

Scheme Summary Document		
1	<b>Fund Name</b>	Union Small Cap Fund
2	<b>Option Name (Regular &amp; Direct)</b>	The Scheme has the following Plans across a common portfolio: 1) Regular Plan 2) Direct Plan  The following options are offered under each of the above mentioned Plans: 1) Growth Option 2) Income Distribution cum Capital Withdrawal Option (IDCW)  The Income Distribution cum Capital Withdrawal Option has the following Facilities: i) Reinvestment of Income Distribution cum Capital Withdrawal Option ii) Payout of Income Distribution cum Capital Withdrawal Option iii) Transfer of Income Distribution cum Capital Withdrawal Plan
3	<b>Fund Type</b>	Small Cap Fund – An Open Ended Equity Scheme predominantly investing in Small Cap stocks
4	<b>Riskometer (At the time of Launch)</b>	Very High Risk The above is the risk level at the time of introduction of 6 risk level riskometer in accordance with SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2020/197 dated October 05, 2020.
5	<b>Riskometer (As on date)</b>	Very High Risk The riskometer is evaluated on a monthly basis and the above riskometer is based on the evaluation of Scheme portfolio as on August 31, 2022.
6	<b>Category as per SEBI Categorisation Circular</b>	Small cap Fund
7	<b>Potential Risk Class Matrix (as on date)</b>	Not applicable
8	<b>Description, Objective of the Scheme</b>	To achieve long term capital appreciation by investing in a portfolio consisting of equity and equity related securities, predominantly of small cap companies. However, there is no assurance that the Investment Objective of the scheme will be achieved.
9	<b>Stated Asset Allocation</b>	Equity and Equity related instruments predominantly** of small cap companies# : 80% - 100% of net assets. Debt and Money Market instruments: 0% - 20% of net assets; Units issued by REITs and InvITs: 0% - 10% of net assets.  (*Provided a minimum of 65 % will be invested in small cap companies.) (# Small cap companies are companies which have a market capitalization of less than that of the top 250th listed company in terms of full market capitalisation as prescribed under SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2017/114 dated October 06, 2017 as amended from time to time.)  <i>Please refer the Scheme Information Document for more details.</i>
10	<b>Face Value</b>	Rs. 10/- per unit
11	<b>NFO Open date</b>	May 20, 2014
12	<b>NFO Close date</b>	June 03, 2014
13	<b>Allotment date</b>	June 10, 2014
14	<b>Reopen date</b>	June 17, 2014
15	<b>Maturity date (For close ended funds)</b>	Not applicable
16	<b>Benchmark Tier 1</b>	S&P BSE 250 SmallCap Index (TRI)  <b>S&amp;P BSE 250 SmallCap Index (TRI) Disclaimer:</b> The "Index" viz. "S&P BSE 250 SmallCap Index" is a product of Asia Index Private Limited (A IPL), which is a joint venture of S&P Dow Jones Indices LLC or its affiliates ("SPDJ") and BSE Limited, and has been licensed for use by Union Asset Management Company Private Limited. For the detailed disclaimer in this regard please refer to the Scheme Information Document (SID) of the Scheme.
17	<b>Benchmark Tier 2</b>	Not applicable
18	<b>Fund Manager 1 - Name</b>	Mr. Vinay Paharia
19	<b>Fund Manager 1 - Type (Primary/ Co-manage/Description)</b>	Co-manage
20	<b>Fund Manager 1 - from date</b>	April 02, 2018
21	<b>Fund Manager 2 - Name</b>	Mr. Hardick Bora
22	<b>Fund Manager 2 - Type (Primary/ Co-manage/Description)</b>	Co-manage
23	<b>Fund Manager 2 - from date</b>	October 25, 2016
24	<b>Annual Expenses (Stated Maximum)</b>	Actual Expense Ratio of the Scheme as on September 03, 2022*: Regular Plan - 2.36% Direct Plan - 1.35% * As per clarifications issued by SEBI, the details of the Actual Expense Ratio has been given instead of the maximum percentage stated in the Scheme Information Document.
25	<b>Exit load (if applicable)</b>	1% if units are redeemed or switched out on or before completion of 15 days from the date of allotment. Nil thereafter.
26	<b>Custodian</b>	SBI-SG Global Securities Services Private Limited.
