

Scheme Summary Document		
1	Fund Name	Union Small Cap Fund
2	Option Name (Regular & Direct)	<p>The Scheme has the following Plans across a common portfolio:</p> <p>1) Regular Plan 2) Direct Plan</p> <p>The following options are offered under each of the above mentioned Plans:</p> <p>1) Growth Option 2) Income Distribution cum Capital Withdrawal Option (IDCW)</p> <p>The Income Distribution cum Capital Withdrawal Option has the following Facilities:</p> <p>i) Reinvestment of Income Distribution cum Capital Withdrawal Option ii) Payout of Income Distribution cum Capital Withdrawal Option iii) <u>Transfer of Income Distribution cum Capital Withdrawal Plan</u></p>
3	Fund Type	Small Cap Fund – An Open Ended Equity Scheme predominantly investing in Small Cap stocks
4	Riskometer (At the time of Launch)	<p>Very High Risk</p> <p>The above is the risk level at the time of introduction of 6 risk level riskometer in accordance with SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2020/197 dated October 05, 2020.</p>
5	Riskometer (As on date)	<p>Very High Risk</p> <p>The riskometer is evaluated on a monthly basis and the above riskometer is based on the evaluation of Scheme portfolio as on May 31, 2022.</p>
6	Category as per SEBI Categorisation Circular	Small cap Fund
7	Potential Risk Class Matrix (as on date)	Not applicable
8	Description, Objective of the Scheme	To achieve long term capital appreciation by investing in a portfolio consisting of equity and equity related securities, predominantly of small cap companies. However, there is no assurance that the Investment Objective of the scheme will be achieved.
9	Stated Asset Allocation	<p>Equity and Equity related instruments predominantly** of small cap companies# : 80% - 100% of net assets.</p> <p>Debt and Money Market instruments: 0% - 20% of net assets; Units issued by REITs and InvITs: 0% - 10% of net assets.</p> <p>(**Provided a minimum of 65 % will be invested in small cap companies.) (# Small cap companies are companies which have a market capitalization of less than that of the top 250th listed company in terms of full market capitalisation as prescribed under SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2017/114 dated October 06, 2017 as amended from time to time.)</p> <p><i>Please refer the Scheme Information Document for more details.</i></p>
10	Face Value	Rs. 10 /- per unit

11	NFO Open date	May 20, 2014
12	NFO Close date	June 03, 2014
13	Allotment date	June 10, 2014
14	Reopen date	June 17, 2014
15	Maturity date (For close ended funds)	Not applicable
16	Benchmark Tier 1	S&P BSE 250 SmallCap Index (TRI) S&P BSE 250 SmallCap Index (TRI) Disclaimer: The "Index" viz. "S&P BSE 250 SmallCap Index" is a product of Asia Index Private Limited (AIPL), which is a joint venture of S&P Dow Jones Indices LLC or its affiliates ("SPDJ") and BSE Limited, and has been licensed for use by Union Asset Management Company Private Limited. For the detailed disclaimer in this regard please refer to the Scheme Information Document (SID) of the Scheme.
17	Benchmark Tier 2	Not applicable
18	Fund Manager 1 - Name	Mr. Vinay Paharia
19	Fund Manager 1 - Type (Primary/ Comanage/Description)	Co-manage
20	Fund Manager 1 - from date	April 02, 2018
21	Fund Manager 2 - Name	Mr. Hardick Bora
22	Fund Manager 2 - Type (Primary/ Comanage/Description)	Co-manage
23	Fund Manager 2 - from date	October 25, 2016
24	Annual Expenses (Stated Maximum)	Actual Expense Ratio of the Scheme*: Regular Plan - 2.54% Direct Plan - 1.53% * As per clarifications issued by SEBI, the details of the Actual Expense Ratio has been given instead of the maximum percentage stated in the Scheme Information Document.
25	Exit load (if applicable)	1% if units are redeemed or switched out on or before completion of 15 days from the date of allotment. Nil thereafter.
26	Custodian	SBI-SG Global Securities Services Private Limited.
27	Auditor	M/s S.R. Batliboi & Co. LLP
28	Registrar	Computer Age Management Services Limited (CAMS)
29	RTA Code	SMFG - Regular Plan - Growth SMFGD - Direct Plan - Growth SMFP - Regular Plan - IDCW Payout SMFPD - Direct Plan - IDCW Payout SMFR - Regular Plan - IDCW Reinvestment SMFRD - Direct Plan - IDCW Reinvestment SMSRR - Regular Plan - IDCW Transfer SMSRD - Direct Plan - IDCW Transfer

