

| Fields | SCHEME SUMMARY DOCUMENT                          |  |
|--------|--|--|
| 1      | Fund Name  | UTI Small Cap Fund   |
| 2      | Option Names (Regular & Direct)                  | Direct Plan-Payout of IDCW, Regular Plan-Payout of IDCW, Direct Plan-Growth, Regular Plan-Growth,  |
| 3      | Fund Type  | An open-ended equity scheme predominantly investing in small cap stocks.   |
| 4      | Riskometer (At the time of Launch)               | Very High  |
| 5      | Riskometer (as on Date)                          | Very High  |
| 6      | Category as Per SEBI Categorization Circular     | Equity - Small Cap Fund  |
| 7      | Potential Risk Class (as on date)                | Not Applicable   |
| 8      | Description, Objective of the scheme             | The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of small cap companies. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.  |
| 9      | Stated Asset Allocation                          | Equity and equity related instruments (minimum 65% of the total assets would be in equity and equity related instruments of small cap companies): 65-100% (Medium to High) Debt and Money Market instruments including securitized debt#: 0-35% (Low to Medium) Units issued by REITs & InvITs: 0-10% (Medium to High) #The fund may invest up to 50% of its debt portfolio in securitized debt. |
| 10     | Face Value                                       | 10   |
| 11     | NFO Open Date                                    | 02-Dec-2020  |
| 12     | NFO Close date                                   | 16-Dec-2020  |
| 13     | Allotment Date                                   | 22-Dec-2020  |
| 14     | Reopen Date                                      | 23-Dec-2020  |
| 15     | Maturity Date (For closed-end funds)             | Not Applicable   |
| 16     | Benchmark (Tier 1)                               | Nifty Smallcap 250 TRI   |
| 17     | Benchmark (Tier 2)                               | Not Applicable   |
| 18     | Fund Manager Name                                | Mr. Ankit Agarwal FM 1, Mr. Deepesh Agarwal FM 2, Not Applicable FM 3, Not Applicable FM 4   |
| 19     | Fund Manager Type (Primary/Comanage/Description) | Primary FM 1, Co manage FM 2, Not Applicable FM 3, Not Applicable FM 4   |
| 20     | Fund Manager From Date                           | 01/12/2020 FM 1, 02/05/2022 FM 2, Not Applicable FM 3, Not Applicable FM 4   |
| 21     | Annual Expense (Stated maximum)                  | Regular 1.87, Direct 0.42  |
| 22     | Exit Load (if applicable)                        | W.e.f. 22-12-2020 Exit Load: Less than one year: 1% and Greater than or equal to one year: Nil   |
| 23     | Custodian  | Stock Holding Corporation of India   |
| 24     | Auditor  | S. R. Batliboi & Co. LLP.  |
| 25     | Registrar  | KFin Technologies Limited  |
| 26     | RTA Code (To be phased out)                      | SPGPG-Regular Plan-Growth, SPG1G-Direct Plan-Growth, SPDPD-Regular Plan-Payout of IDCW, SPD1D-Direct Plan-Payout of IDCW,  |
| 27     | Listing Details                                  | Not Listed   |
| 28     | ISINs  | Direct Plan-Payout of IDCW-INF789F1AUR9, Regular Plan-Payout of IDCW-INF789F1AUP3, Direct Plan-Growth-INF789F1AUQ1, Regular Plan-Growth-INF789F1AUO6,  |
| 29     | AMFI Codes (To be phased out)                    | 148618-Direct Plan - Growth Option; 148619-Direct Plan - IDCW (Payout); 148617-Regular Plan - Growth Option; 148616-Regular Plan - IDCW (Payout)   |
| 30     | SEBI Codes                                       | UTIM/O/E/SCF/20/03/0094  |
| 31     | Minimum Application Amount                       | Regular Plan-Growth- Rs.5000, Direct Plan-Growth- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000,  |
| 32     | Minimum Application Amount in multiples of Rs.   | 1  |
| 33     | Minimum Additional Amount                        | Regular Plan-Growth-Rs.1000, Direct Plan-Growth-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000,  |
| 34     | Minimum Additional Amount in multiples of Rs.    | 1  |
| 35     | Minimum Redemption Amount in Rs.                 | Not Applicable   |
| 36     | Minimum Redemption Amount in Units               | Not Applicable   |
| 37     | Minimum Balance Amount (if applicable)           | Not Applicable   |
| 38     | Minimum Balance Amount in Units (if applicable)  | Not Applicable   |
| 39     | Max Investment Amount                            | Not Applicable   |
| 40     | Minimum Switch Amount (if applicable)            | Not Applicable   |
| 41     | Minimum Switch Units                             | Not Applicable   |
| 42     | Switch Multiple Amount (if applicable)           | Not Applicable   |
| 43     | Switch Multiple Units (if applicable)            | Not Applicable   |
| 44     | Max Switch Amount                                | Not Applicable   |
| 45     | Max Switch Units (if applicable)                 | Not Applicable   |
| 46     | Swing Pricing (if applicable)                    | Not Applicable   |
| 47     | Side-pocketing (if applicable)                   | Enabled  |
| 48     | SIP SWP & STP Details: Frequency                 | SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly, Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly, Quarterly  |
| 49     | SIP SWP & STP Details: Minimum amount            | SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000  |
| 50     | SIP SWP & STP Details: In multiple of            | SIP - Re. 1 SWP - Re. 1 STP - Re. 1  |
| 51     | SIP SWP & STP Details: Minimum Instalments       | SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20, Weekly-6, Monthly-6, Quarterly-2  |
| 52     | SIP SWP & STP Details: Dates                     | Any day  |
| 53     | SIP SWP & STP Details: Maximum Amount (if any)   | Not Applicable   |