

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Sundaram Small Cap Fund
2	Option Names (Regular & Direct)	Regular Plan and Direct Plan: Options: Growth, Payout of IDCW, Transfer of IDCW, Reinvestment of IDCW
3	Fund Type	An open ended equity scheme investing predominantly investing in small cap stocks
4	Riskometer (At the time of Launch)	Very High Risk
5	Riskometer (As on Date)	Very High Risk
6	Category as per SEBI Categorization Circular	Small Cap
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The objective of the scheme is to achieve capital appreciation by investing predominantly in stocks that are termed as smallcap stocks
9	Stated Asset Allocation	Equity & Equity related 65%-100% high instruments of Small cap companies@ Other equities* 0-35% high Fixed Income, Money Market 0-35% Low to Medium instruments and Cash & Cash equivalents units issued by ReITs/InvITs 0-10% Medium to high Overseas Securities (including ETFs) 0-35% of the net assets
10	Face Value	Rs. 10/- per unit
11	NFO Open Date	NA
12	NFO Close Date	NA
13	Allotment Date	1 February 2005
14	Reopen Date	NA
15	Maturity Date (For closed - end funds)	NA
16	Benchmark (Tier 1)	Nifty Small Cap 250 TRI
17	Benchmark (Tier 2)	Nifty Small Cap 100 TRI
18	Fund Manager 1 - Name	Ravi Gopalakrishnan
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Comanage
20	Fund Manager 1 - From Date	31st December 2021
21	Fund Manager 2 - Name	Sudhir Kedia
22	Fund Manager 2 - Type (Primary/Comanage/Description)	Comanage
23	Fund Manager 2 - From Date	31st December 2021
24	Fund Manager 3 - Name	NA
25	Fund Manager 3 - Type (Primary/Comanage/Description)	NA
26	Fund Manager 3 - From Date	NA
27	Annual Expense (Stated maximum)	First Rs 500 crore: 2.25% Next Rs 250 crore: 2.00% Next Rs 1,250 crore: 1.75% Next Rs 3,000 crore: 1.60% Next Rs 5,000 crore: 1.50% Next Rs 40,000 crore: Total expense ratio reduction of 0.05% for every increase of Rs. 5,000 crores or part thereof. On balance of assets: 1.05%
28	Exit load (if applicable)	Nil - If up to 25% of the units invested are redeemed, withdrawn by way of SWP or transferred by way of STP within 365 days from the date of allotment.
29	Custodian	Standard Chartered Bank, Mumbai
30	Auditor	R.G.N.PRICE & CO
31	Registrar	KFin Technologies Private Limited
32	RTA Code (to be phased out)	SC
33	Listing Details	Unlisted
34	ISINs	Regular Plan IDCW Payout INF903J01454 Regular Plan IDCW Re-Investment INF903J01462 Regular Plan Growth INF903J01470 Institutional IDCW Payout INF903J01488 Institutional IDCW Re-Investment INF903J01496 Institutional Growth INF903J01504 Direct Plan IDCW Payout INF903J01N13 Direct Plan Growth INF903J01NK9 Direct Plan IDCW Reinvestment INF903J01NJ1
35	AMFI Codes (to be phased out)	119588,119589,100794, 100795, 112064 and 112207
36	SEBI Codes	Not Applicable
INVESTMENT AMOUNT DETAILS		
1	Minimum Application Amount	Rs.100/-
2	Minimum Application Amount in multiples of Rs.	Not Applicable
3	Minimum Additional Amount	Not Applicable
4	Minimum Additional Amount in multiples of Rs.	Not Applicable
5	Minimum Redmption Amount in Rs.	The minimum amount for redemption/switch out will be: Regular & Direct Plan: Rs.100/- or 1 unit or account balance, whichever is lower
6	Minimum Redemption Amount in Units	Not Applicable
7	Minimum Balance Amount (if applicable)	Not Applicable
8	Minimum Balance Amount in units (if applicable)	Not Applicable
9	Max Investment Amount	Not Applicable
10	Minimum Switch Amount (if applicable)	Same as redemption
11	Minimum Switch Units	Not Applicable
12	Switch Multiple Amounts (if applicable)	Not Applicable
13	Switch Multiple Units (if applicable)	Not Applicable
14	Max Switch Amount	Not Applicable
15	Max switch Units (if applicable)	Not Applicable
16	Swing Pricing (if applicable)	Not Applicable
17	Side - pocketing (if applicable)	Not Applicable
SIP SWP & STP Details		
1	Frequency	SIP: Weekly, Monthly and Quarterly, STP: Daily, Weekly, Semi-Annual, Annual
2	Minimum Amount	SIP: Weekly: ₹1000, Monthly: ₹100, Quarterly: ₹750, STP: Rs. 1000 Monthly: Rs. 100, Quarterly: Rs. 750.
3	in multiple of	Not Applicable
4	Minimum Instalments	Minimum Six installments of Rs. 100/- for Monthly SIP, Rs.750 for Quarterly SIP, Rs.1,000 for Weekly SIP and any amount thereafter.
5	Dates	Any Day (1st to 31st), Weekly (Every Wednesday)
6	Maximum Amount (if any)	Not Applicable