

SCHEME SUMMARY DOCUMENT

Scheme Code	713
Fund Name	UTI Floater Fund
Option Names (Regular & Direct)	Regular Plan-Quarterly Reinvestment of IDCW, Regular Plan-Quarterly Payout of IDCW, Direct Plan-Quarterly Reinvestment of IDCW, Direct Plan-Quarterly Payout of IDCW, Regular Plan-Half-yearly Reinvestment of IDCW, Regular Plan-Half-yearly Payout of IDCW, Direct Plan-Half-yearly Reinvestment of IDCW, Direct Plan-Half-yearly Payout of IDCW, Regular Plan-Growth, Direct Plan-Growth, Regular Plan-Flexi Reinvestment of IDCW, Regular Plan-Flexi Payout of IDCW, Direct Plan-Flexi Reinvestment of IDCW, Direct Plan-Flexi Payout of IDCW, Regular Plan-Annual Reinvestment of IDCW, Regular Plan-Annual Payout of IDCW, Direct Plan-Annual Reinvestment of IDCW, Direct Plan-Annual Payout of IDCW,
Fund Type	An open ended debt scheme predominantly investing in floating rate instruments. A Relatively High interest rate risk and Relatively Moderate Credit Risk (B-III)
Riskometer (at the time of the launch)	Low to Moderate
Riskometer (as on date)	Low to Moderate
Category as per SEBI Categorisation Circular	Debt - Floater Fund
Potential Risk Class (as on date)	Relatively High interest rate risk and Relatively Moderate Credit Risk (B-III)
Description, Objective of the Scheme	The investment objective of the scheme is to generate reasonable returns and reduce interest rate risk by investing in a portfolio comprising predominantly of floating rate instruments and fixed rate instruments swapped for floating rate returns. The Scheme may also invest a portion of its net assets in fixed rate debt securities and money market instruments. However there can be no assurance that the investment objective of the Scheme will be achieved. The Scheme does not guarantee / indicate any returns.
Stated Asset Allocation	Floating Rate Debt Securities (including Securitised Debt & Fixed Rate Debt Instruments swapped for floating rate returns): 65-100% (Low to Medium) Debt and Money Market Securities (including Triparty Repo on Government Securities or treasury bill & Repo): 0-35% (Low to Medium) Units issued by REITs & InvITs: 0-10% (Medium to High)

SCHEME SUMMARY DOCUMENT

*Investments in securitised debt, if undertaken, shall not exceed 50% of the net assets of the Scheme.

Face Value	1000
NFO Open Date	12/10/2018
NFO Close Date	26/10/2018
Allotment Date	30/10/2018
Reopen Date	31/10/2018
Maturity Date (For close-ended funds)	Not Applicable
Benchmark (Tier 1)	CRISIL Low Duration Debt Index
Benchmark (Tier 2)	Not Applicable
Fund Manager 1 - Name	Mr. Sudhir Agarwal
Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
Fund Manager 1 - From Date	21/10/2018
Fund Manager 2 - Name	Not Applicable
Fund Manager 2 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 2 - From Date	Not Applicable
Fund Manager 3 - Name	Not Applicable
Fund Manager 3 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 3 - From Date	Not Applicable
Fund Manager 4 - Name	Not Applicable
Fund Manager 4 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 4 - From Date	Not Applicable
Annual Expense (Stated maximum)	Regular Plan-0.86 ; Direct Plan-0.36

