

| SCHEME SUMMARY DOCUMENT NJ MUTUAL FUND | | |
|--|--|---|
| 1 | Fund Name | NJ Arbitrage Fund |
| 2 | Option Names (Regular & Direct) | <p>The Scheme offers following plans:</p> <p>1. Regular Plan: This Plan is for investors who wish to route their investment through any distributor.</p> <p>2. Direct Plan: This Plan is for investors who invest directly without routing the investments through any distributors. Direct Plan has a lower expense ratio which excludes distribution commission. No commission for distribution of Units is charged under the Direct Plan.</p> <p>Both the Plans will have a common portfolio and separate NAVs. The Scheme will have a Growth option.</p> <p>Growth Option: The income attributable to Units under this Option will continue to remain invested and will be reflected in the Net Asset Value of Units under this Option. Hence, no amount will be distributed under this Option.</p> |
| 3 | Fund Type | An open ended scheme investing in arbitrage opportunities |
| 4 | Riskometer (At the time of Launch) | Low |
| 5 | Riskometer (as on Date) | Low |
| 6 | Category as Per SEBI Categorization Circular | Arbitrage Fund |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | <p>The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market and by investing the balance in debt and money market instruments.</p> <p>However, there is no assurance or guarantee that the investment objective of the scheme will be achieved.</p> |
| 9 | Stated Asset Allocation | <p>Instruments Indicative allocations (% of total assets)</p> <p>Equity & Equity related instruments : Minimum 65% - Maximum 100%</p> <p>Debt and money market instruments* : Minimum 0% - Maximum 35%</p> <p>Risk Profile</p> <p>Equity & Equity related instruments - High to Very High</p> <p>Debt and money market instruments* - Low to Medium</p> <p>*TREPS, Government Repo / Reverse Repo (in Government Securities), Treasury bills, Government securities (Issued by both Central and State governments) and any other like instruments as specified by the Reserve Bank of India from time to time and approved by SEBI from time to time.</p> <p>Please refer SID for Further details</p> |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 29-07-2022 |
| 12 | NFO Close date | 29-07-2022 |
| 13 | Allotment Date | 01-08-2022 |
| 14 | Reopen Date | 02-08-2022 |
| 15 | Maturity Date (For closed-end funds) | NA |
| 16 | Benchmark (Tier 1) | Nifty 50 Arbitrage Index |
| 17 | Benchmark (Tier 2) | - |
| 18 | Fund Manager Name | Mr. Rishi Sharma |
| 19 | Fund Manager Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager From Date | 01-08-2022 |
| 21 | Annual Expense (Stated maximum) | Regular – 1.00% Direct – 0.29% |
| 22 | Exit Load (if applicable) | <p>In respect of each purchase of Units:-</p> <p>1. NIL Exit load – for 5% of the units upto completion of 30 days</p> <ul style="list-style-type: none"> ● The “First In First Out (FIFO)” logic will be applied while selecting the units for redemption ● Waiver of Exit load is calculated for each inflow transaction separately on FIFO basis and not on the total units through multiple inflows ● The load free units from purchases made subsequent to the initial purchase will be available only after redeeming all units from the initial purchase <p>2. All units redeemed /switched-out in excess of the 5% load free units will be subject to the below mentioned exit load.</p> <ul style="list-style-type: none"> ● 1.00% - if Units are redeemed/switched-out on or before 30 days from the date of allotment ● Nil - if redeemed after 30 days from the date of Allotment. |

| | | |
|-----------------------------------|---|---|
| 23 | Custodian | Deutsche Bank AG |
| 24 | Auditor | MSKA and Associates |
| 25 | Registrar | Kfin Technologies Limited |
| 26 | RTA Code (To be phased out) | NJ Arbitrage Fund - Direct Plan - Growth Option – 187AFDG NJ Arbitrage Fund - Regular Plan - Growth Option – 187AFRG |
| 27 | Listing Details | NA |
| 28 | ISINs | NJ Arbitrage Fund - Direct Plan - Growth Option – INFOJ8L01065 NJ Arbitrage Fund - Regular Plan - Growth Option – INFOJ8L01057 |
| 29 | AMFI Codes (To be phased out) | NJ Arbitrage Fund - Direct Plan - Growth Option - 150367 NJ Arbitrage Fund - Regular Plan - Growth Option – 150366 |
| 30 | SEBI Codes | NJMF/O/H/ARB/22/02/0003 |
| Investment Amount Details: | | |
| 31 | Minimum Application Amount | 5000 |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | 500 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | 0 |
| 36 | Minimum Redemption Amount in Units | 0 |
| 37 | Minimum Balance Amount (if applicable) | 0 |
| 38 | