

| Scheme Summary Document | | |
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| 1 | Fund Name | Union Small Cap Fund |
| 2 | Option Name (Regular & Direct) | <p>The Scheme has the following Plans across a common portfolio: 1) Regular Plan 2) Direct Plan</p> <p>The following options are offered under each of the above mentioned Plans: 1) Growth Option 2) Income Distribution cum Capital Withdrawal Option (IDCW)</p> <p>The Income Distribution cum Capital Withdrawal Option has the following Facilities: i) Reinvestment of Income Distribution cum Capital Withdrawal Option ii) Payout of Income Distribution cum Capital Withdrawal Option iii) Transfer of Income Distribution cum Capital Withdrawal Plan</p> |
| 3 | Fund Type | Small Cap Fund – An Open Ended Equity Scheme predominantly investing in Small Cap stocks |
| 4 | Riskometer (At the time of Launch) | <p>Very High Risk</p> <p>The above is the risk level at the time of introduction of 6 risk level riskometer in accordance with SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2020/197 dated October 05, 2020.</p> |
| 5 | Riskometer (As on date) | <p>Very High Risk</p> <p>The riskometer is evaluated on a monthly basis and the above riskometer is based on the evaluation of Scheme portfolio as on July 31, 2022.</p> |
| 6 | Category as per SEBI Categorisation Circular | Small cap Fund |
| 7 | Potential Risk Class Matrix (as on date) | Not applicable |
| 8 | Description, Objective of the Scheme | <p>To achieve long term capital appreciation by investing in a portfolio consisting of equity and equity related securities, predominantly of small cap companies. However, there is no assurance that the Investment Objective of the scheme will be achieved.</p> |
| 9 | Stated Asset Allocation | <p>Equity and Equity related instruments predominantly** of small cap companies# : 80% - 100% of net assets. Debt and Money Market instruments: 0% - 20% of net assets; Units issued by REITs and InvITs: 0% - 10% of net assets.</p> <p>(**Provided a minimum of 65 % will be invested in small cap companies.) (# Small cap companies are companies which have a market capitalization of less than that of the top 250th listed company in terms of full market capitalisation as prescribed under SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2017/114 dated October 06, 2017 as amended from time to time.)</p> |
| 10 | Face Value | Rs. 10/- per unit |
| 11 | NFO Open date | May 20, 2014 |
| 12 | NFO Close date | June 03, 2014 |
| 13 | Allotment date | June 10, 2014 |
| 14 | Reopen date | June 17, 2014 |
| 15 | Maturity date (For close ended funds) | Not applicable |
| 16 | Benchmark Tier 1 | S&P BSE 250 SmallCap Index (TRI) |
| 17 | Benchmark Tier 2 | Not applicable |
| 18 | Fund Manager 1 - Name | Mr. Vinay Paharia |
| 19 | Fund Manager 1 - Type (Primary/ Co-manage/Description) | Co-manage |
| 20 | Fund Manager 1 - from date | April 02, 2018 |
| 21 | Fund Manager 2 - Name | Mr. Hardick Bora |
| 22 | Fund Manager 2 - Type (Primary/ Co-manage/Description) | Co-manage |
| 23 | Fund Manager 2 - from date | October 25, 2016 |
| 24 | Annual Expenses (Stated Maximum) | <p>Actual Expense Ratio of the Scheme as on August 04, 2022*: Regular Plan - 2.39% Direct Plan - 1.38%</p> <p>* As per clarifications issued by SEBI, the details of the Actual Expense Ratio has been given instead of the maximum percentage stated in the Scheme Information Document.</p> |
