

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	UTI - Arbitrage Fund
2	Option Names (Regular & Direct)	Regular Plan-Growth, Regular Plan-CanServe, Regular Plan-Reinvestment of IDCW, Regular Plan-Payout of IDCW, Regular Plan-Payout of IDCW (Canserve), Direct Plan-Growth, Direct Plan-CanServe, Direct Plan-Reinvestment of IDCW, Direct Plan-Payout of IDCW, Direct Plan-Payout of IDCW (Canserve),
3	Fund Type	An open ended scheme investing in arbitrage opportunities
4	Riskometer (At the time of Launch)	Low
5	Riskometer (as on Date)	Low
6	Category as Per SEBI Categorization Circular	Hybrid - Arbitrage Fund
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	The objective of the scheme is to generate capital appreciation through arbitrage opportunities between cash and derivative market and arbitrage opportunities within the derivative segment and by deployment of surplus cash in debt securities and money market instruments. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.
9	Stated Asset Allocation	(1) Under normal market circumstances, the investment range would be as follows: Equity and equity related instruments: 65-100% (Medium to High) Derivatives including Index Futures, Stock Futures, Index Options and Stock Options*: 65-100% (Medium to High) Money Market, Debt instruments, Securitized debt# and call money: 0-35% (Low to Medium) (2) The asset allocation under defensive circumstances would be as follows: Equity and equity related instruments: 0-65% (Medium to High) Derivatives including Index Futures, Stock Futures, Index Options and Stock Options*: 0-65% (Medium to High) Money Market, Debt instruments, Securitized debt# and call money: 35-100% (Low to Medium) #The fund may invest up to 50% of its debt portfolio in securitized debt * The exposure to derivative shown in the above asset allocation tables is the exposure taken against the underlying equity investments and should not be considered for calculating the total asset allocation. The idea is not to take additional asset allocation with the use of derivatives. The notional value exposure in derivatives securities would be reckoned for the purposes of the specified limits. The margin money deployed on these positions would be included in the Money Market/Debt category.
10	Face Value	10
11	NFO Open Date	Not Available
12	NFO Close date	Not Available
13	Allotment Date	22-Jun-2006
14	Reopen Date	Not Available
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty 50 Arbitrage Index
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager Name	Mr Sharwan Kumar Goyal FM 1, Mr. Amit Sharma FM 2, Mr. Deepesh Agarwal FM 3, Not Applicable FM 4
19	Fund Manager Type (Primary/Comanage/Description)	Co manage (Equity) FM 1, Co manage (Debt) FM 2, Co managed (Overseas) FM 3, Not Applicable FM 4
20	Fund Manager From Date	01/12/2020 FM 1, 01/07/2018 FM 2, 02/05/2022 FM 3, Not Applicable FM 4
21	Annual Expense (Stated maximum)	Regular 0.85, Direct 0.36
22	Exit Load (if applicable)	For subscriptions received w.e.f. March 6th, 2019, applicable Exit load: Redemption / Switch out within 21 days from the date of allotment – (i) NIL for upto 10% of the allotted Units (ii) 0.25 % for beyond 10% of the allotted Units.
23	Custodian	Stock Holding Corporation of India
24	Auditor	S. R. Batliboi & Co. LLP.
25	Registrar	KFin Technologies Limited
26	RTA Code (To be phased out)	SFGPG-Regular Plan-Growth, SFGPC-Regular Plan - Growth Plan-CanServe, SFDPR-Regular Plan-Reinvestment of IDCW, SFDPD-Regular Plan-Payout of IDCW, SFDPC-Regular Plan-Payout of IDCW (Canserve), SFD2G-Direct Plan-Growth, SFD2C-Direct Plan - Growth Plan-CanServe, SFD1R-Direct Plan-Reinvestment of IDCW, SFD1D-Direct Plan-Payout of IDCW, SFD1C-Direct Plan-Payout of IDCW (Canserve),
27	Listing Details	Not Listed
28	ISINs	Regular Plan-Growth-INF789FB1RJ0, Regular Plan-Reinvestment of IDCW-INF789FB1RI2, Regular Plan-Payout of IDCW-INF789FB1RH4, Direct Plan-Growth-INF789FB1RG6, Direct Plan-Reinvestment of IDCW-INF789FB1RF8, Direct Plan-Payout of IDCW-INF789FB1RE1
29	AMFI Codes (To be phased out)	120795-Direct Plan - Growth Option; 120796-Direct Plan - IDCW; 104075-Regular Plan - Growth Option; 104074-Regular Plan - IDCW
30	SEBI Codes	UTIM/O/H/ARB/06/01/0026
31	Minimum Application Amount	Regular Plan-Growth- Rs.5000, Regular Plan - Growth-CanServe- Rs.5000, Regular Plan-Reinvestment of IDCW- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Regular Plan-Payout of IDCW (Canserve)- Rs.5000, Direct Plan-Growth- Rs.5000, Direct Plan - Growth-CanServe- Rs.5000, Direct Plan-Reinvestment of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000, Direct Plan-Payout of IDCW (Canserve)- Rs.5000,
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	Regular Plan-Growth-Rs.1000, Regular Plan - Growth-CanServe-Rs.1000, Regular Plan-Reinvestment of IDCW-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Regular Plan-Payout of IDCW (Canserve)-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Plan - Growth-CanServe-Rs.1000, Direct Plan-Reinvestment of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000, Direct Plan-Payout of IDCW (Canserve)-Rs.1000,
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	Regular Plan-Growth-Rs.1000, Regular Growth Plan-CanServe-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Growth Plan-CanServe-Rs.1000
36	Minimum Redemption Amount in Units	Not Applicable
37	Minimum Balance Amount (if applicable)	Not Applicable
38	Minimum Balance Amount in Units (if applicable)	Not Applicable
39	Max Investment Amount	Not Applicable

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40	Minimum Switch Amount (if applicable)	Not Applicable
41	Minimum Switch Units	Not Applicable
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Enabled.
48	SIP SWP & STP Details: Frequency	SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly,Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly,Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000
50	SIP SWP & STP Details: In multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
51	SIP SWP & STP Details: Minimum Instalments	SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20,Weekly-6,Monthly-6,Quarterly-2
52	SIP SWP & STP Details: Dates	Any day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable