

SCHEME SUMMARY DOCUMENT

Scheme Code	751
Fund Name	UTI Small Cap Fund
Option Names (Regular & Direct)	Direct Plan-Payout of IDCW, Regular Plan-Payout of IDCW, Direct Plan-Growth, Regular Plan-Growth,
Fund Type	An open-ended equity scheme predominantly investing in small cap stocks.
Riskometer (at the time of the launch)	Very High
Riskometer (as on date)	Very High
Category as per SEBI Categorisation Circular	Equity - Small Cap Fund
Potential Risk Class (as on date)	Not Applicable
Description, Objective of the Scheme	<p>The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of small cap companies.</p> <p>However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.</p>
Stated Asset Allocation	<p>Equity and equity related instruments (minimum 65% of the total assets would be in equity and equity related instruments of small cap companies): 65-100% (Medium to High)</p> <p>Debt and Money Market instruments including securitized debt#: 0-35% (Low to Medium)</p> <p>Units issued by REITs & InvITs: 0-10% (Medium to High)</p> <p>#The fund may invest up to 50% of its debt portfolio in securitized debt.</p>
Face Value	10
NFO Open Date	02/12/2020
NFO Close Date	16/12/2020
Allotment Date	22/12/2020
Reopen Date	23/12/2020
Maturity Date (For close-ended funds)	Not Applicable

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Benchmark (Tier 1)	Nifty Smallcap 250 TRI
Benchmark (Tier 2)	Not Applicable
Fund Manager 1 - Name	Mr. Ankit Agarwal
Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
Fund Manager 1 - From Date	20/12/2022
Fund Manager 2 - Name	Mr. Kamal Gada
Fund Manager 2 - Type (Primary/Comanage/Description)	Co manage (Overseas)
Fund Manager 2 - From Date	20/12/2022
Fund Manager 3 - Name	Not Applicable
Fund Manager 3 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 3 - From Date	Not Applicable
Fund Manager 4 - Name	Not Applicable
Fund Manager 4 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 4 - From Date	Not Applicable
Annual Expense (Stated maximum)	Regular Plan-2.27 ; Direct Plan-0.37
Exit Load (if applicable)	W.e.f. 22-12-2020 Exit Load: Less than one year: 1% and Greater than or equal to one year: Nil
Custodian	Stock Holding Corporation of India
Auditor	S. R. Batliboi & Co. LLP.
Registrar	KFin Technologies Pvt. Ltd.
RTA Code (to be phased out)	SPGPG-Regular Plan-Growth, SPG1G-Direct Plan-Growth, SPDPD-Regular Plan-Payout of IDCW, SPD1D-Direct Plan-Payout of IDCW,
Listing Details	Not Listed
ISINs	Direct Plan-Payout of IDCW-INF789F1AUR9, Regular

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Plan-Payout of IDCW-INF789F1AUP3, Direct Plan-Growth-INF789F1AUQ1, Regular Plan-Growth-INF789F1AUO6,

AMFI Codes (to be phased out) SEBI Codes 148618-Direct Plan - Growth Option;148619-Direct Plan - IDCW (Payout);148617-Regular Plan - Growth Option;148616-Regular Plan - IDCW (Payout)

Investment Amount Details

Minimum Application Amount Regular Plan-Growth- Rs.5000, Direct Plan-Growth-Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000,

Minimum Application Amount in multiples of Rs. 1

Minimum Additional Amount Regular Plan-Growth-Rs.1000, Direct Plan-Growth-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000,

Minimum Additional Amount in multiples of Rs. 1

Minimum Redemption Amount in Rs. Not Applicable

Minimum Redemption Amount in Units Not Applicable

Minimum Balance Amount (if applicable) Not Applicable

Minimum Balance Amount in Units (if applicable) Not Applicable

Max Investment Amount Not Applicable

Minimum Switch Amount (if applicable) Not Applicable

Minimum Switch Units Not Applicable

Switch Multiple Amount (if applicable) Not Applicable

Switch Multiple Units (if applicable) Not Applicable

Max Switch Amount Not Applicable

Max Switch Units (if applicable) Not Applicable

Swing Pricing (if applicable) Not Applicable

Side-pocketing (if applicable) Enabled

SIP SWP & STP Details

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Frequency	SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly, Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly, Quarterly
Minimum Amount	SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000
In Multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
Minimum Instalments	SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20, Weekly-6, Monthly-6, Quarterly-2
Dates	Any day
Maximum Amount (if any)	Not Applicable