

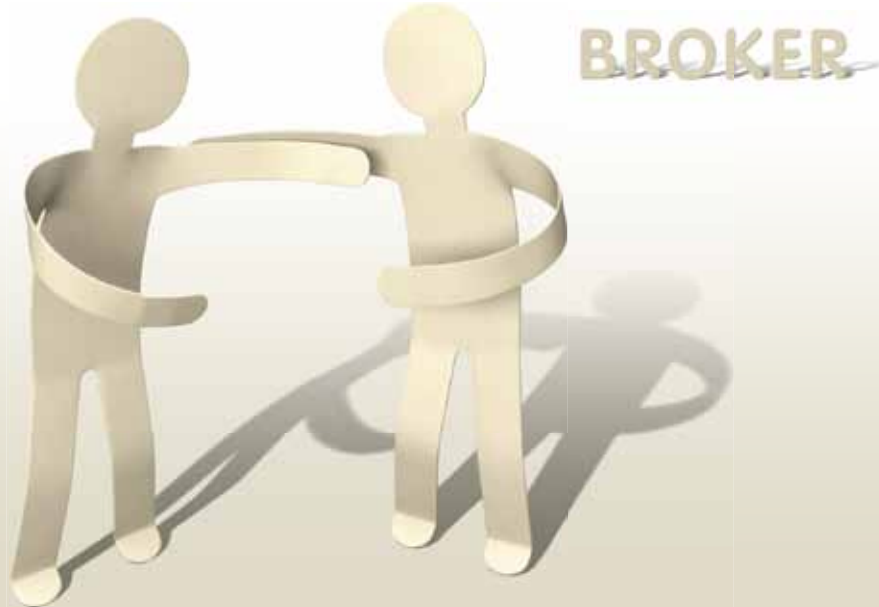


Welcome to the wonderful world of investing

Congratulations. You've taken the 1st step to the world of financial planning. The new inter-connected world offers range of products to suit your investment needs which can help you in optimizing returns on savings.

As a leader in the financial markets, NSE is happy to partner with you on your journey. The following pages provide you information which would be of great use to you in safeguarding your interest.

Happy Investing.



A good broker is like an asset, he can help you benefit from the market

You would choose a broker just like you would choose a life partner.
Here are some useful pointers:

- | Identify SEBI registered Stock Brokers keeping your personal need like location, investment value, brokerage fee etc., Find out the various products that the Broker is authorized to service
- | Find out how to place orders, payment of margins, securities transfer, pay-in and pay-out etc.
- | Find out how you would receive confirmation about your transactions, statements etc.
- | Do a background check on clients serviced, regulatory actions, if any.
- | Know how important you are to them

Considering the above, choose the intermediary that best fits your need.



Trading. The Before and After

For any trade to be successful, it's important to be diligent not just while you trade but also before and after each trade is executed.

Before Trading | Decide your investment objectives and risk appetite | Do study information on Company that you choose to invest in | Check the information on company by going through Equity Research Reports available on Exchange website | Do not be misled by market rumours or advertisements that promise high returns

After Trading | Insist on receiving contract note issued within 24 hrs. from your broker | Verify your trades at www.nseindia.com | Register your mobile or email for trade alerts | Insist on periodical statement of accounts. In case of any discrepancies bring it to the attention of your broker immediately.

Make sure that all payments and securities transfer are between you and the Broker only and not any other third parties



SAFEGUARD

Avoiding the instant wealth trap

It's easy to get lured with promises of unusually high returns within a short time. As a smart investor you need to exercise common sense and caution.

Here are some simple things to guard against Promise of unrealistically High Return

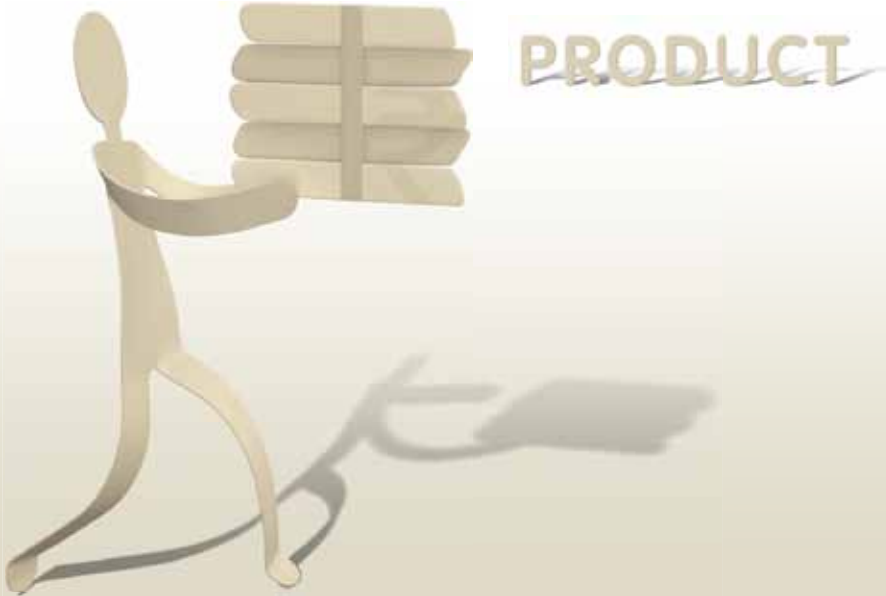
- | Promise to invest in opportunities based on secretive information
- | Getting euphoric about certain companies and investment
- | Your involvement not required in decision to buy or sell
- | Guaranteed high returns (like double in three months)
- | Promise of no risk, complete capital protection
- | Statements like life time opportunity requiring you to sign papers and part with money immediately
- | Overly consistent returns even during adverse market conditions



