

SCHEME SUMMARY DOCUMENT

Scheme Code	209
Fund Name	UTI Arbitrage Fund
Option Names (Regular & Direct)	Regular Plan-Growth, Regular Plan-CanServe, Regular Plan-Reinvestment of IDCW, Regular Plan-Payout of IDCW, Regular Plan-Payout of IDCW (Canserve), Direct Plan-Growth, Direct Plan-CanServe, Direct Plan-Reinvestment of IDCW, Direct Plan-Payout of IDCW, Direct Plan-Payout of IDCW (Canserve),
Fund Type	An open ended scheme investing in arbitrage opportunities
Riskometer (at the time of the launch)	Low
Riskometer (as on date)	Low
Category as per SEBI Categorisation Circular	Hybrid - Arbitrage Fund
Potential Risk Class (as on date)	Not Applicable
Description, Objective of the Scheme	<p>The objective of the scheme is to generate capital appreciation through arbitrage opportunities between cash and derivative market and arbitrage opportunities within the derivative segment and by deployment of surplus cash in debt securities and money market instruments.</p> <p>However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.</p>
Stated Asset Allocation	<p>(1) Under normal market circumstances, the investment range would be as follows: Equity and equity related instruments: 65-100% (Medium to High) Derivatives including Index Futures, Stock Futures, Index Options and Stock Options*: 65-100% (Medium to High) Money Market, Debt instruments, Securitized debt# and call money: 0-35% (Low to Medium)</p> <p>(2) The asset allocation under defensive circumstances would be as follows: Equity and equity related instruments: 0-65% (Medium to High) Derivatives including Index Futures, Stock Futures, Index Options and Stock Options*: 0-65% (Medium to High) Money Market, Debt instruments, Securitized debt# and call money: 35-100% (Low to Medium)</p> <p>#The fund may invest up to 50% of its debt portfolio in</p>

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securitized debt

* The exposure to derivative shown in the above asset allocation tables is the exposure taken against the underlying equity investments and should not be considered for calculating the total asset allocation. The idea is not to take additional asset allocation with the use of derivatives. The notional value exposure in derivatives securities would be reckoned for the purposes of the specified limits. The margin money deployed on these positions would be included in the Money Market/Debt category.

Face Value	10
NFO Open Date	Not Available
NFO Close Date	Not Available
Allotment Date	22/06/2006
Reopen Date	Not Available
Maturity Date (For close-ended funds)	Not Applicable
Benchmark (Tier 1)	Nifty 50 Arbitrage Index
Benchmark (Tier 2)	Not Applicable
Fund Manager 1 - Name	Mr Sharwan Kumar Goyal
Fund Manager 1 - Type (Primary/Comanage/Description)	Co manage (Equity)
Fund Manager 1 - From Date	20/12/2022
Fund Manager 2 - Name	Mr. Amit Sharma
Fund Manager 2 - Type (Primary/Comanage/Description)	Co manage (Debt)
Fund Manager 2 - From Date	18/07/2022
Fund Manager 3 - Name	Mr. Kamal Gada
Fund Manager 3 - Type (Primary/Comanage/Description)	Co managed (Overseas)
Fund Manager 3 - From Date	18/07/2022
Fund Manager 4 - Name	Not Applicable

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Fund Manager 4 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 4 - From Date	Not Applicable
Annual Expense (Stated maximum)	Regular Plan-0.93 ; Direct Plan-0.34
Exit Load (if applicable)	For subscriptions received w.e.f. March 6th , 2019, applicable Exit load: Redemption / Switch out within 21 days from the date of allotment – (i) NIL for upto 10% of the allotted Units (ii) 0.25 % for beyond 10% of the allotted Units.
Custodian	Stock Holding Corporation of India
Auditor	S. R. Batliboi & Co. LLP.
Registrar	KFin Technologies Pvt. Ltd.
RTA Code (to be phased out)	SFGPG-Regular Plan-Growth, SFGPC-Regular Plan - Growth Plan-CanServe, SFDPR-Regular Plan-Reinvestment of IDCW, SFDPD-Regular Plan-Payout of IDCW, SFDPC-Regular Plan-Payout of IDCW (Canserve), SFD2G-Direct Plan-Growth, SFD2C-Direct Plan - Growth Plan-CanServe, SFD1R-Direct Plan-Reinvestment of IDCW, SFD1D-Direct Plan-Payout of IDCW, SFD1C-Direct Plan-Payout of IDCW (Canserve),
Listing Details	Not Listed
ISINs	Regular Plan-Growth-INF789FB1RJ0, Regular Plan-Reinvestment of IDCW-INF789FB1RI2, Regular Plan-Payout of IDCW-INF789FB1RH4, Direct Plan-Growth-INF789FB1RG6, Direct Plan-Reinvestment of IDCW-INF789FB1RF8, Direct Plan-Payout of IDCW-INF789FB1RE1
AMFI Codes (to be phased out) SEBI Codes	120795-Direct Plan - Growth Option;120796-Direct Plan - IDCW;104075-Regular Plan - Growth Option;104074-Regular Plan - IDCW
Investment Amount Details	
Minimum Application Amount	Regular Plan-Growth- Rs.5000, Regular Plan - Growth-CanServe- Rs.5000, Regular Plan-Reinvestment of IDCW- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Regular Plan-Payout of IDCW (Canserve)- Rs.5000, Direct Plan-Growth- Rs.5000, Direct Plan - Growth-CanServe- Rs.5000, Direct Plan-Reinvestment of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000, Direct Plan-Payout of IDCW (Canserve)- Rs.5000,

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Minimum Application Amount in multiples of Rs.	1
Minimum Additional Amount	Regular Plan-Growth-Rs.1000, Regular Plan - Growth-CanServe-Rs.1000, Regular Plan-Reinvestment of IDCW-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Regular Plan-Payout of IDCW (Canserve)-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Plan - Growth-CanServe-Rs.1000, Direct Plan-Reinvestment of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000, Direct Plan-Payout of IDCW (Canserve)-Rs.1000,
Minimum Additional Amount in multiples of Rs.	1
Minimum Redemption Amount in Rs.	Regular Plan-Growth-Rs.1000, Regular Growth Plan-CanServe-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Growth Plan-CanServe-Rs.1000
Minimum Redemption Amount in Units	Not Applicable
Minimum Balance Amount (if applicable)	Not Applicable
Minimum Balance Amount in Units (if applicable)	Not Applicable
Max Investment Amount	Not Applicable
Minimum Switch Amount (if applicable)	Not Applicable
Minimum Switch Units	Not Applicable
Switch Multiple Amount (if applicable)	Not Applicable
Switch Multiple Units (if applicable)	Not Applicable
Max Switch Amount	Not Applicable
Max Switch Units (if applicable)	Not Applicable
Swing Pricing (if applicable)	Not Applicable
Side-pocketing (if applicable)	Enabled
SIP SWP & STP Details	
Frequency	SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly, Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly, Quarterly

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Minimum Amount	SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000
In Multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
Minimum Instalments	SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20,Weekly-6,Monthly-6,Quarterly-2
Dates	Any day
Maximum Amount (if any)	Not Applicable