

Annexure A		
Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	NIPPON INDIA ARBITRAGE FUND
2	Option Names (Regular & Direct)	Direct Plan IDCW Plan Payout, Direct Plan IDCW Plan Reinvestment, Direct Growth Plan Growth Option Growth, Direct Monthly IDCW Plan Payout, Direct Monthly IDCW Plan Reinvestment, IDCW Plan Payout, IDCW Plan Reinvestment, Growth Plan Growth, Monthly IDCW Plan Payout, Monthly IDCW Plan Reinvestment
3	Fund Type	OPEN
4	Riskometer(at the time of Launch)	Moderately Low
5	Riskometer (as on Date)	Low
6	Category as Per SEBI Categorilization Circular	Hybrid
7	Potential Risk Class (as on date)	
8	Description objective of the scheme	The investment objective of the scheme is to provide capital appreciation to the investors, which will be in line with their long term savings goal, by investing in a diversified portfolio of equity & equity related instruments with small exposure to fixed income securities. Although, the objective of the Fund is to generate optimal returns, the objective may or may not be achieved.
9	Stated asset allocation	Under normal circumstances, the anticipated asset allocation would be: Equities and equity related instruments, Derivatives including index futures, stock futures, index options, & stock options, etc. # – 65%-90%, Debt and Money market instruments (including investments in securitized debt upto 30%) -10%-35%. When adequate arbitrage opportunities are not available in the Derivative and Equity markets, the anticipated alternate asset allocation on defensive considerations would be: Equities and equity related instruments, Derivatives including index futures, stock futures, index options, & stock options, etc. (Only arbitrage opportunities) # - 0%-65%, Debt and Money market instruments (including investments in securitized debt upto 30%) - 10%-35%, Short term Debt and Money market instruments not exceeding tenure of 91 days** (including investments in securitized debt) # the exposure to derivatives shown in the above asset allocation tables is exposure taken against the underlying equity investments and should not be considered for calculating the total asset allocation and/or investment restrictions on the issuer. The idea is not to take additional asset allocation with the use of derivative. The margin money deployed on these positions would be included in Money Market category.
10	Face Value	10
11	NFO Open date	24-Sep-2010
12	NFO Close Date	8-Oct-2010
13	Allotment Date	14-Oct-2010
14	Reopen Date	20-Oct-2010
15	Maturity Date (For Close End Funds)	--
16	Benchmark (Tier 1)	NIFTY 50 Arbitrage Index
17	Benchmark (Tier 2)	NA
18	Fund Manager 1 - Name	Anju Chhajer, Anand Devendra Gupta
19	Fund Manager 1 - Type (Primary/Comanage/Discriptio	Primary
20	Fund Manager 1 - From Date	Anand D Gupta(Since Sep 2018), Anju Chhajer (Since Feb 2020)
21	Annual Expense (Stated Maximum)	Regular- 1.02% Direct- 0.07%
22	Exit Load (If applicable)	Yes
23	Custodian	Deutsche Bank
24	Auditor	Walker Chandiok & Co LLP
25	Registrar	KFINTECH
26	RTA Code (To be phased out)	AFADD, AFADR, AFAGG, AFAMD, AFAMR, AFDPD, AFDPR, AFGPG, AFMPD, AFMPR
27	Listing Details	NA
28	ISINs	INF204K01YA8, INF204K01YB6, INF204K01XZ7, INF204KA1MV7, INF204KA1MW5, INF204K01IZ8, INF204K01JA9, INF204K01IY1, INF204KA1MT1, INF204KA1MU9
29	AMFI Codes(To be phased out)	118587, 118587, 118585, 128821, 128821, 113346, 113346, 113345, 128820, 128820
30	SEBI Codes	RCT-RAF
Investment Amount Details:		
31	Minimum Application Amount	5000
32	Minimum Application Amount in Multiple of Rs.	1
33	Minimum Additional Amount	1000
34	Minimum Additional Amount in Multiple of Rs.	1
35	Minimum Redemption Amount in Rs.	100
36	Minimum Redemption Amount in Units	Any
37	Minimum Balance Amount(If applicable)	
38	Minimum Balance Amount in Units(If applicable)	
39	Max Investment Amount	Any
40	Minimum Switch Amount(If applicable)	5000
41	Minimum Switch Units	
42	Switch Multiple Amount(If applicable)	
43	Switch Multiple Units(If applicable)	
44	Max Switch Amount	
45	Max Switch Units(If applicable)	
46	Swing pricing (If applicable)	NA
47	Side-Pocketing (If applicable)	NA
SIP SWP & STP Details :		
SIP		
48	Frequency	M, Q, Y
49	Minimum Amount	100 , 500 , 1000, 1500, 6000
50	In Multiple of	100
51	Minimum Instalments	60, 12,6,4, 2
52	Dates	1 to 28
53	Maximum Amount(If Any)	Any
SWP		
54	Frequency	M/Q
55	Minimum Amount	500
56	In Multiple of	1
57	Minimum Instalments	2
58	Dates	1,8,15 & 22
59	Maximum Amount(If Any)	Any
STP		
60	Frequency	1, 8, 15 & 22, DAILY 1 TO 28
61	Minimum Amount	100 Daily, CASTP(Amt not required It will processed if amt Process above 500) , 1000 M, W & FN & 3000 QTRLY
62	In Multiple of	100
63	Minimum Instalments	2
64	Dates	1 to 28
65	Maximum Amount(If Any)	Any