

| Fields | SCHEME SUMMARY DOCUMENT | |
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| 1 | Fund Name | Tata Floating Rate Fund |
| 2 | Option Names (Regular & Direct) | Tata Floating Rate Fund Regular Plan - Growth,Tata Floating Rate Fund Direct Plan - Growth,Tata Floating Rate Fund Regular Plan - IDCW Monthly,Tata Floating Rate Fund Direct Plan - IDCW Monthly,Tata Floating Rate Fund Regular Plan - IDCW Periodic,Tata Floating Rate Fund Direct Plan - IDCW Periodic,Tata Floating Rate Fund Regular Plan - IDCW Quarterly,Tata Floating Rate Fund Direct Plan - IDCW Quarterly |
| 3 | Fund Type | An open ended debt scheme investing predominantly in floating rate instruments (including fixed rate instruments converted to floating rate exposures using swaps/ derivatives), A Relatively High Interest Rate Risk and Moderate Credit Risk. |
| 4 | Riskometer (At the time of Launch) | Low to Moderate |
| 5 | Riskometer (as on Date) | Low to Moderate |
| 6 | Category as Per SEBI Categorization Circular | Floater Fund |
| 7 | Potential Risk Class (as on date) | B-III |
| 8 | Description, Objective of the scheme | The objective of the scheme is to generate income through investment primarily in floating rate debt instruments, fixed rate debt instruments swapped for floating rate returns and money market instruments. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The scheme does not assure or guarantee any returns. |
| 9 | Stated Asset Allocation | Floating rate securities (including fixed rate securities converted to floating rate exposures using swaps / derivatives)65-100, Fixed rate debt securities, securitized debt, money market instruments 0-35, Units issued by REITs & InvITs 0-10. |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 21-Jun-2021 |
| 12 | NFO Close date | 05-Jul-2021 |
| 13 | Allotment Date | 07-Jul-2021 |
| 14 | Reopen Date | 12-Jul-2021 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | CRISIL Short Term Bond Index |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager Name | Akhil Mittal |
| 19 | Fund Manager Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager From Date | 07-Jul-2021 |
| 21 | Annual Expense (Stated maximum) | 0.73 |
| 22 | Exit Load (if applicable) | NIL |
| 23 | Custodian | Standard Chartered Bank |
| 24 | Auditor | S.R. Batliboi & CO. LLP |
| 25 | Registrar | Computer Age Management Services Limited |
| 26 | RTA Code (To be phased out) | FRG,FRGZ,FRMD,FRMDZ,FRPD,FRPDZ,FRQD,FRQDZ |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | INF277KA1091,INF277KA1026,INF277KA1141,INF277KA1075,INF277KA1133,INF277KA1067,INF277KA1158,INF277KA1083 |
| 29 | AMFI Codes (To be phased out) | 149003,149007,149004,149000,149006,149002,149005,149001 |
| 30 | SEBI Codes | TATA/O/D/FLF/21/04/0043 |
| 31 | Minimum Application Amount | 5000 |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | 1000 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | 500 |
| 36 | Minimum Redemption Amount in Units | 50 |
| 37 | Minimum Balance Amount (if applicable) | Not applicable |
| 38 | Minimum Balance Amount in Units (if applicable) | Not applicable |
| 39 | Max Investment Amount | Not Applicable. |
| 40 | Minimum Switch Amount (if applicable) | For all units there is no minimum switch amount. |
| 41 | Minimum Switch Units | For all units there is no minimum switch units. |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable. |
| 43 | Switch Multiple Units (if applicable) | Not Applicable. |
| 44 | Max Switch Amount | Not Applicable. |
| 45 | Max Switch Units (if applicable) | Not Applicable. |
| 46 | Swing Pricing (if applicable) | Applicable |
| 47 | Side-pocketing (if applicable) | Applicable. |
| 48 | SIP SWP & STP Details: Frequency | SIP-- Monthly/Monthly/Quarterly/Quarterly/Weekly/Daily (Business Days) ;STP --Monthly/Quarterly/Weekly/Daily (Business Days) ;SWP --Monthly/Quarterly/Half-yearly/Yearly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP-- 500.00/1,000.00/1,000.00/1,500.00/500.00/500.00 ;STP --500.00/500.00/500.00/500.00 ;SWP -500.00/500.00/500.00/500.00 |
| 50 | SIP SWP & STP Details: In multiple of | SIP-- 1.00/1.00/1.00/1.00/1.00/1.00 ;STP --1.00/1.00/1.00/1.00 ;SWP --1.00/1.00/1.00/1.00 |

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| Fields | | |
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| 51 | SIP SWP & STP Details: Minimum Instalments | SIP-- 12 Instalments/6 instalments/6 instalments/4 instalments/12 instalments/12 instalments ;STP --500.00 to 999.00 - 12 instalments; 1,000.00 to 1,999.00 - 6 instalments; 2,000.00 and more - 3 instalments ;SWP --1.00/1.00/1.00/1.00 |
| 52 | SIP SWP & STP Details: Dates | SIP-- Any day/Any day/Any day/Any day/Monday-Friday/All Business Days ;STP --Any day/Any day/Monday-Friday/All Business Days ;SWP --Any day/Any day/Any day/Any day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | SIP-- 999.00/Not Applicable/Not Applicable/Not Applicable/Not Applicable/Not Applicable ;STP --Not Applicable/Not Applicable/Not Applicable/Not Applicable ;SWP --Not Applicable/Not Applicable/Not Applicable/Not Applicable |