

**Annexure A**

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	NIPPON INDIA BANKING & FINANCIAL SERVICES FUND
2	Option Names (Regular & Direct)	Direct Bonus Planbonus, Direct IDCW Plan Payout , Direct IDCW Plan Reinvestment , Direct Growth Plan Growth, Bonus Planbonus, IDCW Plan Payout , IDCW Plan Reinvestment, Growth Plan Growth
3	Fund Type	Open
4	Riskometer(at the time of Launch)	High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorilization Circular	Equity: Sectoral Fund
7	Potential Risk Class (as on date)	NA
8	Description objective of the scheme	The primary investment objective of the Scheme is to seek to generate continuous returns by actively investing in equity and equity related securities of companies in the Banking and Financial services sector. The AMC will have the discretion to completely or partially invest in any of the type of securities stated above with a view to maximize the returns or on defensive considerations. However, there can be no assurance that the investment objective of the Scheme will be realized, as actual market movements may be at variance with anticipated trends.
9	Stated asset allocation	Equity and Equity related securities of companies in the Banking and Financial services sector - 80% - 100%, Equity and Equity Related securities of companies other than Banking and Financial services sector - 0% -20%, Debt Securities & Money Market instruments- 0%-20%
10	Face Value	10
11	NFO Open date	8-May-2003
12	NFO Close Date	22-May-2003
13	Allotment Date	26-May-2003
14	ReOpen Date	28-May-2003
15	Maturity Date (For Close End Funds)	NA
16	Benchmark (Tier 1 )	Nifty Financial Services Index TRI
17	Benchmark (Tier 2 )	NA
18	Fund Manager 1 - Name	Vinay Sharma
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1 - From Date	Since April, 2018
	Fund Manager 1 - Name	Bhavik Dave
	Fund Manager 1 - Type (Primary/Comanage/Description)	Co-Fund Manager
	Fund Manager 1 - From Date	Since Jun, 2021
21	Annual Expense (Stated Maximum)	Regular-2.06% Direct-1.37%
22	Exit Load (If applicable)	1% if redeemed or switched out on or before completion of 1 month from the date of allotment of units.Nil, thereafter.
23	Custodian	Deutsche Bank
24	Auditor	Walker Chandiok & Co LLP
25	Registrar	KFINTECH
26	RTA Code (To be phased out)	Direct Bonus Planbonus: BFABG Direct IDCW Plan Payout : BFADD Direct IDCW Plan Reinvestment : BFADR Direct Growth Plan Growth: BFAGG Bonus Planbonus: BFAPG IDCW Plan Payout : BFDPD IDCW Plan Reinvestment : BFDPD Growth Plan Growth: BFGPG
27	Listing Details	NA

28	ISINs	Direct Bonus Planbonus: INF204K01D22 Direct IDCW Plan Payout : INF204K01XM5 Direct IDCW Plan Reinvestment : INF204K01XN3 Direct Growth Plan Growth: INF204K01XO1 Bonus Planbonus: INF204K01919 IDCW Plan Payout : INF204K01893 IDCW Plan Reinvestment : INF204K01901 Growth Plan Growth: INF204K01927
29	AMFI Codes(To be phased out)	Direct Bonus Planbonus: 118588 Direct IDCW Plan Payout : 118591 Direct IDCW Plan Reinvestment : 118591 Direct Growth Plan Growth: 118589 Bonus Planbonus: 101863 IDCW Plan Payout : 101864 IDCW Plan Reinvestment : 101864 Growth Plan Growth: 101862
30	SEBI Codes	NIMF/O/E/SEC/03/04/0011
<b>Investment Amount Details:</b>		
31	Minimum Application Amount	5000
32	Minimum Application Amount in Multiple of Rs.	1
33	Minimum Additional Amount	1000
34	Minimum Additional Amount in Multiple of Rs.	1
35	Minimum Redemption Amount in Rs.	100
36	Minimum Redemption Amount in Units	Any
37	Minimum Balance Amount(If applicable)	NA
38	Minimum Balance Amount in Units(If applicable)	NA
39	Max Investment Amount	Any
40	Minimum Switch Amount(If applicable)	5000
41	Minimum Switch Units	NA
42	Switch Multiple Amount(If applicable)	NA
43	Switch Multiple Units(If applicable)	NA
44	Max Switch Amount	NA
45	Max Switch Units(If applicable)	NA
46	Swing pricing (If applicable)	NA
47	Side-Pocketing (If applicable)	NA
<b>SIP SWP &amp; STP Details :</b>		
<b>SIP</b>		
48	Frequency	Monthly, Quarterly, Yearly
49	Minimum Amount	Rs.100/- per month (minimum 60 months) Rs.500/- per month (minimum 12 months) Rs.1000/- per month (minimum 6 months) Rs. 500/- per quarter (minimum 12 quarters) Rs.1500/- per quarter (minimum 4 quarters) Rs. 5000/- per year (minimum 2 years)
50	In Multiple of	Rs. 500 if SIP amount is 5,000 per year & Rs. 1 for any other amount
51	Minimum Instalments	60 months for Rs. 100 per month 12 months for Rs. 500 per month 6 months for Rs. 1,000 per month 12 quarters for Rs. 500 per quarter 4 quarters for Rs. 1500 per quarter 2 years for Rs. 5000 per Year
52	Dates	1st to 28th of a month
53	Maximum Amount(If Any)	Any
<b>SWP</b>		
54	Frequency	Monthly / Quarterly / Half yearly / Yearly
55	Minimum Amount	500
56	In Multiple of	100
57	Minimum Instalments	2
58	Dates	1st, 8th, 15th, 22nd transaction day (if holiday, then next working day)
59	Maximum Amount(If Any)	Any
<b>STP</b>		
60	Frequency	Daily/ Weekly/ Fortnightly/ Monthly / Quarterly

61	Minimum Amount	Daily- Minimum of Rs. 100 Weekly / Fortnight / Monthly option - Rs. 1000 Quarterly - Rs. 3000 Capital Appreciation Monthly/ Quarterly - Rs. 500
62	In Multiple of	100
63	Minimum Instalments	2
64	Dates	1st to 28th of a month
65	Maximum Amount(If Any)	Any
	<b><u>SIP INSURE</u></b>	
66	Frequency	Monthly, Quarterly, Yearly
67	Minimum Amount	Monthly - Rs. 500 Quarterly - Rs.1,500 Yearly- Rs.6,000
68	In Multiple of	1
69	Minimum Instalments	Monthly –36 installments; Quarterly –12 installments; Yearly – 3 installments
70	Dates	1st to 28th of a month
71	Maximum Amount(If Any)	Any amt as per scheme acceptance