

| Fields | SCHEME SUMMARY DOCUMENT                              |  |
|--------|--|--|
| 1      | Fund Name  | Tata Ethical Fund  |
| 2      | Option Names (Regular & Direct)                      |  |
|        | Regular  | Tata Ethical Fund Regular Plan - Growth  |
|        | Regular  | Tata Ethical Fund Regular Plan - IDCW  |
|        | Direct   | Tata Ethical Fund Direct Plan - IDCW   |
|        | Direct   | Tata Ethical Fund Direct Plan - Growth   |
| 3      | Fund Type  | An open ended equity scheme following Shariah principles   |
| 4      | Riskometer (At the time of Launch)                   | Very High  |
| 5      | Riskometer (as on Date)                              | Very High  |
| 6      | Category as Per SEBI Categorization Circular         | Thematic Fund  |
| 7      | Potential Risk Class (as on date)                    | Not Applicable   |
| 8      | Description, Objective of the scheme                 | To provide medium to long-term capital gains by investing in Shariah compliant equity and equity related instruments of well-researched value and growth-oriented companies. Tata Ethical Fund aims to generate medium to long term capital growth by investing in equity and equity related instruments of shariah compliant companies.   |
| 9      | Stated Asset Allocation                              | Equity & Equity Related instruments of Shariah compliant companies 80-100, Other Shariah compliant instruments including Cash 0-20.  |
| 10     | Face Value   | 10.00  |
| 11     | NFO Open Date  | 09-Apr-1996  |
| 12     | NFO Close date                                       | 23-May-1996  |
| 13     | Allotment Date                                       | 05-Aug-1996  |
| 14     | Reopen Date  | 21-Aug-1996  |
| 15     | Maturity Date (For closed-end funds)                 | Not Applicable   |
| 16     | Benchmark (Tier 1)                                   | Nifty 500 Shariah TRI  |
| 17     | Benchmark (Tier 2)                                   | Not Applicable   |
| 18     | Fund Manager 1 - Name                                | Abhinav Sharma   |
| 19     | Fund Manager 1 - Type (Primary/Comanage/Description) | Primary  |
| 20     | Fund Manager 1 - From Date                           | 6-Sep-2021   |
| 21     | Actual Expense (Stated maximum)                      | 2.29   |
| 22     | Exit Load (if applicable)                            | 1)Redemption/Switch-out/SWP/STP on or before expiry of 365 days from the date of allotment: If the withdrawal amount or switched out amount is not more than 12% of the original cost of investment. - NIL.2) Redemption/Switch-out/SWP/STP on or before expiry of 365 days from the date of allotment: If the withdrawal amount or switched out amount is more than 12% of the original cost of investment. - 1%.3)Redemption/Switch-out/SWP/STP after expiry of 365 days from the date of allotment. - NIL |
| 23     | Custodian  | Standard Bank LTD  |
| 24     | Auditor  | S.R. Batliboi & CO. LLP  |
| 25     | Registrar  | Computer Age Management Services Limited   |
| 26     | RTA Code (To be phased out)                          |  |
|        | Tata Ethical Fund Regular Plan - Growth              | SSF  |
|        | Tata Ethical Fund Regular Plan - IDCW                | SSFD   |
|        | Tata Ethical Fund Direct Plan - IDCW                 | SSFDZ  |
|        | Tata Ethical Fund Direct Plan - Growth               | SSFZ   |
| 27     | Listing Details                                      |  |
|        | Tata Ethical Fund                                    | Not applicable   |
| 28     | ISINs  |  |
|        | Tata Ethical Fund Regular Plan - Growth              | INF277K01956   |
|        | Tata Ethical Fund Regular Plan - IDCW                | INF277K01949   |
|        | Tata Ethical Fund Direct Plan - IDCW                 | INF277K01NF6   |
|        | Tata Ethical Fund Direct Plan - Growth               | INF277K01NG4   |
