

| Annexure A                 |                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|----------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Fields                     | SCHEME SUMMARY DOCUMENT                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 1                          | Fund Name                                            | NIPPON INDIA SMALL CAP FUND                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 2                          | Option Names (Regular & Direct)                      | Direct Plan Growth Plan - Bonus Option Bonus, Direct Plan IDCW Plan Payout, Direct Plan IDCW Plan Reinvestment, Direct Growth Plan Growth Option Growth, Growth Plan - Bonus Option Bonus, IDCW Plan Payout, IDCW Plan Reinvestment, Growth Plan Growth Option Growth                                                                                                                                                                                                                                                                                                                                                                              |
| 3                          | Fund Type                                            | Open                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 4                          | Riskometer(at the time of Launch)                    | Moderately High                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 5                          | Riskometer (as on Date)                              | Very High                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 6                          | Category as Per SEBI Categorization Circular         | Equity: Small Cap Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 7                          | Potential Risk Class (as on date)                    | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 8                          | Description objective of the scheme                  | The primary investment objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related instruments of small cap companies and the secondary objective is to generate consistent returns by investing in debt and money market securities.                                                                                                                                                                                                                                                                                                                                            |
| 9                          | Stated asset allocation                              | Equity & Equity Related Securities of small cap companies including derivatives - 65%-100%, Equity & Equity Related Securities of Any other companies including derivatives - 0% - 35%, debt & money market securities (including investments in securitized debt upto 30%)- 0%-35%, Units issued by REITs and InvITs – 0%- 10%, Market Capitalization: Market value of the listed compAny, which is calculated by multiplying its current market price by total number of shares. Small Cap: Small Cap stocks are defined as stocks of companies whose market capitalization is below first 250 companies in terms of full market capitalization. |
| 10                         | Face Value                                           | 10                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 11                         | NFO Open date                                        | 26-Aug-2010                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 12                         | NFO Close Date                                       | 9-Sep-2010                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 13                         | Allotment Date                                       | 16-Sep-2010                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 14                         | ReOpen Date                                          | 21-Sep-2010                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 15                         | Maturity Date (For Close End Funds)                  | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 16                         | Benchmark (Tier 1 )                                  | Nifty Smallcap 250 TRI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 17                         | Benchmark (Tier 2 )                                  | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 18                         | Fund Manager 1 - Name                                | Samir Rachh                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 19                         | Fund Manager 1 - Type (Primary/Comanage/Description) | Primary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 20                         | Fund Manager 1 - From Date                           | Since Jan 2017                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 21                         | Annual Expense (Stated Maximum)                      | Regular-1.82% Direct-1.03%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 22                         | Exit Load (If applicable)                            | 1% if redeemed or switched out on or before completion of 1 month from the date of allotment of units. Nil, thereafter.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 23                         | Custodian                                            | Deutsche Bank                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 24                         | Auditor                                              | Walker Chandio & Co LLP                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 25                         | Registrar                                            | KFINTECH                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 26                         | RTA Code (To be phased out)                          | Direct Plan Growth Plan - Bonus Option Bonus: SCABB<br>Direct Plan IDCW Plan Payout : SCADD<br>Direct Plan IDCW Plan Reinvestment : SCADR<br>Direct Growth Plan Growth Option Growth: SCAGG<br>Growth Plan - Bonus Option Bonus: SCBPB<br>IDCW Plan Payout: SCDPD<br>IDCW Plan Reinvestment : SCDPR<br>Growth Plan Growth Option Growth: SCGPG                                                                                                                                                                                                                                                                                                     |
| 27                         | Listing Details                                      | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 28                         | ISINs                                                | Direct Plan Growth Plan - Bonus Option Bonus: INF204K01J83<br>Direct Plan IDCW Plan Payout : INF204K01J91<br>Direct Plan IDCW Plan Reinvestment : INF204K01K07<br>Direct Growth Plan Growth Option Growth: INF204K01K15<br>Growth Plan - Bonus Option Bonus: INF204K01H20<br>IDCW Plan Payout: INF204K01IA1<br>IDCW Plan Reinvestment : INF204K01IB9<br>Growth Plan Growth Option Growth: INF204K01HY3                                                                                                                                                                                                                                             |
| 29                         | AMFI Codes(To be phased out)                         | Direct Plan Growth Plan - Bonus Option Bonus: 118777<br>Direct Plan IDCW Plan Payout : 118775<br>Direct Plan IDCW Plan Reinvestment : 118775<br>Direct Growth Plan Growth Option Growth: 118778<br>Growth Plan - Bonus Option Bonus: 113178<br>IDCW Plan Payout: 113179<br>IDCW Plan Reinvestment : 113179<br>Growth Plan Growth Option Growth: 113177                                                                                                                                                                                                                                                                                             |
| 30                         | SEBI Codes                                           | NIMF/O/E/SCF/10/07/0044                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Investment Amount Details: |                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 31                         | Minimum Application Amount                           | 5000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 32                         | Minimum Application Amount in Multiple of Rs.        | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 33                         | Minimum Additional Amount                            | 1000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 34                         | Minimum Additional Amount in Multiple of Rs.         | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 35                         | Minimum Redemption Amount in Rs.                     | 100                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 36                         | Minimum Redemption Amount in Units                   | Any                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 37                         | Minimum Balance Amount(If applicable)                | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 38                         | Minimum Balance Amount in Units(If applicable)       | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 39                         | Max Investment Amount                                | Any                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 40                         | Minimum Switch Amount(If applicable)                 | 5000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 41                         | Minimum Switch Units                                 | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 42                         | Switch Multiple Amount(If applicable)                | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 43                         | Switch Multiple Units(If applicable)                 | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 44                         | Max Switch Amount                                    | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 45                         | Max Switch Units(If applicable)                      | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 46                         | Swing pricing (If applicable)                        | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

