

| Fields | SCHEME SUMMARY DOCUMENT | |
|------------------------|--|---|
| 1 | Fund Name | Tata Retirement Savings Fund-Progressive Plan |
| 2 | Option Names (Regular & Direct) | |
| | Regular | Tata Retirement Savings Fund-Progressive Regular Plan - Growth |
| | Direct | Tata Retirement Savings Fund-Progressive DIRECT Plan - Growth |
| 3 | Fund Type | An open ended retirement solution oriented scheme having a lock-in of 5 years or till retirement age (whichever is earlier). |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on Date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Retirement Fund |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | To provide a financial planning tool for long term financial security for investors based on their retirement planning goals. However, there can be no assurance that the investment objective of the fund will be realized, as actual market movements may be at variance with anticipated trends. |
| 9 | Stated Asset Allocation | Equity and Equity related instruments 85-100, Debt & Money Market instruments 0-15, Other Securities 0-10. |
| 10 | Face Value | 10.00 |
| 11 | NFO Open Date | 07-Oct-2011 |
| 12 | NFO Close date | 21-Oct-2011 |
| 13 | Allotment Date | 01-Nov-2011 |
| 14 | Reopen Date | 08-Nov-2011 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty 500 TRI |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager 1 - Name | Murthy Nagarajan |
| 19 | Fund Manager 1 - Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager 1 - From Date | 1-Apr-2017 |
| 18 | Fund Manager 2 - Name | Sonam Udasi |
| 19 | Fund Manager 2 - Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager 2 - From Date | 1-Apr-2016 |
| 21 | Actual Expense (Stated maximum) | 2.24 |
| 22 | Exit Load (if applicable) | A) If redeemed / switched-out on or after attainment of retirement age i.e. 60 years of age - Nil. B) In case of Auto switch-out of units on occurrence of "Auto-switch trigger event" - Nil. C) 1% -If redeemed before 61 months from the date of allotment. |
| 23 | Custodian | Standard Bank LTD |
| 24 | Auditor | S.R. Batliboi & CO. LLP |
| 25 | Registrar | Computer Age Management Services Limited |
| 26 | RTA Code (To be phased out) | |
| | Tata Retirement Savings Fund-Progressive Regular Plan - Growth | RFPG |
| | Tata Retirement Savings Fund-Progressive DIRECT Plan - Growth | RFPGZ |
| 27 | Listing Details | |
| | Tata Retirement Savings Fund-Progressive Plan | Not Applicable |
| 28 | ISINs | |
| | Tata Retirement Savings Fund-Progressive Regular Plan - Growth | INF277K01FG0 |
| | Tata Retirement Savings Fund-Progressive DIRECT Plan - Growth | INF277K01QO1 |
| 29 | AMFI Codes (To be phased out) | |
| | Tata Retirement Savings Fund-Progressive Regular Plan - Growth | 115942 |
| | Tata Retirement Savings Fund-Progressive DIRECT Plan - Growth | 119251 |
| 30 | SEBI Codes | |
| | Tata Retirement Savings Fund-Progressive Plan | TRSFP |
| 31 | Minimum Application Amount | 5,000.00 |
| 32 | Minimum Application Amount in multiples of Rs. | 1.00 |
| 33 | Minimum Additional Amount | 1,000.00 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1.00 |
| 35 | Minimum Redemption Amount in Rs. | 500.00 |
| 36 | Minimum Redemption Amount in Units | 50.00 |
| 37 | Minimum Balance Amount (if applicable) | Not Applicable |
| 38 | Minimum Balance Amount in Units (if applicable) | Not Applicable |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Not Applicable |
| 41 | Minimum Switch Units | Not Applicable |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable |
| 43 | Switch Multiple Units (if applicable) | Not Applicable |
| 44 | Max Switch Amount | Not Applicable |
| 45 | Max Switch Units (if applicable) | Not Applicable |
| 46 | Swing Pricing (if applicable) | Not Applicable |
| 47 | Side-pocketing (if applicable) | Not Applicable |
| SIP SWP & STP Details: | | |
| | SIP Details | |
| 48 | SIP Frequency | Monthly/Monthly/Quarterly/Quarterly/Weekly/Daily (Business Days) |
| 49 | Minimum amount | 150.00/1,000.00/1,000.00/1,500.00/150.00/150.00 |
| 50 | In multiple of | 1.00/1.00/1.00/1.00/1.00/1.00 |
| 51 | Minimum Instalments | 12 Instalments/6 instalments/6 instalments/4 instalments/12 instalments/12 instalments |
| 52 | Dates | Any day/Any day/Any day/Any day/Monday-Friday/All Business Days |
| 53 | Maximum Amount (if any) | 999.00/Not Applicable/Not Applicable/Not Applicable/Not Applicable/Not Applicable |
| | STP Details | |
| 48 | STP Frequency | Monthly/Quarterly/Weekly/Daily (Business Days) |
| 49 | Minimum amount | 500.00/500.00/500.00/500.00 |
| 50 | In multiple of | 1.00/1.00/1.00/1.00 |
| 51 | Minimum Instalments | 500.00 to 999.00 - 12 instalments; 1,000.00 to 1,999.00 - 6 instalments; 2,000.00 and more - 3 instalments |
| 52 | Dates | Any day/Any day/Monday-Friday/All Business Days |
| 53 | Maximum Amount (if any) | Not Applicable/Not Applicable/Not Applicable/Not Applicable |
| | SWP Details | |
| 48 | Frequency | Monthly/Quarterly/Half-yearly/Yearly |
| 49 | Minimum amount | 500.00/500.00/500.00/500.00 |
| 50 | In multiple of | 1.00/1.00/1.00/1.00 |
| 51 | Minimum Instalments | 1.00/1.00/1.00/1.00 |
| 52 | Dates | Any day/Any day/Any day/Any day |
| 53 | Maximum Amount (if any) | Not Applicable/Not Applicable/Not Applicable/Not Applicable |