

| Fields | SCHEME SUMMARY DOCUMENT | |
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| 1 | Fund Name | Union Innovation & Opportunities Fund |
| 2 | Option Names (Regular & Direct) | Direct Plan - Growth Option, Direct Plan - Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Option, Direct Plan - Payout of Income Distribution cum Capital Withdrawal Option, Direct Plan - Transfer of Income Distribution cum Capital Withdrawal Option, Regular Plan - Growth Option, Regular Plan - Reinvestment of Income Distribution cum Capital Withdrawal Option, Regular Plan - Payout of Income Distribution cum Capital Withdrawal Option, Regular Plan - Transfer of Income Distribution cum Capital Withdrawal Option |
| 3 | Fund Type | An open-ended equity scheme following innovation theme |
| 4 | Riskometer (At the time of Launch) | Very High Risk |
| 5 | Riskometer (as on Date) | Very High Risk Note: The product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made. |
| 6 | Category as Per SEBI Categorization Circular | Sectoral/ Thematic |
| 7 | Potential Risk Class (as on date) | Not applicable |
| 8 | Description, Objective of the scheme | The Investment Objective of the Scheme is to achieve long term capital appreciation by investing predominantly in equity and equity related securities of Innovative Companies. However, there is no assurance that the Investment Objective of the Scheme will be achieved. |
| 9 | Stated Asset Allocation | Equity and Equity Related Instruments of Innovative Companies : 80% - 100% of net assets, Equity and Equity Related Instruments of other than above Companies: 0% - 20% of net assets, Debt and Money Market Instruments: 0%-20% of net assets and Units issued by REITs and InvITs: 0% - 10% of net assets. Please refer the Scheme Information Document for more details. |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 16 Aug 2023 |
| 12 | NFO Close date | 30 Aug 2023 |
| 13 | Allotment Date | 06 Sep 2023 |
| 14 | Reopen Date | 13 Sep 2023 |
| 15 | Maturity Date (For closed-end funds) | Not applicable |
| 16 | Benchmark (Tier 1) | Nifty 500 Index (TRI) Nifty Benchmark Disclaimer: The "Product" offered by "the issuer" is not sponsored, endorsed, sold or promoted by NSE Indices Limited (Formerly Indian Index Services & Products Limited) (IISL). NSE Indices Limited does not make any representation or warranty, express or implied (including warranties of merchantability or fitness for particular purpose or use) and disclaims all liability to the owners of "the Product" or any member of the public regarding the advisability of investing in securities generally or in the "the Product" linked to Nifty 500 Index or particularly in the ability of the Nifty 500 Index, to track general stock market performance in India. |
| 17 | Benchmark (Tier 2) | Not applicable |
| 18 | Fund Manager Name | FM 1 Mr. Hardick Bora, FM 2 Mr. Sanjay Bambalkar |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM 1 Co-manage, FM 2 Co-manage |
| 20 | Fund Manager From Date | FM 1 since 06-09-2023, FM 2 since 06-09-2023 |
| 21 | Annual Expense (Stated maximum) | Regular 2.38, Direct 0.88 |
| 22 | Exit Load (if applicable) | 1% if units are redeemed/switched out on or before completion of 1 year from the date of allotment. Nil if redeemed or switched out after completion of 1 year from the date of allotment of units. |
| 23 | Custodian | SBI-SG Global Securities Services Private Limited |
| 24 | Auditor | M/s S.R Batliboi & Co. LLP |
| 25 | Registrar | Computer Age Management Services Limited (CAMS) |
| 26 | RTA Code (To be phased out) | Union Innovation & Opportunities Fund - Regular Growth- IORG, Union Innovation & Opportunities Fund - Regular IDCW Reinvestment- IORDR, Union Innovation & Opportunities Fund - Regular IDCW Payout- IORDP, Union Innovation & Opportunities Fund - Regular IDCW Transfer- IORDT, Union Innovation & Opportunities Fund - Direct Growth- IODG, Union Innovation & Opportunities Fund - Direct IDCW Reinvestment- IODDR, Union Innovation & Opportunities Fund - Direct IDCW Payout- IODDP and Union Innovation & Opportunities Fund - Direct IDCW Transfer- IODDT |