| 25 | Exit load (if applicable) | 1% if units are redeemed or switched out on or before completion of 15 days from the date of allotment. Nil thereafter. |
| 26 | Custodian | SBI-SG Global Securities Services Private Limited. |
| 27 | Auditor | M/s S.R. Batliboi & Co. LLP |
| 28 | Registrar | Computer Age Management Services Limited (CAMS) |
| 29 | RTA Code | <p>SMFG - Regular Plan - Growth SMFGD - Direct Plan - Growth SMFP - Regular Plan - IDCW Payout SMFPD - Direct Plan - IDCW Payout SMFR - Regular Plan - IDCW Reinvestment SMFRD - Direct Plan - IDCW Reinvestment SMSRR - Regular Plan - IDCW Transfer SMSRD - Direct Plan - IDCW Transfer</p> |

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| 30 | Listing Details | The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date. |
| 31 | ISINs | INF582M01BY1 - Regular Plan - Growth INF582M01BU9 - Direct Plan - Growth INF582M01CA9 - Regular Plan - IDCW Payout INF582M01BW5 - Direct Plan - IDCW Payout INF582M01BZ8 - Regular Plan - IDCW Reinvestment INF582M01BV7 - Direct Plan - IDCW Reinvestment INF582M01CB7 - Regular Plan - IDCW Transfer INF582M01BX3 - Direct Plan - IDCW Transfer |
| 32 | AMFI Codes | Regular Plan - Growth Option - 129647 Regular Plan - IDCW Option - 129648 Direct Plan - Growth Option - 129649 Direct Plan - IDCW Option - 129646 |
| 33 | SEBI Codes | UNIN/O/E/SCF/14/03/0005 |
| Investment Amount Details | | |
| 34 | Minimum Application Amount | Rs. 1,000 |
| 35 | Minimum Application Amount in multiples of Rs. | Rs. 1 |
| 36 | Minimum Additional Amount | Rs. 1,000 |
| 37 | Minimum Additional Amount in multiples of Rs. | Rs. 1 |
| 38 | Minimum Redemption Amount in Rs. | Rs. 1,000 |
| 39 | Minimum Redemption Amount in Units | Units Equivalent to Rs. 1,000 |
| 40 | Minimum Balance Amount (if applicable) | Not applicable |
| 41 | Minimum Balance Amount in Units (if applicable) | Not applicable |
| 42 | Max Investment Amount | No Limit |
| 43 | Minimum Switch Amount (If applicable) | Rs. 1,000 |
| 44 | Minimum Switch Units | Units Equivalent to Rs. 1,000 |
| 45 | Switch Multiple Amount (If applicable) | Switch in: in multiples of Rs. 1 Switch out: in multiples of Rs. 1 |
| 46 | Switch Multiple Units (If applicable) | Switch in: Units equivalent to Rs. 1 Switch out: Units equivalent to Rs. 1 |
| 47 | Max Switch Amount | No Limit |
| 48 | Max Switch Units (if applicable) | No Limit |
| 49 | Swing Pricing (If applicable) | Not applicable |
| 50 | Side pocketing (if applicable) | Not applicable |
| SIP, SWP & STP Details | | |
| 51 | Frequency | SIP - Weekly, Monthly and Quarterly SWP - Daily, Monthly, Quarterly, Half Yearly and Yearly STP - Daily, Weekly, Fortnightly, Monthly, Quarterly and Half Yearly |
| 52 | Minimum Amount | SIP • Rs. 500 (for weekly frequency) • Rs. 1,000 (for monthly frequency) • Rs. 5,000 (for quarterly frequency) SWP - Rs. 1000 STP - Rs. 100 |
| 53 | In multiple of | SIP - Rs. 1 thereof for all frequencies STP - Rs. 1 thereof for all frequencies SWP - Rs. 1 thereof for all frequencies |
| 54 | Minimum Instalments | SIP - 12 weeks for weekly frequency, 6 months for monthly frequency and 2 quarters for quarterly frequency STP - 6 instalments for all frequencies SWP - 6 instalments for all frequencies |
| 55 | Dates | SIP - Any day/date of the month for all frequencies STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency |
| 56 | Maximum Amount (if any) | SIP - Not applicable STP - Not applicable SWP - Not applicable |