

| Fields | | SCHEME SUMMARY DOCUMENT |
|----------------------------------|---|---|
| 1 | Fund Name | Sundaram Arbitrage Fund |
| 2 | Option Names (Regular & Direct) | Regular Plan and Direct Plan, Options: Growth, Monthly IDCW - Payout, Sweep, Reinvestment |
| 3 | Fund Type | An open ended scheme investing in arbitrage opportunities |
| 4 | Riskometer (At the time of Launch) | Low Risk |
| 5 | Riskometer (As on Date) | Low Risk |
| 6 | Category as per SEBI Categorization Circular | Arbitrage |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | An open ended scheme investing in arbitrage opportunities To generate income with minimal volatility by investing in equity, arbitrage strategies which fully offset the equity exposure and investments in debt instruments |
| 9 | Stated Asset Allocation | Equities and equity Linked instruments 65% 100% high Derivatives including Index Futures, Stock Futures, Index Options and Stock Options, etc. 65% 100% high Debt securities, Money Market Instruments & Cash and Cash equivalents 0% 35% Low to Moderate |
| 10 | Face Value | Rs. 10/- |
| 11 | NFO Open Date | NA |
| 12 | NFO Close Date | NA |
| 13 | Allotment Date | NA |
| 14 | Reopen Date | NA |
| 15 | Maturity Date (For closed - end funds) | NA |
| 16 | Benchmark (Tier 1) | Nifty 50 Arbitrage Index |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager 1 - Name | Mr. Rohit Seksaria |
| 19 | Fund Manager 1 - Type (Primary/Comanage/Description) | Comanage |
| 20 | Fund Manager 1 - From Date | 31st December 2021 |
| 21 | Fund Manager 2 - Name | Mr. Ashish Aggarwal |
| 22 | Fund Manager 2 - Type (Primary/Comanage/Description) | Comanage |
| 23 | Fund Manager 2 - From Date | 31st December 2021 |
| 24 | Fund Manager 3 - Name | Dwijendra Srivastava |
| 25 | Fund Manager 3 - Type (Primary/Comanage/Description) | Comanage |
| 26 | Fund Manager 3 - From Date | 31st December 2021 |
| 27 | Annual Expense (Stated maximum) | First Rs 500 crore : 2.25% Next Rs 250 crore : 2.00% Next Rs 1,250 crore : 1.75% Next Rs 3,000 crore : 1.60% Next Rs 5,000 crore : 1.50% Next Rs 40,000 crore : Total expense ratio reduction of 0.05% for every increase of Rs. 5,000 crores or part thereof. On balance of assets : 1.05% |
| 28 | Exit load (if applicable) | If units purchased or switched in from another scheme of the Fund are redeemed or switched out within 1 year from the date of allotment: • for up to 25% of such units - Exit load: Nil. • for more than 25% of such units – Exit load: Nil |
| 29 | Custodian | Standard Chartered Bank, Mumbai |
| 30 | Auditor | R.G.N.PRICE & CO |
| 31 | Registrar | KFin Technologies Private Limited |
| 32 | RTA Code (to be phased out) | AB |
| 33 | Listing Details | Unlisted |
| 34 | ISINs | Direct Plan Growth INF903JA1I02 Direct Plan IDCW Payout INF903JA1I00 Direct Plan IDCW Reinvestment INF903JA1I58 Regular Plan Growth INF903JA1I09 Regular Plan IDCW Payout INF903JA1I07 Regular Plan IDCW Reinvestment INF903JA1IP4 |
| 35 | AMFI Codes (to be phased out) | 149549, 149550, 149551 and 149552 |
| 36 | SEBI Codes | Not Applicable |
| INVESTMENT AMOUNT DETAILS | | |
| 1 | Minimum Application Amount | New Investor/Existing – Rs.100/- for both Monthly IDCW and Growth Option and any amount thereafter under each Plan/option. Systematic Investment Plan: Minimum Six installments of Rs. 100/- for Monthly SIP, Rs.750 for Quarterly SIP, Rs.1,000 for Weekly SIP and in multiples of Re.1/- thereafter. SIP Dates: Any Day (1st to 31st), Weekly (every Wednesday) Systematic Transfer Plan: Daily: Rs.1,000/- (6 Instalments); Weekly: Rs.1,000/- (6 Instalments) Monthly: Rs.100/- (6 Instalments); Quarterly: Rs.750/- (6 Instalments) Semi Annual /Annual: Rs.1000/- (6 Instalments) |
| 2 | Minimum Application Amount in multiples of Rs. | Not Applicable |
| 3 | Minimum Additional Amount | Not Applicable |
| 4 | Minimum Additional Amount in multiples of Rs. | Not Applicable |
| 5 | Minimum Redmption Amount in Rs. | The minimum amount for redemption/switch out will be: Regular & Direct Plan: Rs.100/- |
| 6 | Minimum Redemption Amount in Units | 1 unit |
| 7 | Minimum Balance Amount (if applicable) | Not Applicable |
| 8 | Minimum Balance Amount in units (if applicable) | Not Applicable |
| 9 | Max Investment Amount | Not Applicable |
| 10 | Minimum Switch Amount (if applicable) | The minimum amount for redemption/switch out will be: Regular & Direct Plan: Rs.100/- |
| 11 | Minimum Switch Units | 1 unit |
| 12 | Switch Multiple Amounts (if applicable) | Not Applicable |
| 13 | Switch Multiple Units (if applicable) | Not Applicable |
| 14 | Max Switch Amount | Not Applicable |
| 15 | Max switch Units (if applicable) | Not Applicable |
| 16 | Swing Pricing (if applicable) | Not Applicable |
| 17 | Side - pocketing (if applicable) | Not Applicable |
| SIP SWP & STP Details | | |
| 1 | Frequency | SIP: Weekly, Monthly & Quarterly |
| 2 | Minimum Amount | SIP: Weekly: Rs. 1000, Quarterly: Rs.750. STP: Daily, Weekly, Semi-Annual, Annual quarterly SIP, the minimum amount is Rs 750 per quarter |
| 3 | in multiple of | Not Applicable |
| 4 | Minimum Instalments | Minimum Six installments of Rs. 100/- for Monthly SIP, Rs.750 for Quarterly SIP, Rs.1,000 for Weekly SIP and in multiples of Re.1/- thereafter. |
| 5 | Dates | Any Day (1st to 31st), Weekly (every Wednesday) |
| 6 | Maximum Amount (if any) | Not Applicable |