SCHEME SUMMARY DOCUMENT

Exit Load (if applicable)	Nil
Custodian	Stock Holding Corporation of India
Auditor	S. R. Batliboi & Co. LLP.
Registrar	KFin Technologies Pvt. Ltd.
RTA Code (to be phased out)	FNQDR-Regular Plan-Quarterly Reinvestment of IDCW, FNQDD-Regular Plan-Quarterly Payout of IDCW, FNQ1R-Direct Plan-Quarterly Reinvestment of IDCW, FNQ1D-Direct Plan-Quarterly Payout of IDCW, FNHDR-Regular Plan-Half-yearly Reinvestment of IDCW, FNHDD-Regular Plan-Half-yearly Payout of IDCW, FNH1R-Direct Plan-Half-yearly Reinvestment of IDCW, FNH1D-Direct Plan-Half-yearly Payout of IDCW, FNGPG-Regular Plan-Growth, FNG1G-Direct Plan-Growth, FNFDR-Regular Plan-Flexi Reinvestment of IDCW, FNFDD-Regular Plan-Flexi Payout of IDCW, FNF1R-Direct Plan-Flexi Reinvestment of IDCW, FNF1D-Direct Plan-Flexi Payout of IDCW, FNADR-Regular Plan-Annual Reinvestment of IDCW, FNADD-Regular Plan-Annual Payout of IDCW, FNA1R-Direct Plan-Annual Reinvestment of IDCW, FNA1D-Direct Plan-Annual Payout of IDCW,
Listing Details	Not Listed
ISINs	Regular Plan-Quarterly Reinvestment of IDCW-INF789F1ACQ9, Regular Plan-Quarterly Payout of IDCW-INF789F1ACP1, Direct Plan-Quarterly Reinvestment of IDCW-INF789F1ACZ0, Direct Plan-Quarterly Payout of IDCW-INF789F1ACY3, Regular Plan-Half-yearly Reinvestment of IDCW-INF789F1ACU1, Regular Plan-Half-yearly Payout of IDCW-INF789F1ACT3, Direct Plan-Half-yearly Reinvestment of IDCW-INF789F1ADD5, Direct Plan-Half-yearly Payout of IDCW-INF789F1ADC7, Regular Plan-Growth-INF789F1ACO4, Direct Plan-Growth-INF789F1ACX5, Regular Plan-Flexi Reinvestment of IDCW-INF789F1ACW7, Regular Plan-Flexi Payout of IDCW-INF789F1ACV9, Direct Plan-Flexi Reinvestment of IDCW-INF789F1ADF0, Direct Plan-Flexi Payout of IDCW-INF789F1ADE3, Regular Plan-Annual Reinvestment of IDCW-INF789F1ACS5, Regular Plan-Annual Payout of IDCW-INF789F1ACR7, Direct Plan-Annual Reinvestment of IDCW-INF789F1ADB9, Direct Plan-Annual Payout of IDCW-INF789F1ADA1,
AMFI Codes (to be phased out) SEBI Codes	145289-Regular Plan - Annual IDCW;145293-Direct Plan - Annual IDCW;145291-Direct Plan - Flexi IDCW;145295-Direct Plan - Growth

SCHEME SUMMARY DOCUMENT

Option;145296-Direct Plan - Half-Yearly
IDCW;145290-Direct Plan - Quarterly
IDCW;145292-Regular Plan - Flexi
IDCW;145287-Regular Plan - Growth
Option;145288-Regular Plan - Half-Yearly
IDCW;145294-Regular Plan - Quarterly IDCW

Investment Amount Details

Minimum Application Amount

Regular Plan-Quarterly Reinvestment of IDCW- Rs.20000, Regular Plan-Quarterly Payout of IDCW- Rs.20000, Direct Plan-Quarterly Reinvestment of IDCW- Rs.20000, Direct Plan-Quarterly Payout of IDCW- Rs.20000, Regular Plan-Half-yearly Reinvestment of IDCW- Rs.20000, Regular Plan-Half-yearly Payout of IDCW- Rs.20000, Direct Plan-Half-yearly Reinvestment of IDCW- Rs.20000, Direct Plan-Half-yearly Payout of IDCW- Rs.20000, Regular Plan-Growth- Rs.500, Direct Plan-Growth- Rs.500, Regular Plan-Flexi Reinvestment of IDCW- Rs.20000, Regular Plan-Flexi Payout of IDCW- Rs.20000, Direct Plan-Flexi Reinvestment of IDCW- Rs.20000, Direct Plan-Flexi Payout of IDCW- Rs.20000, Regular Plan-Annual Reinvestment of IDCW- Rs.20000, Regular Plan-Annual Payout of IDCW- Rs.20000, Direct Plan-Annual Reinvestment of IDCW- Rs.20000, Direct Plan-Annual Payout of IDCW- Rs.20000,

Minimum Application Amount in multiples of Rs.

