

Annexure A

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	NIPPON INDIA EQUITY SAVINGS FUND (Number of Segregated Portfolios- 2)
2	Option Names (Regular & Direct)	Direct Bonus Planbonus, Direct IDCW Plan Payout, Direct IDCW Plan Reinvestment, Direct Growth Plan Growth, Direct Monthly IDCW Plan Payout, Direct Monthly IDCW Plan Reinvestment, Direct Quarterly IDCW Plan Payout, Direct Quarterly IDCW Plan Reinvestment, Bonus Planbonus, IDCW Plan Payout, IDCW Plan Reinvestment, Growth Plan Growth, Monthly IDCW Plan Payout, Monthly IDCW Plan Reinvestment, Quarterly IDCW Plan Payout, Quarterly IDCW Plan Reinvestment
3	Fund Type	OPEN
4	Riskometer(at the time of Launch)	Moderately High
5	Riskometer (as on Date)	Moderate
6	Category as Per SEBI Categorilization Circular	Hybrid
7	Potential Risk Class (as on date)	NA
8	Description objective of the scheme	The primary investment objective of this fund is to generate income and capital appreciation by investing in arbitrage opportunities & pure equity investments along with investments in debt securities & money market instruments. However, there can be no assurance or guarantee that the investment objective of the scheme will be achieved.
9	Stated asset allocation	Under normal circumstances, the anticipated asset allocation would be: Equities and equity related instruments: 65%–90% of which: - Derivatives including index futures, stock futures, index options, & stock options, etc. backed by underlying equity (only arbitrage opportunities)*: 25%-70%, Unhedged Equity Position#: 20%-40%, Debt and Money market instruments** (including investments in securitized debt & margin for derivatives): 10%–35%. When adequate arbitrage opportunities are not available in the Derivative and Equity markets, the anticipated alternate asset allocation on defensive considerations would be: Equities and equity related instruments: 20%– 65% of which: - Derivatives including index futures, stock futures, index options, & stock options, etc. backed by underlying equity (only arbitrage opportunities)*: 0%-45%, Unhedged Equity Position#: 20%-40%, Debt and Money market instruments** (including investments in securitized debt & margin for derivatives): 35%–80%. #Denote the directional equity exposure which is not hedged. *This denotes only hedged equity positions by investing in arbitrage opportunities in the equity market. The fund manager in the above case can therefore take exposure to equivalent stock/ index futures & create completely covered positions. The margin money deployed on these positions would be included in Money Market category. ** including securitized debt up to 30%.
10	Face Value	10
11	NFO Open date	12-May-2015
12	NFO Close Date	26-May-2015
13	Allotment Date	30-May-2015
14	Reopen Date	30-May-2015
15	Maturity Date (For Close End Funds)	--
16	Benchmark (Tier 1)	NIFTY Equity Savings Index
17	Benchmark (Tier 2)	NA
18	Fund Manager 1 - Name	Anand Devendra Gupta
19	Fund Manager 1 - Type (Primary/Comanage/Discription)	Primary
20	Fund Manager 1 - From Date	Since Sep 2018
21	Fund Manager 2 - Name	Sushil Budhia
22	Fund Manager 2 - Type (Primary/Comanage/Discription)	Primary
23	Fund Manager 2 - From Date	Since Feb 2020
24	Fund Manager 3 - Name	Dhrumil Shah
25	Fund Manager 3 - Type (Primary/Comanage/Discription)	Co-Fund Manager
26	Fund Manager 3 - From Date	Since Sep 2021
27	Annual Expense (Stated Maximum)	Regular-1.77% Direct-1.17% (as of May 31, 2022)
28	Exit Load (If applicable)	Yes
29	Custodian	Deutsche Bank
30	Auditor	Walker ChandioK & Co LLP
31	Registrar	KFINTECH

