

Annexure A		
Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	NIPPON INDIA SMALL CAP FUND
2	Option Names (Regular & Direct)	Direct Plan Growth Plan - Bonus Option Bonus, Direct Plan IDCW Plan Payout, Direct Plan IDCW Plan Reinvestment, Direct Growth Plan Growth Option Growth, Growth Plan - Bonus Option Bonus, IDCW Plan Payout, IDCW Plan Reinvestment, Growth Plan Growth Option Growth
3	Fund Type	Open
4	Riskometer(at the time of Launch)	Moderately High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Equity: Small Cap Fund
7	Potential Risk Class (as on date)	NA
8	Description objective of the scheme	The primary investment objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related instruments of small cap companies and the secondary objective is to generate consistent returns by investing in debt and money market securities.
9	Stated asset allocation	Equity & Equity Related Securities of small cap companies including derivatives - 65%-100%, Equity & Equity Related Securities of Any other companies including derivatives - 0% - 35%, debt & money market securities (including investments in securitized debt upto 30%) - 0%-35%, Units issued by REITs and InvITs - 0%- 10%, Market Capitalization: Market value of the listed compAny, which is calculated by multiplying its current market price by total number of shares. Small Cap: Small Cap stocks are defined as stocks of companies whose market capitalization is below first 250 companies in terms of full market capitalization.
10	Face Value	10
11	NFO Open date	26-Aug-2010
12	NFO Close Date	9-Sep-2010
13	Allotment Date	16-Sep-2010
14	ReOpen Date	21-Sep-2010
15	Maturity Date (For Close End Funds)	NA
16	Benchmark (Tier 1)	Nifty Smallcap 250 TRI
17	Benchmark (Tier 2)	NA
18	Fund Manager 1 - Name	Samir Rachh
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1 - From Date	Since Jan 2017
21	Annual Expense (Stated Maximum)	Regular-1.82% Direct-1.03%
22	Exit Load (If applicable)	1% if redeemed or switched out on or before completion of 1 month from the date of allotment of units. Nil, thereafter.
23	Custodian	Deutsche Bank
24	Auditor	Walker Chandio & Co LLP
25	Registrar	KFINTECH
26	RTA Code (To be phased out)	Direct Plan Growth Plan - Bonus Option Bonus: SCABB Direct Plan IDCW Plan Payout : SCADD Direct Plan IDCW Plan Reinvestment : SCADR Direct Growth Plan Growth Option Growth: SCAGG Growth Plan - Bonus Option Bonus: SCBPB IDCW Plan Payout: SCDPD IDCW Plan Reinvestment : SCDPR Growth Plan Growth Option Growth: SCGPG
27	Listing Details	NA
28	ISINs	Direct Plan Growth Plan - Bonus Option Bonus: INF204K01J83 Direct Plan IDCW Plan Payout : INF204K01J91 Direct Plan IDCW Plan Reinvestment : INF204K01K07 Direct Growth Plan Growth Option Growth: INF204K01K15 Growth Plan - Bonus Option Bonus: INF204K01HZ0 IDCW Plan Payout: INF204K01IA1 IDCW Plan Reinvestment : INF204K01IB9 Growth Plan Growth Option Growth: INF204K01HY3
29	AMFI Codes(To be phased out)	Direct Plan Growth Plan - Bonus Option Bonus: 118777 Direct Plan IDCW Plan Payout : 118775 Direct Plan IDCW Plan Reinvestment : 118775 Direct Growth Plan Growth Option Growth: 118778 Growth Plan - Bonus Option Bonus: 113178 IDCW Plan Payout: 113179 IDCW Plan Reinvestment : 113179 Growth Plan Growth Option Growth: 113177
30	SEBI Codes	NIMF/O/E/SCF/10/07/0044
Investment Amount Details:		
31	Minimum Application Amount	5000
32	Minimum Application Amount in Multiple of Rs.	1
33	Minimum Additional Amount	1000
34	Minimum Additional Amount in Multiple of Rs.	1
35	Minimum Redemption Amount in Rs.	100
36	Minimum Redemption Amount in Units	Any
37	Minimum Balance Amount(If applicable)	NA
38	Minimum Balance Amount in Units(If applicable)	NA
39	Max Investment Amount	Any
40	Minimum Switch Amount(If applicable)	5000
41	Minimum Switch Units	NA
42	Switch Multiple Amount(If applicable)	NA
43	Switch Multiple Units(If applicable)	NA
44	Max Switch Amount	NA
45	Max Switch Units(If applicable)	NA
46	Swing pricing (If applicable)	NA

47	Side-Pocketing (If applicable)	NA
SIP SWP & STP Details :		
SIP		
48	Frequency	Monthly, Quarterly, Yearly
49	Minimum Amount	Rs.100/- per month (minimum 60 months) Rs.500/- per month (minimum 12 months) Rs.1000/- per month (minimum 6 months) Rs. 500/- per quarter (minimum 12 quarters) Rs.1500/- per quarter (minimum 4 quarters) Rs. 5000/- per year (minimum 2 years)
50	In Multiple of	Rs. 500 if SIP amount is 5,000 per year & Rs. 1 for any other amount
51	Minimum Instalments	60 months for Rs. 100 per month 12 months for Rs. 500 per month 6 months for Rs. 1,000 per month 12 quarters for Rs. 500 per quarter 4 quarters for Rs. 1500 per quarter 2 years for Rs. 5000 per Year
52	Dates	1st to 28th of a month
53	Maximum Amount(If Any)	Fresh SIP shall continue with each installment being less than or equal to Rs. 1 lakh
SWP		
54	Frequency	Monthly / Quarterly / Half yearly / Yearly
55	Minimum Amount	500
56	In Multiple of	100
57	Minimum Instalments	2
58	Dates	1st, 8th, 15th, 22nd transaction day (if holiday, then next working day)
59	Maximum Amount(If Any)	Any
STP		
60	Frequency	Daily/ Weekly/ Fortnightly/ Monthly / Quarterly
61	Minimum Amount	Daily- Minimum of Rs. 100 Weekly / Fortnight / Monthly option - Rs. 1000 Quarterly - Rs. 3000 Capital Appreciation Monthly/ Quarterly - Rs. 500
62	In Multiple of	100
63	Minimum Instalments	2
64	Dates	1st to 28th of a month
65	Maximum Amount(If Any)	Any
SIP INSURE		
66	Frequency	Monthly, Quarterly, Yearly
67	Minimum Amount	Monthly - Rs. 500 Quarterly - Rs.1,500 Yearly- Rs.6,000
68	In Multiple of	1
69	Minimum Instalments	Monthly –36 installments; Quarterly –12 installments; Yearly – 3 installments
70	Dates	1st to 28th of a month
71	Maximum Amount(If Any)	Any amt as per scheme acceptance