POA

Power of Attorney – Should be a tool that benefits you and not tie you down

- | Power of Attorney is a legal document giving legal authority to another person to operate your account as per the instructions contained in the Power of Attorney.
- | PoA is not mandated by SEBI or the Exchange.
- | In case you choose to give PoA for convenience and hassle-free transactions through your Stock Broker, please make sure that you give PoA in the name of a SEBI registered Stock Broker only. Do not give PoA in the name of any other person like employees, dealers, associate entities etc.
- | PoA should be generally given for a limited period. The period should never be left open-ended in the hand of your broker or member.
- | The choice of renewal or otherwise should be exercised after reviewing the manner in which PoA has been put to use by the PoA holder.



Services and Products offered by NSE

The National Stock Exchange (NSE) operates a nation-wide electronic market offering the following:

- | Debt Market
- | Currency Futures & Options
- | Interest Rate Futures
- | ETF-Gold, Equity & Liquid
- | NCFM – NSE financial certification course
- | Nifty 50, Junior Nifty, CNX 100 and India VIX

Today, the NSE network stretches to more than 1500 locations in the country & supports more than 2,30,000 terminals.



WEBSITE

NSE Website

The NSE website is a repository of important information that will help you navigate the market with ease, it contains useful informations like:

Corporate Announcement, Financial results, Board meetings, Company contact details, Live Markets, graphs, historical data and indices.

Equity Research Report on Listed Companies carried out by reputed rating agency

Product offering – Equities, Mutual Fund, GOLD ETF, Currency, Debt & Derivatives

Investor Education – Publication & Research initiatives
Exchange Holidays, Circulars, Regulations, NCFM Certification, Publications and NSE Group Companies



LINKS

Important links

Find your Nearest Broker – A facility to find your nearest broker:

- | Online Charts – helps you to track the price movement, comparative charts
- | Sparkline & Marke Map – live prices of stocks & sectoral performance
- | Registration for Seminars & e-newsletter
- | A SBA form – Online Application form for IPO
- | Trade Verification, Alerts on mobile and email
- | Mobile Trading Application – trade on the move
- | Investor Grievance Cell – A mechanism to resolve investors grievance and complaint.



TRADE VERIFICATION

Trade Verification for your protection

NSE provides a trade verification facility to you for your ease and convenience, free of cost. This information can be received by you both through SMS or an email. Registered users will receive SMS on the designated mobile number at the end of each trading day giving a summation of the trading activity. Besides, an email will also be sent on the registered email id providing the detailed trading activity.

Please visit the NSE website www.nseindia.com to register yourself for this service.



OBLIGATIONS & RIGHTS

Investor Obligations & Rights

Obligations

- Get trades executed in Unique Client Code (UCC) allotted to you.
- Understand the Risk Disclosure Document and voluntary conditions being agreed with the member.
- Pay margins, funds and securities for settlement on time.
- Verify details of trades, DP account for funds and securities movement.

Rights

- Get Unique Client Code (UCC), copy of KYC and other documents executed.
- Get Contract note for trades executed and details of charges levied.
- Receive funds, securities and statement of accounts on time from trading member.



CONTACT

**For further queries contact
any of our offices listed below:**

NSE - Corporate Office

National Stock Exchange of India Ltd., Exchange Plaza, C-1 Block G, Bandra- Kurla Complex, Bandra (E), Mumbai-400051. Tel: 022-26598100.

NSE - Branch Offices

AHMEDABAD: National Stock Exchange of India Ltd., Office No. 304/305 , GCP Business Centre, Opposite Memnagar Fire Station, Memnagar, Ahmedabad-380052. Tel: (079) 26420481/26420482

CHENNAI: National Stock Exchange of India Ltd., 2nd Floor, Ispahani Centre, Door No 123-124, Nungambakkam High Road, Nungambakkam, Chennai-600034. Tel: 044-28332500/01.

MUMBAI (WRO): National Stock Exchange of India Ltd., 6th Floor, Kohinoor City, Tower-1, Commercial-II, Kirol Road, Off. L.B.S. Marg, Kurla (W), Mumbai-400070, India. Tel: 022-25045258 to 62.

DELHI: National Stock Exchange of India Ltd., 4th Floor, "Jeevan Vihar Building", Parliament Street, New Delhi-110001. Board No: 011-23741741/49393000.

HYDERABAD: National Stock Exchange of India Ltd., H.No:3-6-322, Mahavir house, 2nd floor, Chamber No 203 & 204, Basheerbagh, Hyderabad-500029, India. Tel: 040-23227084/85.

KOLKATA: National Stock Exchange of India Ltd., First Floor, Park View Apartments, 99, Rash Behari Avenue, Kolkata-700029. Tel: + 91 33 40 400 400 (Board).

Soch kar

Samajh kar

Invest kar



Disclaimer :

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