount in multiples of Rs.   | NA   |
| 44                        | Minimum Redemption Amount in Rs.                | Rs.500 and in multiples of Re. 0.01 or account balance whichever is lower  |
| 45                        | Minimum Redemption Amount in Units              | No minimum redemption criterion for Unit based redemption  |
| 46                        | Minimum Balance Amount (if applicable)          | NA   |
| 47                        | Minimum Balance Amount in Units (if applicable) | NA   |
| 48                        | Max Investment Amount                           | NA   |
| 49                        | Minimum Switch Amount (if applicable)           | Rs.500   |
| 50                        | Minimum Switch units                            | NA   |
| 51                        | Switch Multiple Amount (if applicable)          | Re. 1  |
| 52                        | Switch Multiple Units (if applicable)           | NA   |
| 53                        | Max Switch Amount                               | NA   |
| 54                        | Max Switch Units (if applicable)                | NA   |
| 55                        | Swing Pricing (if applicable)                   | NA   |
| 56                        | Side-pocketing (if applicable)                  | NA   |
| SIP SWP & STP Details     |   |  |
| 57                        | Frequency                                       | SIP - Weekly, Fortnightly, Monthly, Quarterly<br>SWP - Monthly, Quarterly, Half-Yearly and Yearly<br>STP - Daily, Weekly, Monthly, Quarterly   |
| 58                        | Minimum amount                                  | SIP - Weekly/Fortnightly/Monthly - Rs.100, Quarterly - Rs. 500<br>SWP - Rs.500<br>STP - Daily/Weekly- Rs. 500, Monthly-Rs.1000, Quarterly- Rs.1500   |
| 59                        | In multiple of                                  | SIP - Re.1<br>SWP - Re.1<br>STP - Re.0.01  |
| 60                        | Minimum Instalments                             | SIP - Weekly/Fortnightly/Monthly - 6, Quarterly - 4<br>SWP - 2<br>STP - Daily- 60, Weekly-15, Monthly - 6, Quarterly - 4   |
| 61                        | Dates   | SIP - Weekly- Any day (Monday to Friday) (If no day is selected Monday will be the default day), Fortnightly- 1st and 16th day of each month, as applicable (1st and 16th of the month will be the default dates), Monthly- Any date (1st to 28th of the month) (10th would be the default date), Quarterly- Any date (1st to 28th of the month) (10th would be the default date)<br><br>SWP - Monthly- Any date of every month (between 1st & 28th), Quarterly- Any date of every Quarter (between 1st & 28th), Half-Yearly- Any date of every half-year (between 1st & 28th), Yearly- Any date of every year (between 1st & 28th)<br><br>STP - Daily- All business days, Weekly - Any Day from Monday to Friday (If no day is selected Monday will be the default day), Monthly / Quarterly -Any Date of every month (between 1st & 28th) (10th would be the default date) |
| 62                        | Maximum Amount (if any)                         | NA   |