

Fields		SCHEME SUMMARY DOCUMENT
1	Fund Name	Sundaram Emerging Small Cap Series IV
2	Option Names (Regular & Direct)	Regular Plan: Growth, Payout of IDCW and Direct Plan: Growth,Payout of IDCW
3	Fund Type	A close-ended equity scheme investing predominantly in small cap stocks
4	Riskometer (At the time of Launch)	Moderately High Risk
5	Riskometer (As on Date)	Very High Risk
6	Category as per SEBI Categorization Circular	Small Cap
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	Investment objective to seek capital appreciation by investing predominantly in equity/equity-related instruments of companies that can be termed as Small Caps. however, there can be no assurance that the investment objective of the Scheme will be realized.
9	Stated Asset Allocation	Equity & Equity related instruments of small cap companies 65% 100% high Other equity - 0% 35% high Fixed Income and money market securities - 0% 35% Low to Medium
10	Face Value	Rs. 10/-
11	NFO Open Date	22-05-2018
12	NFO Close Date	05-06-2018
13	Allotment Date	11-06-2018
14	Reopen Date	Not Applicable
15	Maturity Date (For closed - end funds)	12-06-2023
16	Benchmark (Tier 1)	S&P BSE Small Cap 250 TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1 - Name	Mr. Rohit Seksaria,(Equity)
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Comanage
20	Fund Manager 1 - From Date	01-04-2019
21	Fund Manager 2 - Name	Mr. Sudhir Kedia (Equity)
22	Fund Manager 2 - Type (Primary/Comanage/Description)	Comanage
23	Fund Manager 2 - From Date	31-12-2021
24	Fund Manager 3 - Name	Mr. Dwijendra Srivastava
25	Fund Manager 3 - Type (Primary/Comanage/Description)	Comanage
26	Fund Manager 3 - From Date	11-06-2018
27	Annual Expense (Stated maximum)	First Rs 100 crore: 2.50% Next Rs 300 crore: 2.25% Next Rs 300 crore: 2.00% On assets in excess of Rs 700 crore: 1.75%
28	Exit load (if applicable)	Not Applicable
29	Custodian	Standard Chartered Bank, Mumbai
30	Auditor	R.G.N.PRICE & CO
31	Registrar	KFin Technologies Private Limited
32	RTA Code (to be phased out)	E4
33	Listing Details	Listed
34	ISINs	INF903JA1EN8 INF903JA1EO6 INF903JA1EL2 INF903JA1EM0
35	AMFI Codes (to be phased out)	143503 - Regular Plan - Growth, 143504 - Regular Plan - Payout of IDCW, 143505 - Payout of IDCW, 143506 -
36	SEBI Codes	Not Applicable
INVESTMENT AMOUNT DETAILS		
1	Minimum Application Amount	Rs. 5,000/-
2	Minimum Application Amount in multiples of Rs.	Rs. 10/-
3	Minimum Additional Amount	Not Applicable
4	Minimum Additional Amount in multiples of Rs.	Not Applicable
5	Minimum Redmption Amount in Rs.	Re.1
6	Minimum Redemption Amount in Units	0.001
7	Minimum Balance Amount (if applicable)	Not Applicable
8	Minimum Balance Amount in units (if applicable)	Not Applicable
9	Max Investment Amount	Rs.99999999999
10	Minimum Switch Amount (if applicable)	Rs. 5,000/-
11	Minimum Switch Units	Not Applicable
12	Switch Multiple Amounts (if applicable)	Not Applicable
13	Switch Multiple Units (if applicable)	Not Applicable
14	Max Switch Amount	Not Applicable
15	Max switch Units (if applicable)	Not Applicable
16	Swing Pricing (if applicable)	Not Applicable
17	Side - pocketing (if applicable)	Not Applicable
SIP SWP & STP Details		
1	Frequency	Not Applicable
2	Minimum Amount	Not Applicable
3	in multiple of	Not Applicable
4	Minimum Instalments	Not Applicable
5	Dates	Not Applicable
6	Maximum Amount (if any)	Not Applicable