

Fields		SCHEME SUMMARY DOCUMENT
1	Fund Name	Sundaram Financial Services Opportunities Fund
2	Option Names (Regular & Direct)	Regular Plan and Direct Plan, Growth, Payout of IDCW, Transfer of IDCW, Reinvestment of IDCW
3	Fund Type	An open ended equity scheme investing in the banking & financial services sector
4	Riskometer (At the time of Launch)	Very High Risk
5	Riskometer (As on Date)	Very High Risk
6	Category as per SEBI Categorization Circular	Sectoral/Thematic
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	To seek long-term capital appreciation by investing predominantly in equity and equity related securities of Indian companies engaged in the banking and financial services sector
9	Stated Asset Allocation	Equity & Equity Related Instruments of companies engaged in Banking and Financial Services 80-100% High Other Equities Upto 20% High Fixed Income, Money Market instruments and Cash & Cash Equivalents Upto 20% Low to Medium Investment in REITs & InvITs 0-10% Medium to High
10	Face Value	Rs. 1000 per unit.
11	NFO Open Date	NA
12	NFO Close Date	NA
13	Allotment Date	June 2008
14	Reopen Date	NA
15	Maturity Date (For closed - end funds)	NA
16	Benchmark (Tier 1)	Nifty Financial Services TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1 - Name	Mr. Rohit Seksaria
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Comanage
20	Fund Manager 1 - From Date	31st December 2021
21	Fund Manager 2 - Name	Mr. Ashish Aggarwal
22	Fund Manager 2 - Type (Primary/Comanage/Description)	Comanage
23	Fund Manager 2 - From Date	31st December 2021
24	Fund Manager 3 - Name	NA
25	Fund Manager 3 - Type (Primary/Comanage/Description)	NA
26	Fund Manager 3 - From Date	NA
27	Annual Expense (Stated maximum)	First Rs 500 crore: 2.25% Next Rs 250 crore: 2.00% Next Rs 1,250 crore: 1.75% Next Rs 3,000 crore: 1.60% Next Rs 5,000 crore: 1.50% Next Rs 40,000 crore: Total expense ratio reduction of 0.05% for every increase of Rs. 5,000 crores or part thereof On balance of assets: 1.05%
28	Exit load (if applicable)	Exit Load: If up to 25% of the units invested are redeemed, withdrawn by way of SWP or transferred by way of STP within 365 days from the date of allotment. – No Exit Load
29	Custodian	Standard Chartered Bank, Mumbai
30	Auditor	R.G.N.PRICE & CO
31	Registrar	KFin Technologies Private Limited
32	RTA Code (to be phased out)	FS
33	Listing Details	Unlisted
34	ISINs	Regular IDCW Payout INF903J01603 Regular IDCW Re-Investment INF903J01611 Regular Growth INF903J01629 Institutional IDCW Payout INF903J01637 Institutional IDCW Re-Investment INF903J01645 Institutional Growth INF903J01652 Direct IDCW Payout INF903J01M29 Direct Growth INF903J01N88 Direct IDCW Reinvestment INF903J01NA0
35	AMFI Codes (to be phased out)	109058,109059,109060,109061,119596 and 119597
36	SEBI Codes	Not Applicable
INVESTMENT AMOUNT DETAILS		
1	Minimum Application Amount	For Both Regular Plan & Direct Plan. First investment Rs 100 and multiples of Rs 1 thereafter and for Additional purchase: Rs 100 & multiples of Rs 1 thereafter.
2	Minimum Application Amount in multiples of Rs.	Not Applicable
3	Minimum Additional Amount	Not Applicable
4	Minimum Additional Amount in multiples of Rs.	Not Applicable
5	Minimum Redmption Amount in Rs.	The minimum amount for redemption/switch out will be: Regular & Direct Plan: Rs.100 or 1 unit or account balance, whichever is lower.
6	Minimum Redemption Amount in Units	Not Applicable
7	Minimum Balance Amount (if applicable)	Not Applicable
8	Minimum Balance Amount in units (if applicable)	Not Applicable
9	Max Investment Amount	Not Applicable
10	Minimum Switch Amount (if applicable)	Same as redemption
11	Minimum Switch Units	Not Applicable
12	Switch Multiple Amounts (if applicable)	Not Applicable
13	Switch Multiple Units (if applicable)	Not Applicable
14	Max Switch Amount	Not Applicable
15	Max switch Units (if applicable)	Not Applicable
16	Swing Pricing (if applicable)	Not Applicable
17	Side - pocketing (if applicable)	Not Applicable
SIP SWP & STP Details		
1	Frequency	SIP: Weekly, Monthly and Quarterly, STP: Daily, Weekly, Semi-Annual, Annual
2	Minimum Amount	SIP: Monthly: Rs.100, Quarterly: Rs.750, Weekly: Rs.1000. * STP: Daily, Weekly, Semi-Annual, Annual: Rs. 1000 Monthly: Rs. 100, Quarterly: Rs.750
3	in multiple of	Not Applicable
4	Minimum Instalments	Minmum six instalments
5	Dates	Any Day (1st to 31st), Weekly (Every Wednesday)
6	Maximum Amount (if any)	Not Applicable