| 27 | Listing Details | The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date. |
| 28 | ISINs | Union Innovation & Opportunities Fund INF582M01JA4- Regular Plan-Growth, Union Innovation & Opportunities Fund- INF582M01JB2-Regular Plan-IDCW Payout, Union Innovation & Opportunities Fund- INF582M01JC0- Regular Plan-IDCW Reinvestment, Union Innovation & Opportunities Fund - INF582M01JD8- Regular Plan-IDCW Transfer, Union Innovation & Opportunities Fund- INF582M01JE6- Direct Plan-Growth, Union Innovation & Opportunities Fund - INF582M01JF3- Direct Plan-IDCW Payout, Union Innovation & Opportunities Fund- INF582M01JG1- Direct Plan-IDCW Reinvestment and Union Innovation & Opportunities Fund- INF582M01JH9- Direct Plan-IDCW Transfer. |
| 29 | AMFI Codes (To be phased out) | Union Innovation & Opportunities Fund- Regular Growth- 151903, Union Innovation & Opportunities Fund- Regular IDCW Reinvestment- 151906, Union Innovation & Opportunities Fund- Regular IDCW Payout- 151906, Union Innovation & Opportunities Fund- Regular IDCW Transfer 151906, Union Innovation & Opportunities Fund- Direct Growth- 151905, Union Innovation & Opportunities Fund- Direct IDCW Reinvestment- 151904, Union Innovation & Opportunities Fund- Direct IDCW Payout- 151904 and Union Innovation & Opportunities Fund- Direct IDCW Transfer- 151904 |
| 30 | SEBI Codes | UNIN/O/E/THE/23/02/0023 |
| 31 | Minimum Application Amount | 1000 |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | 1000 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | 1000 |
| 36 | Minimum Redemption Amount in Units | Units Equivalent to Rs. 1,000 or the balance in the account of the unitholder, whichever is lower |
| 37 | Minimum Balance Amount (if applicable) | Not applicable |
| 38 | Minimum Balance Amount in Units (if applicable) | Not applicable |

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| 39 | Max Investment Amount | No Limit |
| 40 | Minimum Switch Amount (if applicable) | 1000 |
| 41 | Minimum Switch Units | Switch in : Units equivalent to Rs. 1000 Switch out: Units Equivalent to Rs. 1,000 or the balance in the account of the unitholder, whichever is lower |
| 42 | Switch Multiple Amount (if applicable) | Switch in: in multiples of Re. 1 Switch out: in multiples of Re. 1 |
| 43 | Switch Multiple Units (if applicable) | Switch in: Units equivalent to Re. 1 Switch out: Units equivalent to Re. 1 |
| 44 | Max Switch Amount | Not applicable |
| 45 | Max Switch Units (if applicable) | Not applicable |
| 46 | Swing Pricing (if applicable) | Not applicable. |
| 47 | Side-pocketing (if applicable) | Enabled but no segregated portfolio created |
| 48 | SIP SWP & STP Details: Frequency | SIP - Weekly/ Monthly and Quarterly, SWP - Daily/ Monthly/ Quarterly/ Half Yearly and Yearly, STP - Daily, Weekly, Fortnightly, Monthly, Quarterly and Half Yearly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - Rs. 500 (for weekly frequency)/ Rs. 1,000 (for monthly frequency)/ Rs. 5,000 (for quarterly frequency), SWP - Rs. 1000 , STP - Rs. 100 |
| 50 | SIP SWP & STP Details: In multiple of | SIP - Rs. 1 thereof for all frequencies, STP - Rs. 1 thereof for all frequencies, SWP - Rs. 1 thereof for all frequencies. |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - 12 weeks for weekly frequency/ 6 months for monthly frequency and 2 quarters for quarterly frequency, STP - 6 instalments for all frequencies, SWP - 6 instalments for all frequencies |
| 52 | SIP SWP & STP Details: Dates | SIP - Any day/date of the month for all frequencies, STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency, SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | SIP - Not applicable, STP - Not applicable, SWP - Not applicable |