

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Union Arbitrage Fund
2	Option Names (Regular & Direct)	Direct Plan - Growth Option, Direct Plan - Payout of Income Distribution cum Capital Withdrawal (IDCW) Option, Direct Plan - Reinvestment of IDCW Option, Direct Plan - Transfer of IDCW Plan Regular Plan - Growth Option, Regular Plan - Payout of IDCW Option, Regular Plan - Reinvestment of IDCW Option, Regular Plan - Transfer of IDCW Plan
3	Fund Type	An open ended scheme investing in arbitrage opportunities
4	Riskometer (At the time of Launch)	Low Risk Note: The above is the risk level at the time of introduction of 6 risk level riskometer in accordance with SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2020/197 dated October 05, 2020.
5	Riskometer (as on Date)	Low Risk.
6	Category as Per SEBI Categorization Circular	Arbitrage Fund
7	Potential Risk Class (as on date)	Not applicable
8	Description, Objective of the scheme	The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and by investing the balance in debt and money market instruments. However, there is no assurance that the Investment Objective of the scheme will be achieved.
9	Stated Asset Allocation	Equity and Equity related instruments (as part of hedged / arbitrage exposure)*: 65% - 90% of net assets; Debt and Money Market Instruments including margin money deployed for derivatives transactions: 10%-35% of net assets; Units issued by REITs and InvITs: 0% to 10% of net assets. *Equity allocation so built, at any point in time, would be completely hedged out, using derivative instruments that provide an equal but opposite exposure, thereby making the Net exposure market-neutral. In case the fund is not able to have a net market-neutral position due to any operational reason such as short delivery in the cash market etc., the fund will endeavor to rebalance the portfolio to a net market-neutral position at the earliest. Please refer the Scheme Information Document for complete details.
10	Face Value	10
11	NFO Open Date	30-Jan-2019
12	NFO Close date	13-Feb-2019
13	Allotment Date	20-Feb-2019
14	Reopen Date	27-Feb-2019
15	Maturity Date (For closed-end funds)	Not applicable
16	Benchmark (Tier 1)	NIFTY 50 Arbitrage Index# #Benchmark Disclaimer: The “Product” offered by “the issuer” is not sponsored, endorsed, sold or promoted by NSE Indices Limited (Formerly Indian Index Services & Products Limited) (IISL). NSE Indices Limited does not make any representation or warranty, express or implied (including warranties of merchantability or fitness for particular purpose or use) and disclaims all liability to the owners of “the Product” or any member of the public regarding the advisability of investing in securities generally or in the “the Product” linked to NIFTY 50 Arbitrage Indexor particularly in the ability of the NIFTY 50 Arbitrage Index, to track general stock market performance in India. Please read the full Disclaimer in relation to the NIFTY 50 Arbitrage Index in the Scheme Information Document.
17	Benchmark (Tier 2)	Not applicable
18	Fund Manager Name	FM 1 Mr. Vishal Thakker, FM 2 Mr. Devesh Thacker
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 - comanage, FM 2 - comanage
20	Fund Manager From Date	FM 1-20-02-2019, FM 2 - 20-02-2019
21	Annual Expense (Stated maximum)	Regular 0.9900, Direct 0.5100
22	Exit Load (if applicable)	0.25% if units are redeemed or switched out on or before completion of 1 month from the date of allotment of units. Nil if units are redeemed or switched out after completion of 1 month from the date of allotment of units
23	Custodian	SBI-SG Global Securities Services Private Limited
24	Auditor	M/s S.R Batliboi & Co. LLP
25	Registrar	Computer Age Management Services Limited (CAMS)
26	RTA Code (To be phased out)	Regular Plan - Growth Option - AFG, Regular Plan - Payout of IDCW Option- AFDP, Regular Plan - Reinvestment of IDCW Option- AFDR, Regular Plan - Transfer of IDCW Plan - AFDS, Direct Plan - Growth Option - AFGD, Direct Plan - Payout of IDCW Option - AFPD, Direct Plan - Reinvestment of IDCW Option - AFRD, Direct Plan - Transfer of IDCW Plan - AFSD
27	Listing Details	The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date.
28	ISINs	Regular Plan - Growth Option - INF582M01EW9, Regular Plan - Payout of IDCW Option- INF582M01EY5, Regular Plan - Reinvestment of IDCW Option- INF582M01EX7, Regular Plan - Transfer of IDCW Plan - INF582M01EZ2, Direct Plan - Growth Option - INF582M01E57, Direct Plan - Payout of IDCW Option - INF582M01EU3, Direct Plan - Reinvestment of IDCW Option - INF582M01ET5, Direct Plan - Transfer of IDCW Plan - INF582M01EV1
29	AMFI Codes (To be phased out)	Regular Plan - Growth Option - 146294, Regular Plan - IDCW Option- 146295, Direct Plan - Growth Option - 146297, Direct Plan - IDCW Option -146296
30	SEBI Codes	UNIN/O/H/ARB/18/12/0011
31	Minimum Application Amount	1000
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	1000
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	1000
36	Minimum Redemption Amount in Units	Units equivalent of Rs. 1000 and in multiple of Rs. 1 thereof
37	Minimum Balance Amount (if applicable)	Not applicable
38	Minimum Balance Amount in Units (if applicable)	Not applicable
39	Max Investment Amount	Not applicable
40	Minimum Switch Amount (if applicable)	Switch in: Rs. 1000 Switch out: Rs. 1000
41	Minimum Switch Units	Switch in: Units equivalent to Rs. 1000 Switch out: Units equivalent to Rs. 1000

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42	Switch Multiple Amount (if applicable)	Switch in: Rs. 1 thereafter Switch out: Rs. 1 thereafter
43	Switch Multiple Units (if applicable)	Switch in: Units equivalent to Rs. 1 Switch out: Units equivalent to Rs. 1
44	Max Switch Amount	Not applicable
45	Max Switch Units (if applicable)	Not applicable
46	Swing Pricing (if applicable)	Not applicable
47	Side-pocketing (if applicable)	Enabled but no segregated portfolio created.
48	SIP SWP & STP Details: Frequency	SIP - Weekly, Monthly and Quarterly STP - Daily, Weekly, Fortnightly, Monthly , Quarterly and Half yearly SWP - Daily, Monthly ,Quarterly, Half yearly and Yearly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 500 for weekly SIP, Rs. 1000 for monthly SIP and Rs. 5,000 for quarterly SIP STP - Rs. 100 for all frequencies SWP - Rs. 1000 for all frequencies
50	SIP SWP & STP Details: In multiple of	SIP - Rs. 1 thereof for all frequencies STP - Rs. 1 thereof for all frequencies SWP - Rs. 1 thereof for all frequencies
51	SIP SWP & STP Details: Minimum Instalments	SIP - 12 weeks for weekly frequency, 6 months for monthly frequency and 2 quarters for quarterly frequency STP - 6 instalments for all frequencies SWP - 6 instalments for all frequencies
52	SIP SWP & STP Details: Dates	SIP - Any day/date of the month for all frequencies STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency
53	SIP SWP & STP Details: Maximum Amount (if any)	SIP - Not applicable STP - Not applicable SWP - Not applicable