1

Minimum Additional Amount

Regular Plan-Quarterly Reinvestment of IDCW-Rs.500, Regular Plan-Quarterly Payout of IDCW-Rs.500, Direct Plan-Quarterly Reinvestment of IDCW-Rs.500, Direct Plan-Quarterly Payout of IDCW-Rs.500, Regular Plan-Half-yearly Reinvestment of IDCW-Rs.500, Regular Plan-Half-yearly Payout of IDCW-Rs.500, Direct Plan-Half-yearly Reinvestment of IDCW-Rs.500, Direct Plan-Half-yearly Payout of IDCW-Rs.500, Regular Plan-Growth-Rs.500, Direct Plan-Growth-Rs.500, Regular Plan-Flexi Reinvestment of IDCW-Rs.500, Regular Plan-Flexi Payout of IDCW-Rs.500, Direct Plan-Flexi Reinvestment of IDCW-Rs.500, Direct Plan-Flexi Payout of IDCW-Rs.500, Regular Plan-Annual Reinvestment of IDCW-Rs.500, Regular Plan-Annual Payout of IDCW-Rs.500, Direct Plan-Annual Reinvestment of IDCW-Rs.500, Direct Plan-Annual Payout of IDCW-Rs.500,

Minimum Additional Amount in multiples of Rs.

1

Minimum Redemption Amount in Rs.

Regular Plan-Quarterly Reinvestment of IDCW-Rs.500, Regular Plan-Quarterly Payout of IDCW-Rs.500, Direct Plan-Quarterly Reinvestment of IDCW-Rs.500, Direct Plan-Quarterly Payout of IDCW-Rs.500, Regular Plan-Half-yearly Reinvestment

SCHEME SUMMARY DOCUMENT

	of IDCW-Rs.500, Regular Plan-Half-yearly Payout of IDCW-Rs.500, Direct Plan-Half-yearly Reinvestment of IDCW-Rs.500, Direct Plan-Half-yearly Payout of IDCW-Rs.500, Regular Plan-Growth-Rs.500, Direct Plan-Growth-Rs.500, Regular Plan-Flexi Reinvestment of IDCW-Rs.500, Regular Plan-Flexi Payout of IDCW-Rs.500, Direct Plan-Flexi Reinvestment of IDCW-Rs.500, Direct Plan-Flexi Payout of IDCW-Rs.500, Regular Plan-Annual Reinvestment of IDCW-Rs.500, Regular Plan-Annual Payout of IDCW-Rs.500, Direct Plan-Annual Reinvestment of IDCW-Rs.500, Direct Plan-Annual Payout of IDCW-Rs.500,
Minimum Redemption Amount in Units	Not Applicable
Minimum Balance Amount (if applicable)	Not Applicable
Minimum Balance Amount in Units (if applicable)	Not Applicable
Max Investment Amount	Not Applicable
Minimum Switch Amount (if applicable)	Not Applicable
Minimum Switch Units	Not Applicable
Switch Multiple Amount (if applicable)	Not Applicable
Switch Multiple Units (if applicable)	Not Applicable
Max Switch Amount	Not Applicable
Max Switch Units (if applicable)	Not Applicable
Swing Pricing (if applicable)	Yes
Side-pocketing (if applicable)	Enabled
SIP SWP & STP Details	
Frequency	SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly, Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly, Quarterly
Minimum Amount	SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000
In Multiple of	SIP - Re. 1 SWP - Re. 1

SCHEME SUMMARY DOCUMENT

STP - Re. 1

Minimum Instalments

SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4
SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3
STP - Daily-20, Weekly-6, Monthly-6, Quarterly-2

Dates

Any day

Maximum Amount (if any)

Not Applicable