

| Fields | SCHEME SUMMARY DOCUMENT                          |   |
|--------|--|---|
| 1      | Fund Name  | Tata Equity Savings Fund  |
| 2      | Option Names (Regular & Direct)                  | Tata Equity Savings Fund Regular Plan - IDCW Monthly,Tata Equity Savings Fund Regular Plan - Growth,Tata Equity Savings Fund Direct Plan - Growth,Tata Equity Savings Fund Regular Plan - IDCW PeriodicTata Equity Savings Fund Direct Plan - IDCW Periodic,Tata Equity Savings Fund Direct Plan - IDCW Monthly   |
| 3      | Fund Type  | An open-ended scheme investing in equity, arbitrage and debt  |
| 4      | Riskometer (At the time of Launch)               | Moderate  |
| 5      | Riskometer (as on Date)                          | Moderate  |
| 6      | Category as Per SEBI Categorization Circular     | Equity Savings Fund   |
| 7      | Potential Risk Class (as on date)                | Not Applicable  |
| 8      | Description, Objective of the scheme             | The investment objective of the scheme is to provide long term capital appreciation and income distribution to the investors by predominantly investing in equity and equity related instruments, equity arbitrage opportunities and investments in debt and money market instruments. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The scheme does not assure or guarantee any returns.   |
| 9      | Stated Asset Allocation                          | Equity & Equity Related instruments 65-90, of which- Net Long Equity Exposure- Equity & Equity related instruments and units of Equity Funds of Tata AMC 15-35, Equity & Equity Derivatives (Arbitrage/HedgedExposure)30-70, Debt, cash and Money Market Instruments 10-35, Exchange Traded Commodity Derivatives (ETCDs) 0-10, Units of Reits & Invits 0-10.   |
| 10     | Face Value                                       | 10  |
| 11     | NFO Open Date                                    | 19-Mar-1997   |
| 12     | NFO Close date                                   | 02-May-1997   |
| 13     | Allotment Date                                   | 05-May-1997   |
| 14     | Reopen Date                                      | 23-Jul-1997   |
| 15     | Maturity Date (For closed-end funds)             | Not Applicable  |
| 16     | Benchmark (Tier 1)                               | NIFTY Equity Savings Index  |
| 17     | Benchmark (Tier 2)                               | Not Applicable  |
| 18     | Fund Manager Name                                | FM-1 Murthy Nagarajan,FM-2 Sailesh Jain,FM-3 Aurobinda Prasad Gayan   |
| 19     | Fund Manager Type (Primary/Comanage/Description) | FM-1 Primary,FM-2 Primary,FM-3 Primary  |
| 20     | Fund Manager From Date                           | FM-1 01/04/2017,FM-2 09/11/2018,FM-3 05/01/2022   |
| 21     | Annual Expense (Stated maximum)                  | Regular 1.1200, Direct 0.4100   |
| 22     | Exit Load (if applicable)                        | 1)Redemption/Switch-out/SWP/STP on or before expiry of 90 days from the date of allotment: If the withdrawal amount or switched out amount is not more than 12% of the original cost of investment NIL.2 )Redemption/Switch-out/SWP/STP on or before expiry of 90 days from the date of allotment: If the withdrawal amount or switched out amount is more than 12% of the original E18cost of investment - 0.25%.3 )Redemption/Switch-out/SWP/STP after expiry of 90 days from the date of allotment NIL |
| 23     | Custodian  | ICICI Bank Ltd. ( For all securities excepting ETCD & physical commodities) Orbis Financial Corporation Limited. (For ETCDs and Physical Commodities)   |
| 24     | Auditor  | S.R. Batliboi & CO. LLP   |
| 25     | Registrar  | Computer Age Management Services Limited  |
| 26     | RTA Code (To be phased out)                      | IFM,IFMG,IFMGZ,IFMQIFMQZ,IFMZ   |
| 27     | Listing Details                                  | Not Applicable  |
| 28     | ISINs  | INF277K01899,INF277K01907,INF277K01QH5,INF277K01915INF277K01QL7,INF277K01QJ1  |
| 29     | AMFI Codes (To be phased out)                    | 101609,101906,119960,102118,119959,119958   |
| 30     | SEBI Codes                                       | TATA/O/H/ESF/97/02/0007   |
| 31     | Minimum Application Amount                       | 5000  |
| 32     | Minimum Application Amount in multiples of Rs.   | 1   |
| 33     | Minimum Additional Amount                        | 1000  |
| 34     | Minimum Additional Amount in multiples of Rs.    | 1   |
| 35     | Minimum Redemption Amount in Rs.                 | 500   |
| 36     | Minimum Redemption Amount in Units               | 50  |
| 37     | Minimum Balance Amount (if applicable)           | No mimimum balance required   |
| 38     | Minimum Balance Amount in Units (if applicable)  | No mimimum unit balance required  |
| 39     | Max Investment Amount                            | Not Applicable.   |
| 40     | Minimum Switch Amount (if applicable)            | For all units there is no minimum switch amount.  |
| 41     | Minimum Switch Units                             | For all units there is no minimum switch units.   |
| 42     | Switch Multiple Amount (if applicable)           | Not Applicable.   |
| 43     | Switch Multiple Units (if applicable)            | Not Applicable.   |
| 44     | Max Switch Amount                                | Not Applicable.   |
| 45     | Max Switch Units (if applicable)                 | Not Applicable.   |
| 46     | Swing Pricing (if applicable)                    | Not Applicable  |
| 47     | Side-pocketing (if applicable)                   | Applicable  |
| 48     | SIP SWP & STP Details: Frequency                 | SIP-- Monthly/Monthly/Quarterly/Quarterly/Weekly/Daily (Business Days) ;STP --Monthly/Quarterly/Weekly/Daily (Business Days) ;SWP --Monthly/Quarterly/Half-yearly/Yearly  |
| 49     | SIP SWP & STP Details: Minimum amount            | SIP-- 150.00/1,000.00/1,000.00/1,500.00/150.00/150.00 ;STP --500.00/500.00/500.00/500.00 ;SWP -500.00/500.00/500.00/500.00  |

## SCHEME SUMMARY DOCUMENT

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| 50     | SIP SWP & STP Details: In multiple of          | SIP-- 1.00/1.00/1.00/1.00/1.00/1.00 ;STP --1.00/1.00/1.00/1.00 ;SWP --1.00/1.00/1.00/1.00   |
| 51     | SIP SWP & STP Details: Minimum Instalments     | SIP-- 12 Instalments/6 instalments/6 instalments/4 instalments/12 instalments/12 instalments ;STP --500.00 to 999.00 - 12 instalments; 1,000.00 to 1,999.00 - 6 instalments; 2,000.00 and more - 3 instalments ;SWP --1.00/1.00/1.00/1.00 |
| 52     | SIP SWP & STP Details: Dates                   | SIP-- Any day/Any day/Any day/Any day/Monday-Friday/All Business Days ;STP --Any day/Any day/Monday-Friday/All Business Days ;SWP --Any day/Any day/Any day/Any day   |
| 53     | SIP SWP & STP Details: Maximum Amount (if any) | SIP-- 999.00/Not Applicable/Not Applicable/Not Applicable/Not Applicable/Not Applicable ;STP --Not Applicable/Not Applicable/Not Applicable/Not Applicable ;SWP --Not Applicable/Not Applicable/Not Applicable/Not Applicable             |