27	<b>Auditor</b>	M/s S.R. Batliboi & Co. LLP
28	<b>Registrar</b>	Computer Age Management Services Limited (CAMS)
29	<b>RTA Code</b>	SMFG - Regular Plan - Growth SMFGD - Direct Plan - Growth SMFP - Regular Plan - IDCW Payout SMFPD - Direct Plan - IDCW Payout SMFR - Regular Plan - IDCW Reinvestment SMFRD - Direct Plan - IDCW Reinvestment SMSRR - Regular Plan - IDCW Transfer SMSRD - Direct Plan - IDCW Transfer

30	<b>Listing Details</b>	The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date.
31	<b>ISINs</b>	INF582M01BY1 - Regular Plan - Growth INF582M01BU9 - Direct Plan - Growth INF582M01CA9 - Regular Plan - IDCW Payout INF582M01BW5 - Direct Plan - IDCW Payout INF582M01BZ8 - Regular Plan - IDCW Reinvestment INF582M01BV7 - Direct Plan - IDCW Reinvestment INF582M01CB7 - Regular Plan - IDCW Transfer INF582M01BX3 - Direct Plan - IDCW Transfer
32	<b>AMFI Codes</b>	Regular Plan - Growth Option - 129647 Regular Plan - IDCW Option - 129648 Direct Plan - Growth Option - 129649 Direct Plan - IDCW Option - 129646
33	<b>SEBI Codes</b>	UNIN/O/E/SCF/14/03/0005
<b>Investment Amount Details</b>		
34	<b>Minimum Application Amount</b>	Rs. 1,000
35	<b>Minimum Application Amount in multiples of Rs.</b>	Rs. 1
36	<b>Minimum Additional Amount</b>	Rs. 1,000
37	<b>Minimum Additional Amount in multiples of Rs.</b>	Rs. 1
38	<b>Minimum Redemption Amount in Rs.</b>	Rs. 1,000
39	<b>Minimum Redemption Amount in Units</b>	Units Equivalent to Rs. 1,000
40	<b>Minimum Balance Amount (if applicable)</b>	Not applicable
41	<b>Minimum Balance Amount in Units (if applicable)</b>	Not applicable
42	<b>Max Investment Amount</b>	No Limit
43	<b>Minimum Switch Amount (If applicable)</b>	Rs. 1,000
44	<b>Minimum Switch Units</b>	Units Equivalent to Rs. 1,000
45	<b>Switch Multiple Amount (If applicable)</b>	<b>Switch in:</b> in multiples of Rs. 1 <b>Switch out:</b> in multiples of Rs. 1
46	<b>Switch Multiple Units (If applicable)</b>	<b>Switch in:</b> Units equivalent to Rs. 1 <b>Switch out:</b> Units equivalent to Rs. 1
47	<b>Max Switch Amount</b>	<b>No Limit</b>
48	<b>Max Switch Units (if applicable)</b>	<b>No Limit</b>
49	<b>Swing Pricing (If applicable)</b>	Not applicable
50	<b>Side pocketing (if applicable)</b>	Not applicable
<b>SIP, SWP &amp; STP Details</b>		
51	<b>Frequency</b>	SIP - Weekly, Monthly and Quarterly SWP - Daily, Monthly, Quarterly, Half Yearly and Yearly STP - Daily, Weekly, Fortnightly, Monthly, Quarterly and Half Yearly
52	<b>Minimum Amount</b>	SIP • Rs. 500 (for weekly frequency) • Rs. 1,000 (for monthly frequency) • Rs. 5,000 (for quarterly frequency)  SWP - Rs. 1000  STP - Rs. 100
53	<b>In multiple of</b>	<b>SIP</b> - Rs. 1 thereof for all frequencies <b>STP</b> - Rs. 1 thereof for all frequencies <b>SWP</b> - Rs. 1 thereof for all frequencies
54	<b>Minimum Instalments</b>	<b>SIP</b> - 12 weeks for weekly frequency, 6 months for monthly frequency and 2 quarters for quarterly frequency <b>STP</b> - 6 instalments for all frequencies <b>SWP</b> - 6 instalments for all frequencies
55	<b>Dates</b>	<b>SIP</b> - Any day/date of the month for all frequencies <b>STP</b> - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency <b>SWP</b> - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency
56	<b>Maximum Amount (if any)</b>	<b>SIP</b> - Not applicable <b>STP</b> - Not applicable <b>SWP</b> - Not applicable