30	Listing Details	The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date.
31	ISINs	INF582M01BY1 - Regular Plan - Growth INF582M01BU9 - Direct Plan - Growth INF582M01CA9 - Regular Plan - IDCW Payout INF582M01BW5 - Direct Plan - IDCW Payout INF582M01BZ8 - Regular Plan - IDCW Reinvestment INF582M01BV7 - Direct Plan - IDCW Reinvestment INF582M01CB7 - Regular Plan - IDCW Transfer INF582M01BX3 - Direct Plan - IDCW Transfer
32	AMFI Codes	Regular Plan - Growth Option - 129647 Regular Plan - IDCW Option - 129648 Direct Plan - Growth Option - 129649 Direct Plan - IDCW Option - 129646
33	SEBI Codes	UNIN/O/E/SCF/14/03/0005
Investment Amount Details		
34	Minimum Application Amount	Rs. 1,000
35	Minimum Application Amount in multiples of Rs.	Rs. 1
36	Minimum Additional Amount	Rs. 1,000
37	Minimum Additional Amount in multiples of Rs.	Rs. 1
38	Minimum Redemption Amount in Rs.	Rs. 1,000
39	Minimum Redemption Amount in Units	Units Equivalent to Rs. 1,000
40	Minimum Balance Amount (if applicable)	Not applicable
41	Minimum Balance Amount in Units (if applicable)	Not applicable
42	Max Investment Amount	No Limit
43	Minimum Switch Amount (If applicable)	Rs. 1,000
44	Minimum Switch Units	Units Equivalent to Rs. 1,000
45	Switch Multiple Amount (If applicable)	Switch in: in multiples of Rs. 1 Switch out: in multiples of Rs. 1
46	Switch Multiple Units (If applicable)	Switch in: Units equivalent to Rs. 1 Switch out: Units equivalent to Rs. 1
47	Max Switch Amount	No Limit
48	Max Switch Units (if applicable)	No Limit
49	Swing Pricing (If applicable)	Not applicable
50	Side pocketing (if applicable)	Not applicable
SIP, SWP & STP Details		
51	Frequency	SIP - Weekly, Monthly and Quarterly SWP - Daily, Monthly, Quarterly, Half Yearly and Yearly STP - Daily, Weekly, Fortnightly, Monthly, Quarterly and Half Yearly

52	Minimum Amount	<p>SIP</p> <ul style="list-style-type: none"> • Rs. 500 (for weekly frequency) • Rs. 1,000 (for monthly frequency) • Rs. 5,000 (for quarterly frequency) <p>SWP - Rs. 1000</p> <p>STP - Rs. 100</p>
53	In multiple of	<p>SIP - Rs. 1 thereof for all frequencies STP - Rs. 1 thereof for all frequencies SWP - Rs. 1 thereof for all frequencies</p>
54	Minimum Instalments	<p>SIP - 12 weeks for weekly frequency, 6 months for monthly frequency and 2 quarters for quarterly frequency STP - 6 instalments for all frequencies SWP - 6 instalments for all frequencies</p>
55	Dates	<p>SIP - Any day/date of the month for all frequencies STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency</p>
56	Maximum Amount (if any)	<p>SIP - Not applicable STP - Not applicable SWP - Not applicable</p>