32	RTA Code (To be phased out)	Direct Bonus Planbonus: ESABB Direct IDCW Plan Payout : ESADD Direct IDCW Plan Reinvestment : ESADR Direct Growth Plan Growth: ESAGG Direct Monthly IDCW Plan Payout : ESAMD Direct Monthly IDCW Plan Reinvestment : ESAMR Direct Quarterly IDCW Plan Payout : ESAQD Direct Quarterly IDCW Plan Reinvestment : ESAQR Bonus Planbonus: ESBPB IDCW Plan Payout: ESDPD IDCW Plan Reinvestment : ESDPR Growth Plan Growth: ESGPG Monthly IDCW Plan Payout: ESMPD Monthly IDCW Plan Reinvestment : ESMPR Quarterly IDCW Plan Payout: ESQPD Quarterly IDCW Plan Reinvestment : ESQPR
33	Listing Details	NA
34	ISINs	Direct Bonus Planbonus: INF204KA1W85 Direct IDCW Plan Payout : INF204KA1W93 Direct IDCW Plan Reinvestment : INF204KA1X01 Direct Growth Plan Growth: INF204KA1W77 Direct Monthly IDCW Plan Payout : INF204KA1X35 Direct Monthly IDCW Plan Reinvestment : INF204KA1X43 Direct Quarterly IDCW Plan Payout : INF204KA1X19 Direct Quarterly IDCW Plan Reinvestment : INF204KA1X27 Bonus Planbonus: INF204KA1W02 IDCW Plan Payout: INF204KA1W10 IDCW Plan Reinvestment : INF204KA1W28 Growth Plan Growth: INF204KA1V94 Monthly IDCW Plan Payout: INF204KA1W51 Monthly IDCW Plan Reinvestment : INF204KA1W69 Quarterly IDCW Plan Payout: INF204KA1W36 Quarterly IDCW Plan Reinvestment : INF204KA1W44
35	AMFI Codes(To be phased out)	Direct Bonus Planbonus: 134595 Direct IDCW Plan Payout : 134597 Direct IDCW Plan Reinvestment : 134597 Direct Growth Plan Growth: 134594 Direct Monthly IDCW Plan Payout : 134599 Direct Monthly IDCW Plan Reinvestment : 134599 Direct Quarterly IDCW Plan Payout : 134601 Direct Quarterly IDCW Plan Reinvestment : 134601 Bonus Planbonus: 134602 IDCW Plan Payout: 134596 IDCW Plan Reinvestment : 134596 Growth Plan Growth: 134593 Monthly IDCW Plan Payout: 134598 Monthly IDCW Plan Reinvestment : 134598 Quarterly IDCW Plan Payout: 134600 Quarterly IDCW Plan Reinvestment : 134600
36	SEBI Codes	NIMF/O/H/ESF/14/12/0055
Investment Amount Details:		
37	Minimum Application Amount	5000
38	Minimum Application Amount in Multiple of Rs.	1
39	Minimum Additional Amount	1000
40	Minimum Additional Amount in Multiple of Rs.	1
41	Minimum Redemption Amount in Rs.	100
42	Minimum Redemption Amount in Units	Any
43	Minimum Balance Amount(If applicable)	
44	Minimum Balance Amount in Units(If applicable)	
45	Max Investment Amount	Any
46	Minimum Switch Amount(If applicable)	5000
47	Minimum Switch Units	
48	Switch Multiple Amount(If applicable)	
49	Switch Multiple Units(If applicable)	
50	Max Switch Amount	

51	Max Switch Units(If applicable)	
52	Swing pricing (If applicable)	NA
53	Side-Pocketing (If applicable)	NA
SIP SWP & STP Details :		
<u>SIP</u>		
54	Frequency	Monthly, Quarterly, Yearly
55	Minimum Amount	Rs.100/- per month (minimum 60 months) Rs.500/- per month (minimum 12 months) Rs.1000/- per month (minimum 6 months) Rs. 500/- per quarter (minimum 12 quarters) Rs.1500/- per quarter (minimum 4 quarters) Rs. 5000/- per year (minimum 2 years)
56	In Multiple of	Rs. 500 if SIP amount is 5,000 per year & Rs. 1 for any other amount
57	Minimum Instalments	60 months for Rs. 100 per month 12 months for Rs. 500 per month 6 months for Rs. 1,000 per month 12 quarters for Rs. 500 per quarter 4 quarters for Rs. 1500 per quarter 2 years for Rs. 5000 per Year
58	Dates	1st to 28th of a month
59	Maximum Amount(If Any)	Any
<u>SWP</u>		
60	Frequency	Monthly / Quarterly / Half yearly / Yearly
61	Minimum Amount	500
62	In Multiple of	100
63	Minimum Instalments	2
64	Dates	1st, 8th, 15th, 22nd transaction day (if holiday, then next working day)
65	Maximum Amount(If Any)	Any
<u>STP</u>		
66	Frequency	Daily/ Weekly/ Fortnightly/ Monthly / Quarterly
67	Minimum Amount	Daily- Minimum of Rs. 100 Weekly / Fortnight / Monthly option - Rs. 1000 Quarterly - Rs. 3000 Capital Appreciation Monthly/ Quarterly - Rs. 500
68	In Multiple of	100
69	Minimum Instalments	2
70	Dates	1st to 28th of a month
71	Maximum Amount(If Any)	Any
<u>SIP INSURE</u>		
72	Frequency	Monthly, Quarterly, Yearly
73	Minimum Amount	Monthly - Rs. 500 Quarterly - Rs.1,500 Yearly- Rs.6,000
74	In Multiple of	1
75	Minimum Instalments	Monthly –36 installments; Quarterly –12 installments; Yearly – 3 installments
76	Dates	1st to 28th of a month
77	Maximum Amount(If Any)	Any amt as per scheme acceptance