

| Fields | SCHEME SUMMARY DOCUMENT | |
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| 1 | Fund Name | UTI - Banking and Financial Services Fund |
| 2 | Option Names (Regular & Direct) | Regular Plan-Growth, Regular Plan-Reinvestment of IDCW, Regular Plan-Payout of IDCW, Direct Plan-Growth, Direct Plan-Reinvestment of IDCW, Direct Plan-Payout of IDCW, |
| 3 | Fund Type | An open ended equity scheme investing in Banking and Financial Services Sector. |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on Date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Equity - Sectoral (Banking & Financial Services) |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of companies/institutions engaged in the banking and financial services activities. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved. |
| 9 | Stated Asset Allocation | Equity and equity related instruments (minimum 80% of the total assets would be in equity and equity related instruments of companies engaged in banking and financial services activities): 80-100% (Medium to High) Debt and Money Market instruments including securitized debt#: 0-20% (Low to Medium) Units issued by REITs & InvITs: 0-10% (Medium to High) # The fund may invest up to 50% of its debt portfolio in securitized debt |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | Not Available |
| 12 | NFO Close date | Not Available |
| 13 | Allotment Date | 07-Apr-2004 |
| 14 | Reopen Date | Not Available |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty Financial Services TRI |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager Name | Ms. Preethi R S FM 1,Mr. Deepesh Agarwal FM 2,Not Applicable FM 3 |
| 19 | Fund Manager Type (Primary/Comanage/Description) | Primary FM 1,Co managed (Overseas) FM 2,Not Applicable FM 3 |
| 20 | Fund Manager From Date | 02/05/2022 FM 1,02/05/2022 FM 2,Not Applicable FM 3 |
| 21 | Annual Expense (Stated maximum) | Regular 2.28, Direct 1.18 |
| 22 | Exit Load (if applicable) | W.e.f. 01/04/2021 Exit Load : 1.00% if redeemed before 30 days from the date of investment. |
| 23 | Custodian | Stock Holding Corporation of India |
| 24 | Auditor | S. R. Batliboi & Co. LLP. |
| 25 | Registrar | KFin Technologies Limited |
| 26 | RTA Code (To be phased out) | BKIGG-Institutional Plan-Growth, BKIDR-Regular Plan-Reinvestment of IDCW (Institutional), BKIDD-Regular Plan-Payout of IDCW (Institutional), BKGPG-Regular Plan-Growth, BKDPR-Regular Plan-Reinvestment of IDCW, BKDPD-Regular Plan-Payout of IDCW, BKD2G-Direct Plan-Growth, BKD1R-Direct Plan-Reinvestment of IDCW, BKD1D-Direct Plan-Payout of IDCW, |
| 27 | Listing Details | Not Listed |
| 28 | ISINs | Institutional Plan-Growth-INF789F01PF5, Regular Plan-Reinvestment of IDCW (Institutional)-INF789F01PE8, Regular Plan-Payout of IDCW (Institutional)-, Regular Plan-Growth-INF789F01372, Regular Plan-Reinvestment of IDCW-INF789F01364, Regular Plan-Payout of IDCW-INF789F01356, Direct Plan-Growth-INF789F01SN3, Direct Plan-Reinvestment of IDCW-INF789F01SM5, Direct Plan-Payout of IDCW-INF789F01SL7, |
| 29 | AMFI Codes (To be phased out) | 120733-Direct Plan - Growth Option;120732-Direct Plan - IDCW;102401-Regular Plan - Growth Option;102402-Regular Plan - IDCW |
| 30 | SEBI Codes | UTIM/O/E/SEC/04/01/0022 |
| 31 | Minimum Application Amount | Regular Plan-Growth- Rs.5000, Regular Plan-Reinvestment of IDCW- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Direct Plan-Growth- Rs.5000, Direct Plan-Reinvestment of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000, |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | Regular Plan-Growth-Rs.1000, Regular Plan-Reinvestment of IDCW-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Plan-Reinvestment of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000, |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | Not Applicable |
| 36 | Minimum Redemption Amount in Units | Not Applicable |
| 37 | Minimum Balance Amount (if applicable) | Not Applicable |
| 38 | Minimum Balance Amount in Units (if applicable) | Not Applicable |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Not Applicable |
| 41 | Minimum Switch Units | Not Applicable |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable |
| 43 | Switch Multiple Units (if applicable) | Not Applicable |
| 44 | Max Switch Amount | Not Applicable |
| 45 | Max Switch Units (if applicable) | Not Applicable |
| 46 | Swing Pricing (if applicable) | Not Applicable |
| 47 | Side-pocketing (if applicable) | Not Enabled |
| 48 | SIP SWP & STP Details: Frequency | SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly,Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly,Quarterly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000 |
| 50 | SIP SWP & STP Details: In multiple of | SIP - Re. 1 SWP - Re. 1 STP - Re. 1 |

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| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20,Weekly-6,Monthly-6,Quarterly-2 |
| 52 | SIP SWP & STP Details: Dates | Any day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable |