

Fields		SCHEME SUMMARY DOCUMENT
1	Fund Name	Sundaram Long Term Micro Cap Tax Advantage Fund Series IV
2	Option Names (Regular & Direct)	Regular Plan and Direct Plan: * Growth, * Income Distribution cum Capital Withdrawal option (IDCW)Payout & Income Distribution cum Capital Withdrawal option (IDCW) Sweep
3	Fund Type	A close ended Equity Linked Savings Scheme
4	Riskometer (At the time of Launch)	Moderately high
5	Riskometer (As on Date)	very high
6	Category as per SEBI Categorization Circular	ELSS
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	The investment objective of the scheme is to generate capital appreciation over a period of ten years by predominantly investing in equity and equity-related instruments of companies that can be termed as micro-cap. the investment will be eligible for Income tax benefit. For the purpose of investment by the scheme 'micro cap' stock is defined as one whose market cap is equal to or lower than the 301st Stock by market cap (after sorting the securities in the descending order of market capitalization) on the National Stock exchange of India limited, mumbai, at the time of investment. however, there is no guarantee or assurance that the investment objective of the scheme will be achieved.
9	Stated Asset Allocation	Equity and equity related instruments – 65-100 %, Risk Profile – High Other Equity & Equity related securities – 0 - 35 %, Risk Profile - High Fixed Income and money market securities - 0 - 20%, Risk Profile - Low to medium
10	Face Value	Rs. 10/-
11	NFO Open Date	27-09-2016
12	NFO Close Date	20-03-2017
13	Allotment Date	24-03-2017
14	Reopen Date	Not Applicable
15	Maturity Date (For closed - end funds)	24-03-2027
16	Benchmark (Tier 1)	Nifty Small Cap 100 TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1 - Name	Rohit Seksaria - Equity
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Comanage
20	Fund Manager 1 - From Date	1st April 2019
21	Fund Manager 2 - Name	Mr. Sudhir Kedia – Equity
22	Fund Manager 2 - Type (Primary/Comanage/Description)	Comanage
23	Fund Manager 2 - From Date	31st December 2021
24	Fund Manager 3 - Name	Mr. Dwijendra Srivastava
25	Fund Manager 3 - Type (Primary/Comanage/Description)	Comanage
26	Fund Manager 3 - From Date	24th March 2017
27	Annual Expense (Stated maximum)	First Rs 100 crore: 2.50% of daily net assets, Next Rs 300 crore: 2.25% of daily net assets, Next Rs 300 crore: 2.00% of daily net assets, On assets in excess of Rs 700 crore: 1.75% of daily net assets
28	Exit load (if applicable)	Not Applicable
29	Custodian	Standard Chartered Bank, Mumbai
30	Auditor	R.G.N.PRICE & CO
31	Registrar	KFin Technologies Private Limited
32	RTA Code (to be phased out)	9MD1 - DIRECT IDCW, 9MDG - DIRECT GROWTH, 9MDP- REGULAR IDCW, 9MGP - REGULAR GROWTH
33	Listing Details	Unlisted
34	ISINs	DIRECT IDCW - INF903JA1534, DIRECT GROWTH - INF903JA1526, REGULAR IDCW -INF903JA1518, REGULAR GROWTH - INF903JA1500
35	AMFI Codes (to be phased out)	139989 - Direct Plan IDCW) 139990 - Direct Plan - Growth,139991 -Regular Plan IDCW, 139992 - Regular Plan - Growth
36	SEBI Codes	Not Applicable
INVESTMENT AMOUNT DETAILS		
1	Minimum Application Amount	For both Regular and Direct Plan Rs 500/-
2	Minimum Application Amount in multiples of Rs.	Rs. 500/-
3	Minimum Additional Amount	Not Applicable
4	Minimum Additional Amount in multiples of Rs.	Not Applicable
5	Minimum Redmption Amount in Rs.	Rs. 500/-
6	Minimum Redemption Amount in Units	50 Units

7	Minimum Balance Amount (if applicable)	Not Applicable
8	Minimum Balance Amount in units (if applicable)	Not Applicable
9	Max Investment Amount	Rs. 9999999999
10	Minimum Switch Amount (if applicable)	Rs. 500/-
11	Minimum Switch Units	50 Units
12	Switch Multiple Amounts (if applicable)	Not Applicable
13	Switch Multiple Units (if applicable)	Not Applicable
14	Max Switch Amount	Not Applicable
15	Max switch Units (if applicable)	Not Applicable
16	Swing Pricing (if applicable)	Not Applicable
17	Side - pocketing (if applicable)	Not Applicable
SIP SWP & STP Details		
1	Frequency	Not Applicable
2	Minimum Amount	Not Applicable
3	in multiple of	Not Applicable
4	Minimum Instalments	Not Applicable
5	Dates	Not Applicable
6	Maximum Amount (if any)	Not Applicable