

| SCHEME SUMMARY DOCUMENT | | |
|---------------------------|---|--|
| 1 | Fund Name | Sundaram Focused Fund |
| 2 | Option Names (Regular & Direct) | Regular Plan and Direct Plan: Options: Growth, Half Yearly IDCW - Payout, Sweep/Transfer Reinvestment |
| 3 | Fund Type | An open ended equity scheme with a focus on investing in multi caps |
| 4 | Riskometer (At the time of Launch) | Very High Risk |
| 5 | Riskometer (As on Date) | Very High Risk |
| 6 | Category as per SEBI Categorization Circular | Focused |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | The Investment Objective of the scheme would be to provide capital appreciation and /or dividend distribution by investing in companies across market capitalization |
| 9 | Stated Asset Allocation | Equity and Equity Related Instruments* 65 100 High Debt (including Securitised debt**), Money Market instruments and Cash and Cash Equivalents 0 35 Low to Medium |
| 10 | Face Value | Rs. 10/- per unit) |
| 11 | NFO Open Date | NA |
| 12 | NFO Close Date | NA |
| 13 | Allotment Date | 1 November 2005 |
| 14 | Reopen Date | NA |
| 15 | Maturity Date (For closed - end funds) | NA |
| 16 | Benchmark (Tier 1) | Nifty 500 TRI |
| 17 | Benchmark (Tier 2) | Nifty 500 TRI |
| 18 | Fund Manager 1 - Name | Ravi Gopalakrishnan |
| 19 | Fund Manager 1 - Type (Primary/Comanage/Description) | Comanage |
| 20 | Fund Manager 1 - From Date | 31st December 2021 |
| 21 | Fund Manager 2 - Name | Rahul Bajjal |
| 22 | Fund Manager 2 - Type (Primary/Comanage/Description) | Comanage |
| 23 | Fund Manager 2 - From Date | 31st December 2021 |
| 24 | Fund Manager 3 - Name | NA |
| 25 | Fund Manager 3 - Type (Primary/Comanage/Description) | NA |
| 26 | Fund Manager 3 - From Date | NA |
| 21 | Annual Expense (Stated maximum) | The AMC has estimated the below mentioned expenses expressed as a percentage to the daily net assets of the Scheme which will be charged to the Scheme as expense |
| 22 | Exit load (if applicable) | 0.25% for redemption within 7 days from the date of allotment |
| 23 | Custodian | Standard Chartered Bank, Mumbai |
| 24 | Auditor | R.G.N.PRICE & CO |
| 25 | Registrar | KFin Technologies Private Limited |
| 26 | RTA Code (to be phased out) | LC |
| 27 | Listing Details | Unlisted |
| 28 | ISINs | Direct Plan - Growth Option INF173K01EK6 - Direct Plan - Half Yearly Income Distribution CUM Capital Withdrawal Option INF173K01EM2 Formerly Known as Principal Focused Multicap Fund)-Growth Option INF173K01189 Formerly Known as Principal Focused Multicap Fund)-Half Yearly Income Distribution CUM Capital Withdrawal Option INF173K01197 |
| 29 | AMFI Codes (to be phased out) | 149531, 149532, 149533 and 149534 |
| 30 | SEBI Codes | Not Applicable |
| INVESTMENT AMOUNT DETAILS | | |
| 31 | Minimum Application Amount | New Investor - Rs. 300/- for both IDCW and Growth Option and any amount thereafter under each Plan/option. Existing Investor – Rs. 300/- and any amount thereafter under each Plan/Option. Systematic Investment Plan: Minimum Twelve installments of Rs.100/*- each. Systematic Transfer Plan: Minimum Six installments of Rs.1,000/- each. Regular Withdrawal Plan: Minimum Six installments of Rs.500/- each. * 12 installments each |
| 32 | Minimum Application Amount in multiples of Rs. | Not Applicable |
| 33 | Minimum Additional Amount | Not Applicable |
| 34 | Minimum Additional Amount in multiples of Rs. | Not Applicable |
| 35 | Minimum Redmption Amount in Rs. | Rs.500/- |
| 36 | Minimum Redemption Amount in Units | 50 Units |
| 37 | Minimum Balance Amount (if applicable) | Not Applicable |
| 38 | Minimum Balance Amount in units (if applicable) | Not Applicable |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Rs.500/ |
| 41 | Minimum Switch Units | 50 units |
| 42 | Switch Multiple Amounts (if applicable) | Not Applicable |
| 43 | Switch Multiple Units (if applicable) | Not Applicable |
| 44 | Max Switch Amount | Not Applicable |
| 45 | Max switch Units (if applicable) | Not Applicable |
| 46 | Swing Pricing (if applicable) | Not Applicable |
| 47 | Side - pocketing (if applicable) | Not Applicable |
| SIP SWP & STP Details | | |
| 48 | Frequency | SIP: Monthly & Quarterly, STP : minimum 6 instalments |
| 49 | Minimum Amount | SIP : Monthly:Rs. 100, STP : minimum 6 instalments: 1000 |
| 50 | in multiple of | Not Applicable |
| 51 | Minimum Instalments | SIP: Rs. minimum twelve instalments of INR 500/- each, STP: minimum 6 instalments |
| 52 | Dates | Any Day (1st to 31st), Weekly (Every Wednesday) |
| 53 | Maximum Amount (if any) | Not Applicable |