

## Annexure A

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	NIPPON INDIA ARBITRAGE FUND
2	Option Names (Regular & Direct)	Direct Plan IDCW Plan Payout, Direct Plan IDCW Plan Reinvestment, Direct Growth Plan Growth Option Growth, Direct Monthly IDCW Plan Payout, Direct Monthly IDCW Plan Reinvestment, IDCW Plan Payout, IDCW Plan Reinvestment, Growth Plan Growth, Monthly IDCW Plan Payout, Monthly IDCW Plan Reinvestment
3	Fund Type	Open
4	Riskometer(at the time of Launch)	Moderately Low
5	Riskometer (as on Date)	Low
6	Category as Per SEBI Categorization Circular	Hybrid: Arbitrage Fund
7	Potential Risk Class (as on date)	NA
8	Description objective of the scheme	The investment objective of the scheme is to generate income by taking advantage of the arbitrage opportunities that potentially exists between cash and derivative market and within the derivative segment along with investments in debt securities & money market instruments.
9	Stated asset allocation	Under normal circumstances, the anticipated asset allocation would be: Equities and equity related instruments, Derivatives including index futures, stock futures, index options, & stock options, etc. # – 65%-90%, Debt and Money market instruments (including investments in securitized debt upto 30%) -10%-35%. When adequate arbitrage opportunities are not available in the Derivative and Equity markets, the anticipated alternate asset allocation on defensive considerations would be: Equities and equity related instruments, Derivatives including index futures, stock futures, index options, & stock options, etc. (Only arbitrage opportunities) # - 0%-65%, Debt and Money market instruments (including investments in securitized debt upto 30%) - 10%-35%, Short term Debt and Money market instruments not exceeding tenure of 91 days** (including investments in securitized debt) # the exposure to derivatives shown in the above asset allocation tables is exposure taken against the underlying equity investments and should not be considered for calculating the total asset allocation and/or investment restrictions on the issuer. The idea is not to take additional asset allocation with the use of derivative. The margin money deployed on these positions would be included in Money Market category.
10	Face Value	10
11	NFO Open date	24-Sep-2010
12	NFO Close Date	8-Oct-2010
13	Allotment Date	14-Oct-2010
14	ReOpen Date	20-Oct-2010
15	Maturity Date (For Close End Funds)	NA
16	Benchmark (Tier 1 )	NIFTY 50 Arbitrage Index
17	Benchmark (Tier 2 )	NA
18	Fund Manager 1 - Name	Anju Chhajer
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1 - From Date	Since Feb 2020
21	Fund Manager 2 - Name	Anand Devendra Gupta
22	Fund Manager 2 - Type (Primary/Comanage/Description)	Primary
23	Fund Manager 2 - From Date	Since Sep 2018
24	Annual Expense (Stated Maximum)	Regular-1.06% Direct-0.34%
25	Exit Load (If applicable)	0.25% if redeemed or switched out on or before completion of 1 month from the date of allotment of units.Nil, thereafter.
26	Custodian	Deutsche Bank
27	Auditor	Walker Chandiook & Co LLP
28	Registrar	KFINTECH
29	RTA Code (To be phased out)	Direct Plan IDCW Plan Payout: AFADD Direct Plan IDCW Plan Reinvestment : AFADR Direct Growth Plan Growth Option Growth: AFAGG Direct Monthly IDCW Plan Payout: AFAMD Direct Monthly IDCW Plan Reinvestment : AFAMR IDCW Plan Payout: AFDPD IDCW Plan Reinvestment : AFDPR Growth Plan Growth: AFGPG Monthly IDCW Plan Payout: AFMPD Monthly IDCW Plan Reinvestment : AFMPR
30	Listing Details	NA

31	ISINs	Direct Plan IDCW Plan Payout: INF204K01YA8 Direct Plan IDCW Plan Reinvestment : INF204K01YB6 Direct Growth Plan Growth Option Growth: INF204K01XZ7 Direct Monthly IDCW Plan Payout: INF204KA1MV7 Direct Monthly IDCW Plan Reinvestment : INF204KA1MW5 IDCW Plan Payout: INF204K01IZ8 IDCW Plan Reinvestment : INF204K01JA9 Growth Plan Growth: INF204K01IY1 Monthly IDCW Plan Payout: INF204KA1MT1 Monthly IDCW Plan Reinvestment : INF204KA1MU9
32	AMFI Codes(To be phased out)	Direct Plan IDCW Plan Payout: 118587 Direct Plan IDCW Plan Reinvestment : 118587 Direct Growth Plan Growth Option Growth: 118585 Direct Monthly IDCW Plan Payout: 128821 Direct Monthly IDCW Plan Reinvestment : 128821 IDCW Plan Payout: 113346 IDCW Plan Reinvestment : 113346 Growth Plan Growth: 113345 Monthly IDCW Plan Payout: 128820 Monthly IDCW Plan Reinvestment : 128820
33	SEBI Codes	NIMF/O/H/ARB/10/03/0043
<b>Investment Amount Details:</b>		
34	Minimum Application Amount	5000
35	Minimum Application Amount in Multiple of Rs.	1
36	Minimum Additional Amount	1000
37	Minimum Additional Amount in Multiple of Rs.	1
38	Minimum Redemption Amount in Rs.	100
39	Minimum Redemption Amount in Units	Any
40	Minimum Balance Amount(If applicable)	NA
41	Minimum Balance Amount in Units(If applicable)	NA
42	Max Investment Amount	Any
43	Minimum Switch Amount(If applicable)	5000
44	Minimum Switch Units	NA
45	Switch Multiple Amount(If applicable)	NA
46	Switch Multiple Units(If applicable)	NA
47	Max Switch Amount	NA
48	Max Switch Units(If applicable)	NA
49	Swing pricing (If applicable)	NA
50	Side-Pocketing (If applicable)	NA
<b>SIP SWP &amp; STP Details :</b>		
<b>SIP</b>		
51	Frequency	Monthly, Quarterly, Yearly
52	Minimum Amount	Rs.100/- per month (minimum 60 months) Rs.500/- per month (minimum 12 months) Rs.1000/- per month (minimum 6 months) Rs. 500/- per quarter (minimum 12 quarters) Rs.1500/- per quarter (minimum 4 quarters) Rs. 5000/- per year (minimum 2 years)
53	In Multiple of	Rs. 500 if SIP amount is 5,000 per year & Rs. 1 for any other amount
54	Minimum Instalments	60 months for Rs. 100 per month 12 months for Rs. 500 per month 6 months for Rs. 1,000 per month 12 quarters for Rs. 500 per quarter 4 quarters for Rs. 1500 per quarter 2 years for Rs. 5000 per Year
55	Dates	1st to 28th of a month
56	Maximum Amount(If Any)	Any
<b>SWP</b>		
57	Frequency	Monthly / Quarterly / Half yearly / Yearly
58	Minimum Amount	500
59	In Multiple of	100
60	Minimum Instalments	2
61	Dates	1st, 8th, 15th, 22nd transaction day (if holiday, then next working day)
62	Maximum Amount(If Any)	Any
<b>STP</b>		
63	Frequency	Daily/ Weekly/ Fortnightly/ Monthly / Quarterly

64	Minimum Amount	Daily- Minimum of Rs. 100 Weekly / Fortnight / Monthly option - Rs. 1000 Quarterly - Rs. 3000 Capital Appreciation Monthly/ Quarterly - Rs. 500
65	In Multiple of	100
66	Minimum Instalments	2
67	Dates	1st to 28th of a month
68	Maximum Amount(If Any)	Any