

| Fields | | SCHEME SUMMARY DOCUMENT |
|--------|---|---|
| 1 | Fund Name | Sundaram Emerging Small Cap Series II |
| 2 | Option Names (Regular & Direct) | Regular Plan: Growth, Payout of IDCW and Direct Plan: Growth,Payout of IDCW |
| 3 | Fund Type | A close-ended equity scheme investing predominantly in small cap stocks |
| 4 | Riskometer (At the time of Launch) | Moderately High Risk |
| 5 | Riskometer (As on Date) | Very High Risk |
| 6 | Category as per SEBI Categorization Circular | Small Cap |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | Investment objective to seek capital appreciation by investing predominantly in equity/equity-related instruments of companies that can be termed as Small Caps. however, there can be no assurance that the investment objective of the Scheme will be realized. |
| 9 | Stated Asset Allocation | Equity & Equity related instruments of small cap companies 65% 100% high Other equity - 0% 35% high Fixed Income and money market securities - 0% 35% Low to Medium |
| 10 | Face Value | Rs. 10/- |
| 11 | NFO Open Date | 19-03-2018 |
| 12 | NFO Close Date | 02-04-2018 |
| 13 | Allotment Date | 06-04-2018 |
| 14 | Reopen Date | Not Applicable |
| 15 | Maturity Date (For closed - end funds) | 06-04-2023 |
| 16 | Benchmark (Tier 1) | S&P BSE Small Cap 250 TRI |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager 1 - Name | Mr. Rohit Seksaria,(Equity) |
| 19 | Fund Manager 1 - Type (Primary/Comanage/Description) | Comanage |
| 20 | Fund Manager 1 - From Date | 01-04-2019 |
| 21 | Fund Manager 2 - Name | Mr. Sudhir Kedia (Equity) |
| 22 | Fund Manager 2 - Type (Primary/Comanage/Description) | Comanage |
| 23 | Fund Manager 2 - From Date | 31-12-2021 |
| 24 | Fund Manager 3 - Name | Mr. Dwijendra Srivastava (Fixed Income) |
| 25 | Fund Manager 3 - Type (Primary/Comanage/Description) | Comanage |
| 26 | Fund Manager 3 - From Date | 09-03-2018 |
| 27 | Annual Expense (Stated maximum) | First Rs 100 crore: 2.50% Next Rs 300 crore: 2.25% Next Rs 300 crore: 2.00% On assets in excess of Rs 700 crore: 1.75% |
| 28 | Exit load (if applicable) | Not Applicable |
| 29 | Custodian | Standard Chartered Bank, Mumbai |
| 30 | Auditor | R.G.N.PRICE & CO |
| 31 | Registrar | KFin Technologies Private Limited |
| 32 | RTA Code (to be phased out) | E2 |
| 33 | Listing Details | Listed |
| 34 | ISINs | INF903JA1CR3 - Direct Plan - Growth, INF903JA1CS1 - Direct Plan - Payout of IDCW, INF903JA1CP7 - Regular Plan - Growth, INF903JA1CQ5 - Regular Plan - Payout of IDCW |
| 35 | AMFI Codes (to be phased out) | 143007- Direct Plan - IDCW Payout, 143008 - Regular Plan - IDCW Payout, 143009 - Regular Plan - Growth, 143010 - Direct Plan - Growth |
| 36 | SEBI Codes | Not Applicable |
| | | INVESTMENT AMOUNT DETAILS |
| 1 | Minimum Application Amount | Rs. 5,000/- |
| 2 | Minimum Application Amount in multiples of Rs. | Rs. 10/- |
| 3 | Minimum Additional Amount | Not Applicable |
| 4 | Minimum Additional Amount in multiples of Rs. | Not Applicable |
| 5 | Minimum Redemption Amount in Rs. | Re.1 |
| 6 | Minimum Redemption Amount in Units | 0.001 |
| 7 | Minimum Balance Amount (if applicable) | Not Applicable |
| 8 | Minimum Balance Amount in units (if applicable) | Not Applicable |
| 9 | Max Investment Amount | Rs. 9999999999 |
| 10 | Minimum Switch Amount (if applicable) | Rs. 5,000/- |
| 11 | Minimum Switch Units | Not Applicable |
| 12 | Switch Multiple Amounts (if applicable) | Not Applicable |
| 13 | Switch Multiple Units (if applicable) | Not Applicable |
| 14 | Max Switch Amount | Not Applicable |
| 15 | Max switch Units (if applicable) | Not Applicable |
| 16 | Swing Pricing (if applicable) | Not Applicable |
| 17 | Side - pocketing (if applicable) | Not Applicable |
| | | SIP SWP & STP Details |
| 1 | Frequency | Not Applicable |
| 2 | Minimum Amount | Not Applicable |
| 3 | in multiple of | Not Applicable |
| 4 | Minimum Instalments | Not Applicable |
| 5 | Dates | Not Applicable |
| 6 | Maximum Amount (if any) | Not Applicable |