

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	UTI Small Cap Fund
2	Option Names (Regular & Direct)	Direct Plan-Payout of IDCW, Regular Plan-Payout of IDCW, Direct Plan-Growth, Regular Plan-Growth,
3	Fund Type	An open-ended equity scheme predominantly investing in small cap stocks.
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Equity - Small Cap Fund
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of small cap companies. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.
9	Stated Asset Allocation	Equity and equity related instruments (minimum 65% of the total assets would be in equity and equity related instruments of small cap companies): 65-100% (Medium to High) Debt and Money Market instruments including securitized debt#: 0-35% (Low to Medium) Units issued by REITs & InvITs: 0-10% (Medium to High) #The fund may invest up to 50% of its debt portfolio in securitized debt.
10	Face Value	10
11	NFO Open Date	02-Dec-2020
12	NFO Close date	16-Dec-2020
13	Allotment Date	22-Dec-2020
14	Reopen Date	23-Dec-2020
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Smallcap 250 TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager Name	Mr. Ankit Agarwal FM 1, Mr. Deepesh Agarwal FM 2, Not Applicable FM 3, Not Applicable FM 4
19	Fund Manager Type (Primary/Comanage/Description)	Primary FM 1, Co manage FM 2, Not Applicable FM 3, Not Applicable FM 4
20	Fund Manager From Date	01/12/2020 FM 1, 02/05/2022 FM 2, Not Applicable FM 3, Not Applicable FM 4
21	Annual Expense (Stated maximum)	Regular 1.85, Direct 0.46
22	Exit Load (if applicable)	W.e.f. 22-12-2020 Exit Load: Less than one year: 1% and Greater than or equal to one year: Nil
23	Custodian	Stock Holding Corporation of India
24	Auditor	S. R. Batliboi & Co. LLP.
25	Registrar	KFin Technologies Limited
26	RTA Code (To be phased out)	SPGPG-Regular Plan-Growth, SPG1G-Direct Plan-Growth, SPDPD-Regular Plan-Payout of IDCW, SPD1D-Direct Plan-Payout of IDCW,
27	Listing Details	Not Listed
28	ISINs	Direct Plan-Payout of IDCW-INF789F1AUR9, Regular Plan-Payout of IDCW-INF789F1AUP3, Direct Plan-Growth-INF789F1AUQ1, Regular Plan-Growth-INF789F1AUO6,
29	AMFI Codes (To be phased out)	148618-Direct Plan - Growth Option; 148619-Direct Plan - IDCW (Payout); 148617-Regular Plan - Growth Option; 148616-Regular Plan - IDCW (Payout)
30	SEBI Codes	UTIM/O/E/SCF/20/03/0094
31	Minimum Application Amount	Regular Plan-Growth- Rs.5000, Direct Plan-Growth- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000,
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	Regular Plan-Growth-Rs.1000, Direct Plan-Growth-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000,
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	Not Applicable
36	Minimum Redemption Amount in Units	Not Applicable
37	Minimum Balance Amount (if applicable)	Not Applicable
38	Minimum Balance Amount in Units (if applicable)	Not Applicable
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Not Applicable
41	Minimum Switch Units	Not Applicable
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Enabled
48	SIP SWP & STP Details: Frequency	SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly, Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly, Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000
50	SIP SWP & STP Details: In multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
51	SIP SWP & STP Details: Minimum Instalments	SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20, Weekly-6, Monthly-6, Quarterly-2
52	SIP SWP & STP Details: Dates	Any day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable