

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Union Small Cap Fund
2	Option Names (Regular & Direct)	Direct Plan - Growth Option, Direct plan - Reinvestment of Income Distribution cum Capital Withdrawal Option, Direct Plan - Payout of Income Distribution cum Capital Withdrawal Option, Direct Plan - Transfer of Income Distribution cum Capital Withdrawal Option, Regular Plan - Growth Option, Regular Plan - Reinvestment of Income Distribution cum Capital Withdrawal Option, Regular Plan - Payout of Income Distribution cum Capital Withdrawal Option, Regular Plan - Transfer of Income Distribution cum Capital Withdrawal Option,
3	Fund Type	Small Cap Fund – An Open Ended Equity Scheme predominantly investing in Small Cap stocks
4	Riskometer (At the time of Launch)	Very High Risk
5	Riskometer (as on Date)	Very High Risk
6	Category as Per SEBI Categorization Circular	Small cap Fund
7	Potential Risk Class (as on date)	Not applicable
8	Description, Objective of the scheme	To achieve long term capital appreciation by investing in a portfolio consisting of equity and equity related securities, predominantly of small cap companies. However, there is no assurance that the Investment Objective of the scheme will be achieved.
9	Stated Asset Allocation	Equity and Equity related instruments predominantly** of small cap companies# - 80% - 100% of net assets, Debt and Money Market instrument - 0% - 20% of net assets, Units issued by REITs and InvITs - 0% - 10% of net assets. (**Provided a minimum of 65 % will be invested in small cap companies.) (# Small cap companies are companies which have a market capitalization of less than that of the top 250th listed company in terms of full market capitalisation as prescribed under SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2017/114 dated October 06, 2017 as amended from time to time.) Please refer the Scheme Information Document for more details.
10	Face Value	10
11	NFO Open Date	20-May-2014
12	NFO Close date	03-Jun-2014
13	Allotment Date	10-Jun-2014
14	Reopen Date	17-Jun-2014
15	Maturity Date (For closed-end funds)	Not applicable
16	Benchmark (Tier 1)	S&P BSE 250 SmallCap Index (TRI)
17	Benchmark (Tier 2)	Not applicable
18	Fund Manager Name	FM 1 Mr. Hardick Bora, FM 2 Sanjay Bembalkar
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 - Co-manage, FM 2 - Co-manage
20	Fund Manager From Date	FM 1 - 25-10-2016, FM 2 - 25-01-2023
21	Annual Expense (Stated maximum)	Regular 2.15, Direct 0.89
22	Exit Load (if applicable)	1% if units are redeemed or switched out on or before completion of 15 days from the date of allotment. Nil thereafter.
23	Custodian	SBI-SG Global Securities Services Private Limited.
24	Auditor	M/s S.R Batliboi & Co. LLP
25	Registrar	Computer Age Management Services Limited (CAMS)
26	RTA Code (To be phased out)	Union Small Cap Fund - SMFG - Regular Plan - Growth, Union Small Cap Fund - SMFGD - Direct Plan - Growth, Union Small Cap Fund - SMFP - Regular Plan - IDCW Payout, Union Small Cap Fund - SMFPD - Direct Plan - IDCW Payout, Union Small Cap Fund - SMFR - Regular Plan - IDCW Reinvestment, Union Small Cap Fund - SMFRD - Direct Plan - IDCW Reinvestment, Union Small Cap Fund - SMSRR - Regular Plan - IDCW Transfer, Union Small Cap Fund - SMSRD - Direct Plan - IDCW Transfer
27	Listing Details	The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date.
28	ISINs	Union Small Cap Fund INF582M01BY1 - Regular Plan - Growth, Union Small Cap Fund INF582M01BU9 - Direct Plan - Growth, Union Small Cap Fund INF582M01CA9 - Regular Plan - IDCW Payout, Union Small Cap Fund INF582M01BW5 - Direct Plan - IDCW Payout, Union Small Cap Fund INF582M01BZ8 - Regular Plan - IDCW Reinvestment, Union Small Cap Fund INF582M01BV7 - Direct Plan - IDCW Reinvestment, Union Small Cap Fund INF582M01CB7 - Regular Plan - IDCW Transfer, Union Small Cap Fund INF582M01BX3 - Direct Plan - IDCW Transfer
29	AMFI Codes (To be phased out)	Union Small cap Fund Regular Plan - Growth Option - 129647, Union Small Cap Fund Regular Plan - IDCW Option - 129648, Union Small Cap Fund Direct Plan - Growth Option - 129649, Union Small Cap Fund Direct Plan - IDCW Option - 129646
30	SEBI Codes	UNIN/O/E/SCF/14/03/0005
31	Minimum Application Amount	1000
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	1000
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	1000
36	Minimum Redemption Amount in Units	Units Equivalent to Rs. 1,000
37	Minimum Balance Amount (if applicable)	Not applicable
38	Minimum Balance Amount in Units (if applicable)	Not applicable
39	Max Investment Amount	No Limit
40	Minimum Switch Amount (if applicable)	1000
41	Minimum Switch Units	Units Equivalent to Rs. 1,000
42	Switch Multiple Amount (if applicable)	Switch in: in multiples of Rs. 1 Switch out: in multiples of Rs. 1
43	Switch Multiple Units (if applicable)	Switch in: Units equivalent to Rs. 1 Switch out: Units equivalent to Rs. 1
44	Max Switch Amount	No Limit
45	Max Switch Units (if applicable)	No Limit

SCHEME SUMMARY DOCUMENT

Fields		
46	Swing Pricing (if applicable)	Not applicable
47	Side-pocketing (if applicable)	Not applicable
48	SIP SWP & STP Details: Frequency	SIP - Weekly/Monthly/Quarterly, SWP - Daily/Monthly/Quarterly/Half Yearly/Yearly, STP - Daily/Weekly/Fortnightly/Monthly/Quarterly/Half Yearly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 500 (for weekly frequency)/ Rs. 1,000 (for monthly frequency)/ Rs. 5,000 (for quarterly frequency), SWP - Rs. 1000, STP - Rs. 100
50	SIP SWP & STP Details: In multiple of	SIP - Rs. 1 thereof for all frequencies, STP - Rs. 1 thereof for all frequencies, SWP - Rs. 1 thereof for all frequencies
51	SIP SWP & STP Details: Minimum Instalments	SIP - 12 weeks for weekly frequency/ 6 months for monthly frequency/ 2 quarters for quarterly frequency, STP - 6 instalments for all frequencies/ SWP - 6 instalments for all frequencies
52	SIP SWP & STP Details: Dates	SIP - Any day/date of the month for all frequencies, STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency, SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency
53	SIP SWP & STP Details: Maximum Amount (if any)	SIP - Not applicable, STP - Not applicabl, SWP - Not applicable