

National Stock Exchange of India

Circular

Department: LISTING	
Download Ref No: NSE/CML/2023/59	Date: July 31, 2023

To
All Listed Companies,

Subject: Trading Window closure period under Clause 4 of Schedule B read with Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015 (“PIT Regulations”) – Extending framework for restricting trading by Designated Persons (“DPs”) by freezing PAN at security level to all listed companies in a phased manner

This is in furtherance to NSE Circular no. NSE/CML/2022/40 dated August 05, 2022 and NSE/CML/2023/49 dated June 28, 2023, NSE/CML/2023/58 dated July 21, 2023 and in accordance with Securities and Exchange Board of India (SEBI) circular no. SEBI/HO/ISD/ISD-SEC-4/P/CIR/2022/107 dated August 5, 2022 and SEBI/HO/ISD/ISD-PoD-2/P/CIR/2023/124 dated July 19, 2023, regarding Trading Window closure period under Clause 4 of Schedule B read with Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015 (“PIT Regulations”) – Framework for restricting trading by Designated Persons (“DPs”) by freezing PAN at security level.

1. According to the said circular issued by SEBI, Exchange has issued circular on July 21, 2023, for the same. However, all listed entities are requested to please find attached revised Annexure I for Top 1,000 companies in terms of BSE Market Capitalization as of June 30, 2023 (excluding companies which are a part of benchmark indices).
2. Companies that qualify must include all equity ISIN and ISINs that are convertible into equity.
3. Listed entities are required to designate one of the depositories as its designated depository and provide the information including PAN of Promoter(s), promoter group, director(s) and designated person(s) in the manner as specified by the depositories according to SEBI circular no – SEBI/HO/ISD/ISD/CIR/P/2020/168 dated September 9, 2020. Further, listed entities shall update their designated person list in the manner specified by the depositories on regular basis.

This circular shall come into force for trading window closure with effect from October 1, 2023

The aforesaid circular is attached for reference.

All Listed companies are requested to take note and comply accordingly.

Yours faithfully

For National Stock Exchange of India Limited

Manasi Sawant

Senior Manager

