

Fields	Scheme Summary Document	
1	Fund Name	Union Arbitrage Fund
2	Option Name (Regular & Direct)	<p>The Scheme has the following Plans across a common portfolio:</p> <p>1) Regular Plan 2) Direct Plan</p> <p>The following options are offered under each of the above mentioned Plans:</p> <p>1) Growth Option 2) Income Distribution cum Capital Withdrawal Option (IDCW)</p> <p>The IDCW Option has the following Facilities:</p> <p>i) Reinvestment of IDCW Option ii) Payout of IDCW Option iii) Transfer of Income Distribution cum Capital Withdrawal Plan</p>
3	Fund Type	An open ended scheme investing in arbitrage opportunities
4	Riskometer (At the time of Launch)	<p>Low Risk</p> <p>The above is the risk level at the time of introduction of 6 risk level riskometer in accordance with SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2020/197 dated October 05, 2020.</p>
5	Riskometer (As on date)	<p>Low Risk.</p> <p>The riskometer is evaluated on a monthly basis and the above riskometer is based on the evaluation of Scheme portfolio as on July 31, 2022.</p>
6	Category as per SEBI Categorisation Circular	Arbitrage Fund
7	Potential Risk Class Matrix (as on date)	Not applicable
8	Description, Objective of the Scheme	The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and by investing the balance in debt and money market instruments. However, there is no assurance that the Investment Objective of the scheme will be achieved.
9	Stated Asset Allocation	<p>Equity and Equity related instruments (as part of hedged / arbitrage exposure)*: 65% - 90% of net assets; Debt and Money Market Instruments including margin money deployed for derivatives transactions: 10%-35% of net assets; Units issued by REITs and InvITs: 0% to 10% of net assets.</p> <p>*Equity allocation so built, at any point in time, would be completely hedged out, using derivative instruments that provide an equal but opposite exposure, thereby making the Net exposure market-neutral. In case the fund is not able to have a net market-neutral position due to any operational reason such as short delivery in the cash market etc., the fund will endeavor to rebalance the portfolio to a net market-neutral position at the earliest.</p>
10	Face Value	Rs. 10 per unit
11	NFO Open date	January 30, 2019
12	NFO Close date	February 13, 2019
13	Allotment date	February 20, 2019
14	Reopen date	February 27, 2019
15	Maturity date (For close ended funds)	Not applicable
16	Benchmark Tier 1	<p>NIFTY 50 Arbitrage Index#</p> <p>#Benchmark Disclaimer: The “Product” offered by “the issuer” is not sponsored, endorsed, sold or promoted by NSE Indices Limited (Formerly Indian Index Services & Products Limited) (IISL). NSE Indices Limited does not make any representation or warranty, express or implied (including warranties of merchantability or fitness for particular purpose or use) and disclaims all liability to the owners of “the Product” or any member of the public regarding the advisability of investing in securities generally or in the “the Product” linked to NIFTY 50 Arbitrage Indexor particularly in the ability of the NIFTY 50 Arbitrage Index, to track general stock market performance in India. Please read the full Disclaimer in relation to the NIFTY 50 Arbitrage Index in the Scheme Information Document.</p>
17	Benchmark Tier 2	Not applicable
18	Fund Manager 1 - Name	Vishal Thakker
19	Fund Manager 1 - Type (Primary/ Comanage/Description)	Co -manage
20	Fund Manager 1 - from date	Since inception - February 20, 2019
21	Fund Manager 2 - Name	Devesh Thacker
22	Fund Manager 2 - Type (Primary/ Comanage/Description)	Co -manage
23	Fund Manager 2 - from date	Since inception - February 20, 2019
24	Annual Expenses (Stated Maximum)	<p>Actual Expense Ratio of the Scheme as on August 19, 2022*:</p> <p>Regular Plan - 0.99% Direct Plan -0.39%</p> <p>* As per clarifications issued by SEBI, the details of the Actual Expense Ratio has been given instead of the maximum percentage stated in the Scheme Information Document.</p>
25	Exit load (if applicable)	<p>0.25% if units are redeemed or switched out on or before completion of 1 month from the date of allotment of units.</p> <p>Nil if units are redeemed or switched out after completion of 1 month from the date of allotment of units</p>
26	Custodian	SBI-SG Global Securities Services Private Limited
27	Auditor	M/s S.R. Batliboi & Co. LLP
28	Registrar	Computer Age Management Services Limited (CAMS)

29	RTA Code	Regular Plan - Growth Option - AFG Regular Plan - Payout of IDCW Option- AFDP Regular Plan - Reinvestment of IDCW Option- AFDR Regular Plan - Transfer of IDCW Plan - AFDS Direct Plan - Growth Option - AFGD Direct Plan - Payout of IDCW Option - AFDP Direct Plan - Reinvestment of IDCW Option - AFRD Direct Plan - Transfer of IDCW Plan - AFSD