|    |                                |                                                                                                                                                                                                                                                                  |
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| 47 | Side-Pocketing (If applicable) | NA                                                                                                                                                                                                                                                               |
|    |                                | <b>SIP SWP &amp; STP Details :</b>                                                                                                                                                                                                                               |
|    | <b>SIP</b>                     |                                                                                                                                                                                                                                                                  |
| 48 | Frequency                      | Monthly, Quarterly, Yearly                                                                                                                                                                                                                                       |
| 49 | Minimum Amount                 | Rs.100/- per month (minimum 60 months)<br>Rs.500/- per month (minimum 12 months)<br>Rs.1000/- per month (minimum 6 months)<br>Rs. 500/- per quarter (minimum 12 quarters)<br>Rs.1500/- per quarter (minimum 4 quarters)<br>Rs. 5000/- per year (minimum 2 years) |
| 50 | In Multiple of                 | Rs. 500 if SIP amount is 5,000 per year & Rs. 1 for any other amount                                                                                                                                                                                             |
| 51 | Minimum Instalments            | 60 months for Rs. 100 per month<br>12 months for Rs. 500 per month<br>6 months for Rs. 1,000 per month<br>12 quarters for Rs. 500 per quarter<br>4 quarters for Rs. 1500 per quarter<br>2 years for Rs. 5000 per Year                                            |
| 52 | Dates                          | 1st to 28th of a month                                                                                                                                                                                                                                           |
| 53 | Maximum Amount(If Any)         | Fresh SIP shall continue with each installment being less than or equal to Rs. 1 lakh                                                                                                                                                                            |
|    | <b>SWP</b>                     |                                                                                                                                                                                                                                                                  |
| 54 | Frequency                      | Monthly / Quarterly / Half yearly / Yearly                                                                                                                                                                                                                       |
| 55 | Minimum Amount                 | 500                                                                                                                                                                                                                                                              |
| 56 | In Multiple of                 | 100                                                                                                                                                                                                                                                              |
| 57 | Minimum Instalments            | 2                                                                                                                                                                                                                                                                |
| 58 | Dates                          | 1st, 8th, 15th, 22nd transaction day (if holiday, then next working day)                                                                                                                                                                                         |
| 59 | Maximum Amount(If Any)         | Any                                                                                                                                                                                                                                                              |
|    | <b>STP</b>                     |                                                                                                                                                                                                                                                                  |
| 60 | Frequency                      | Daily/ Weekly/ Fortnightly/ Monthly / Quarterly                                                                                                                                                                                                                  |
| 61 | Minimum Amount                 | Daily- Minimum of Rs. 100<br>Weekly / Fortnight / Monthly option - Rs. 1000<br>Quarterly - Rs. 3000<br>Capital Appreciation<br>Monthly/ Quarterly - Rs. 500                                                                                                      |
| 62 | In Multiple of                 | 100                                                                                                                                                                                                                                                              |
| 63 | Minimum Instalments            | 2                                                                                                                                                                                                                                                                |
| 64 | Dates                          | 1st to 28th of a month                                                                                                                                                                                                                                           |
| 65 | Maximum Amount(If Any)         | Any                                                                                                                                                                                                                                                              |
|    | <b>SIP INSURE</b>              |                                                                                                                                                                                                                                                                  |
| 66 | Frequency                      | Monthly, Quarterly, Yearly                                                                                                                                                                                                                                       |
| 67 | Minimum Amount                 | Monthly - Rs. 500<br>Quarterly - Rs.1,500<br>Yearly- Rs.6,000                                                                                                                                                                                                    |
| 68 | In Multiple of                 | 1                                                                                                                                                                                                                                                                |
| 69 | Minimum Instalments            | Monthly –36 installments; Quarterly –12 installments; Yearly – 3 installments                                                                                                                                                                                    |
| 70 | Dates                          | 1st to 28th of a month                                                                                                                                                                                                                                           |
| 71 | Maximum Amount(If Any)         | Any amt as per scheme acceptance                                                                                                                                                                                                                                 |