| 29     | AMFI Codes (To be phased out)                        |  |
|        | Tata Ethical Fund Regular Plan - Growth              | 100415   |
|        | Tata Ethical Fund Regular Plan - IDCW                | 101833   |
|        | Tata Ethical Fund Direct Plan - IDCW                 | 119171   |
|        | Tata Ethical Fund Direct Plan - Growth               | 119172   |
| 30     | SEBI Codes   |  |
|        | Tata Ethical Fund                                    | TCS  |
| 31     | Minimum Application Amount                           | 5,000.00   |
| 32     | Minimum Application Amount in multiples of Rs.       | 1.00   |
| 33     | Minimum Additional Amount                            | 1,000.00   |
| 34     | Minimum Additional Amount in multiples of Rs.        | 1.00   |
| 35     | Minimum Redemption Amount in Rs.                     | 500.00   |
| 36     | Minimum Redemption Amount in Units                   | 50.00  |
| 37     | Minimum Balance Amount (if applicable)               | Not Applicable   |
| 38     | Minimum Balance Amount in Units (if applicable)      | Not Applicable   |
| 39     | Max Investment Amount                                | Not Applicable   |
| 40     | Minimum Switch Amount (if applicable)                | Not Applicable   |
| 41     | Minimum Switch Units                                 | Not Applicable   |
| 42     | Switch Multiple Amount (if applicable)               | Not Applicable   |
| 43     | Switch Multiple Units (if applicable)                | Not Applicable   |
| 44     | Max Switch Amount                                    | Not Applicable   |
| 45     | Max Switch Units (if applicable)                     | Not Applicable   |
| 46     | Swing Pricing (if applicable)                        | Not Applicable   |
| 47     | Side-pocketing (if applicable)                       | Not Applicable   |
|        |  | SIP SWP & STP Details:   |
|        | SIP Details  |  |
| 48     | SIP Frequency  | Monthly/Monthly/Quarterly/Quarterly/Weekly/Daily (Business Days)   |
| 49     | Minimum amount                                       | 150.00/1,000.00/1,000.00/1,500.00/150.00/150.00  |
| 50     | In multiple of                                       | 1.00/1.00/1.00/1.00/1.00/1.00  |
| 51     | Minimum Instalments                                  | 12 Instalments/6 instalments/6 instalments/4 instalments/12 instalments/12 instalments   |
| 52     | Dates  | Any day/Any day/Any day/Any day/Monday-Friday/All Business Days  |
| 53     | Maximum Amount (if any)                              | 999.00/Not Applicable/Not Applicable/Not Applicable/Not Applicable/Not Applicable  |
|        | STP Details  |  |
| 48     | STP Frequency  | Monthly/Quarterly/Weekly/Daily (Business Days)   |
| 49     | Minimum amount                                       | 500.00/500.00/500.00/500.00  |
| 50     | In multiple of                                       | 1.00/1.00/1.00/1.00  |
| 51     | Minimum Instalments                                  | 500.00 to 999.00 - 12 instalments;<br>1,000.00 to 1,999.00 - 6 instalments;<br>2,000.00 and more - 3 instalments   |
| 52     | Dates  | Any day/Any day/Monday-Friday/All Business Days  |
| 53     | Maximum Amount (if any)                              | Not Applicable/Not Applicable/Not Applicable/Not Applicable  |
|        | SWP Details  |  |
| 48     | Frequency  | Monthly/Quarterly/Half-yearly/Yearly   |
| 49     | Minimum amount                                       | 500.00/500.00/500.00/500.00  |
| 50     | In multiple of                                       | 1.00/1.00/1.00/1.00  |
| 51     | Minimum Instalments                                  | 1.00/1.00/1.00/1.00  |
| 52     | Dates  | Any day/Any day/Any day/Any day  |
| 53     | Maximum Amount (if any)                              | Not Applicable/Not Applicable/Not Applicable/Not Applicable  |