

SCHEME SUMMARY DOCUMENT

Scheme Code	163
Fund Name	UTI Banking & Financial Services Fund
Option Names (Regular & Direct)	Regular Plan-Growth, Regular Plan-Reinvestment of IDCW, Regular Plan-Payout of IDCW, Direct Plan-Growth, Direct Plan-Reinvestment of IDCW, Direct Plan-Payout of IDCW,
Fund Type	An open ended equity scheme investing in Banking and Financial Services Sector.
Riskometer (at the time of the launch)	Very High
Riskometer (as on date)	Very High
Category as per SEBI Categorisation Circular	Equity - Sectoral (Banking & Financial Services)
Potential Risk Class (as on date)	Not Applicable
Description, Objective of the Scheme	<p>The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of companies/institutions engaged in the banking and financial services activities.</p> <p>However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.</p>
Stated Asset Allocation	<p>Equity and equity related instruments (minimum 80% of the total assets would be in equity and equity related instruments of companies engaged in banking and financial services activities): 80-100% (Medium to High)</p> <p>Debt and Money Market instruments including securitized debt#: 0-20% (Low to Medium)</p> <p>Units issued by REITs & InvITs: 0-10% (Medium to High)</p> <p># The fund may invest up to 50% of its debt portfolio in securitized debt</p>
Face Value	10
NFO Open Date	Not Available
NFO Close Date	Not Available
Allotment Date	07/04/2004
Reopen Date	Not Available

SCHEME SUMMARY DOCUMENT

Maturity Date (For close-ended funds)	Not Applicable
Benchmark (Tier 1)	Nifty Financial Services TRI
Benchmark (Tier 2)	Not Applicable
Fund Manager 1 - Name	Mr. Amit Premchandani
Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
Fund Manager 1 - From Date	14/06/2022
Fund Manager 2 - Name	Mr. Kamal Gada
Fund Manager 2 - Type (Primary/Comanage/Description)	Co managed (Overseas)
Fund Manager 2 - From Date	18/07/2022
Fund Manager 3 - Name	Not Applicable
Fund Manager 3 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 3 - From Date	Not Applicable
Fund Manager 4 - Name	Not Applicable
Fund Manager 4 - Type (Primary/Comanage/Description)	Not Applicable
Fund Manager 4 - From Date	Not Applicable
Annual Expense (Stated maximum)	Regular Plan-2.2 ; Direct Plan-1.43
Exit Load (if applicable)	W.e.f. 01/04/2021 Exit Load : 1.00% if redeemed before 30 days from the date of investment.
Custodian	Stock Holding Corporation of India
Auditor	S. R. Batliboi & Co. LLP.
Registrar	KFin Technologies Pvt. Ltd.
RTA Code (to be phased out)	BKIGG-Institutional Plan-Growth, BKIDR-Regular Plan-Reinvestment of IDCW (Institutional), BKIDD-Regular Plan-Payout of IDCW (Institutional), BKGPG-Regular Plan-Growth, BKDPR-Regular

SCHEME SUMMARY DOCUMENT

	Plan-Reinvestment of IDCW, BKDPD-Regular Plan-Payout of IDCW, BKD2G-Direct Plan-Growth, BKD1R-Direct Plan-Reinvestment of IDCW, BKD1D-Direct Plan-Payout of IDCW,
Listing Details	Not Listed
ISINs	Institutional Plan-Growth-INF789F01PF5, Regular Plan-Reinvestment of IDCW (Institutional)-INF789F01PE8, Regular Plan-Payout of IDCW (Institutional)-, Regular Plan-Growth-INF789F01372, Regular Plan-Reinvestment of IDCW-INF789F01364, Regular Plan-Payout of IDCW-INF789F01356, Direct Plan-Growth-INF789F01SN3, Direct Plan-Reinvestment of IDCW-INF789F01SM5, Direct Plan-Payout of IDCW-INF789F01SL7,
AMFI Codes (to be phased out) SEBI Codes	120733-Direct Plan - Growth Option;120732-Direct Plan - IDCW;102401-Regular Plan - Growth Option;102402-Regular Plan - IDCW
Investment Amount Details	
Minimum Application Amount	Regular Plan-Growth- Rs.5000, Regular Plan-Reinvestment of IDCW- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Direct Plan-Growth- Rs.5000, Direct Plan-Reinvestment of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000,
Minimum Application Amount in multiples of Rs.	1
Minimum Additional Amount	Regular Plan-Growth-Rs.1000, Regular Plan-Reinvestment of IDCW-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Plan-Reinvestment of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000,
Minimum Additional Amount in multiples of Rs.	1
Minimum Redemption Amount in Rs.	Not Applicable
Minimum Redemption Amount in Units	Not Applicable
Minimum Balance Amount (if applicable)	Not Applicable
Minimum Balance Amount in Units (if applicable)	Not Applicable
Max Investment Amount	Not Applicable
Minimum Switch Amount (if applicable)	Not Applicable

SCHEME SUMMARY DOCUMENT

Minimum Switch Units	Not Applicable
Switch Multiple Amount (if applicable)	Not Applicable
Switch Multiple Units (if applicable)	Not Applicable
Max Switch Amount	Not Applicable
Max Switch Units (if applicable)	Not Applicable
Swing Pricing (if applicable)	Not Applicable
Side-pocketing (if applicable)	Not Enabled
SIP SWP & STP Details	
Frequency	SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly, Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly, Quarterly
Minimum Amount	SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000
In Multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
Minimum Instalments	SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20, Weekly-6, Monthly-6, Quarterly-2
Dates	Any day
Maximum Amount (if any)	Not Applicable