30	Listing Details	The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date.
31	ISINs	Regular Plan - Growth Option - INF582M01EW9 Regular Plan - Payout of IDCW Option- INF582M01EY5 Regular Plan - Reinvestment of IDCW Option- INF582M01EX7 Regular Plan - Transfer of IDCW Plan - INF582M01EZ2 Direct Plan - Growth Option - INF582M01ES7 Direct Plan - Payout of IDCW Option - INF582M01EU3 Direct Plan - Reinvestment of IDCW Option - INF582M01ET5 Direct Plan - Transfer of IDCW Plan - INF582M01EV1
32	AMFI Codes	Regular Plan - Growth Option - 146294 Regular Plan - IDCW Option- 146295 Direct Plan - Growth Option - 146297 Direct Plan - IDCW Option -146296
33	SEBI Codes	UNIN/O/H/ARB/18/12/0011
Investment Amount Details		
34	Minimum Application Amount	Rs. 1000
35	Minimum Application Amount in multiples of Rs.	Rs. 1
36	Minimum Additional Amount	Rs. 1000
37	Minimum Additional Amount in multiples of Rs.	Rs. 1
38	Minimum Redemption Amount in Rs.	Rs. 1000 and in multiples of Rs. 1 thereof
39	Minimum Redemption Amount in Units	Units Equivalent to Rs. 1,000 and multiples of Rs. 1 thereof
40	Minimum Balance Amount (if applicable)	Not applicable
41	Minimum Balance Amount in Units (if applicable)	Not applicable
42	Max Investment Amount	Not applicable
43	Minimum Switch Amount (If applicable)	Switch in: Rs. 1000 Switch out: Rs. 1000
44	Minimum Switch Units	Switch in: Units equivalent to Rs. 1000 Switch out: Units equivalent to Rs. 1000
45	Switch Multiple Amount (If applicable)	Switch in: Rs. 1 thereafter Switch out: Rs. 1 thereafter
46	Switch Multiple Units (If applicable)	Switch in: Units equivalent to Rs. 1 Switch out: Units equivalent to Rs. 1
47	Max Switch Amount	Not applicable
48	Max Switch Units (if applicable)	Not applicable
49	Swing Pricing (If applicable)	Not applicable
50	Side pocketing (if applicable)	Enabled but no segregated portfolio created
SIP, SWP & STP Details		
51	Frequency	SIP - Weekly, Monthly and Quarterly STP - Daily, Weekly, Fortnightly, Monthly, Quarterly and Half yearly SWP - Daily, Monthly, Quarterly, Half yearly and Yearly
52	Minimum Amount	SIP - Rs. 500 for Weekly SIP, Rs. 1000 for monthly SIP and Rs. 5,000 for quarterly SIP STP - Rs. 100 for all frequencies SWP - Rs. 1000 for all frequencies
53	In multiple of	SIP - Rs. 1 thereof for all frequencies STP - Rs. 1 thereof for all frequencies SWP - Rs. 1 thereof for all frequencies
54	Minimum Instalments	SIP - 12 Weeks for Weekly frequency, 6 months for monthly frequency and 2 quarters for quarterly frequency STP - 6 instalments for all frequencies SWP - 6 instalments for all frequencies
55	Dates	SIP - Any day/date of the month for all frequencies STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency
56	Maximum Amount (if any)	SIP - Not applicable STP - Not applicable SWP - Not applicable