

| Fields | SCHEME SUMMARY DOCUMENT | |
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| 1 | Fund Name | Union Small Cap Fund |
| 2 | Option Names (Regular & Direct) | Direct Plan - Growth Option, Direct plan - Reinvestment of Income Distribution cum Capital Withdrawal Option, Direct Plan - Payout of Income Distribution cum Capital Withdrawal Option, Direct Plan - Transfer of Income Distribution cum Capital Withdrawal Option, Regular Plan - Growth Option, Regular Plan - Reinvestment of Income Distribution cum Capital Withdrawal Option, Regular Plan - Payout of Income Distribution cum Capital Withdrawal Option, Regular Plan - Transfer of Income Distribution cum Capital Withdrawal Option, |
| 3 | Fund Type | Small Cap Fund – An Open Ended Equity Scheme predominantly investing in Small Cap stocks |
| 4 | Riskometer (At the time of Launch) | Very High Risk |
| 5 | Riskometer (as on Date) | Very High Risk |
| 6 | Category as Per SEBI Categorization Circular | Small cap Fund |
| 7 | Potential Risk Class (as on date) | Not applicable |
| 8 | Description, Objective of the scheme | To achieve long term capital appreciation by investing in a portfolio consisting of equity and equity related securities, predominantly of small cap companies. However, there is no assurance that the Investment Objective of the scheme will be achieved. |
| 9 | Stated Asset Allocation | Equity and Equity related instruments predominantly** of small cap companies# - 80% - 100% of net assets, Debt and Money Market instrument - 0% - 20% of net assets, Units issued by REITs and InvITs - 0% - 10% of net assets. (**Provided a minimum of 65 % will be invested in small cap companies.) (# Small cap companies are companies which have a market capitalization of less than that of the top 250th listed company in terms of full market capitalisation as prescribed under SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2017/114 dated October 06, 2017 as amended from time to time.) Please refer the Scheme Information Document for more details. |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 20-May-2014 |
| 12 | NFO Close date | 03-Jun-2014 |
| 13 | Allotment Date | 10-Jun-2014 |
| 14 | Reopen Date | 17-Jun-2014 |
| 15 | Maturity Date (For closed-end funds) | Not applicable |
| 16 | Benchmark (Tier 1) | S&P BSE 250 SmallCap Index (TRI) |
| 17 | Benchmark (Tier 2) | Not applicable |
| 18 | Fund Manager Name | FM 1 Mr. Hardick Bora, FM 2 Sanjay Bambalkar |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM 1 - Co-manage, FM 2 - Co-manage |
| 20 | Fund Manager From Date | FM 1 - 25-10-2016, FM 2 - 25-01-2023 |
| 21 | Annual Expense (Stated maximum) | Regular 2.10, Direct 0.86 |
| 22 | Exit Load (if applicable) | 1% if units are redeemed or switched out on or before completion of 15 days from the date of allotment. Nil thereafter. |
| 23 | Custodian | SBI-SG Global Securities Services Private Limited. |
| 24 | Auditor | M/s S.R Batliboi & Co. LLP |
| 25 | Registrar | Computer Age Management Services Limited (CAMS) |
| 26 | RTA Code (To be phased out) | Union Small Cap Fund - SMFG - Regular Plan - Growth, Union Small Cap Fund - SMFGD - Direct Plan - Growth, Union Small Cap fund - SMFP - Regular Plan - IDCW Payout, Union Small Cap Fund - SMFPD - Direct Plan - IDCW Payout, Union Small Cap Fund - SMFR - Regular Plan - IDCW Reinvestment, Union Small Cap Fund - SMFRD - Direct Plan - IDCW Reinvestment, Union Small Cap Fund - SMSRR - Regular Plan - IDCW Transfer, Union Small Cap Fund - SMSRD - Direct Plan - IDCW Transfer |
| 27 | Listing Details | The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date. |
| 28 | ISINs | Union Small Cap Fund INF582M01BY1 - Regular Plan - Growth, Union Small Cap Fund INF582M01BU9 - Direct Plan - Growth, Union Small Cap Fund INF582M01CA9 - Regular Plan - IDCW Payout, Union Small Cap Fund INF582M01BW5 - Direct Plan - IDCW Payout, Union Small Cap Fund INF582M01BZ8 - Regular Plan - IDCW Reinvestment, Union Small Cap Fund INF582M01BV7 - Direct Plan - IDCW Reinvestment, Union Small Cap Fund INF582M01CB7 - Regular Plan - IDCW Transfer, Union Small Cap Fund INF582M01BX3 - Direct Plan - IDCW Transfer |
| 29 | AMFI Codes (To be phased out) | Union Small cap Fund Regular Plan - Growth Option - 129647, Union Small Cap Fund Regular Plan - IDCW Option - 129648, Union Small Cap Fund Direct Plan - Growth Option - 129649, Union Small Cap Fund Direct Plan - IDCW Option - 129646 |
| 30 | SEBI Codes | UNIN/O/E/SCF/14/03/0005 |
| 31 | Minimum Application Amount | 1000 |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | 1000 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | 1000 |
| 36 | Minimum Redemption Amount in Units | Units Equivalent to Rs. 1,000 |
| 37 | Minimum Balance Amount (if applicable) | Not applicable |
| 38 | Minimum Balance Amount in Units (if applicable) | Not applicable |
| 39 | Max Investment Amount | No Limit |
| 40 | Minimum Switch Amount (if applicable) | 1000 |
| 41 | Minimum Switch Units | Units Equivalent to Rs. 1,000 |
| 42 | Switch Multiple Amount (if applicable) | Switch in: in multiples of Rs. 1 Switch out: in multiples of Rs. 1 |
| 43 | Switch Multiple Units (if applicable) | Switch in: Units equivalent to Rs. 1 Switch out: Units equivalent to Rs. 1 |
| 44 | Max Switch Amount | No Limit |
| 45 | Max Switch Units (if applicable) | No Limit |

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| 46 | Swing Pricing (if applicable) | Not applicable |
| 47 | Side-pocketing (if applicable) | Not applicable |
| 48 | SIP SWP & STP Details: Frequency | SIP - Weekly/Monthly /Quarterly, SWP - Daily/Monthly/Quarterly/Half Yearly/Yearly, STP - Daily/Weekly/Fortnightly/Monthly/Quarterly/Half Yearly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - Rs. 500 (for weekly frequency)/ Rs. 1,000 (for monthly frequency)/ Rs. 5,000 (for quarterly frequency), SWP - Rs. 1000, STP - Rs. 100 |
| 50 | SIP SWP & STP Details: In multiple of | SIP - Rs. 1 thereof for all frequencies, STP - Rs. 1 thereof for all frequencies, SWP - Rs. 1 thereof for all frequencies |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - 12 weeks for weekly frequency/ 6 months for monthly frequency/ 2 quarters for quarterly frequency, STP - 6 instalments for all frequencies/ SWP - 6 instalments for all frequencies |
| 52 | SIP SWP & STP Details: Dates | SIP - Any day/date of the month for all frequencies, STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency, SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | SIP - Not applicable, STP - Not applicabl, SWP - Not applicable |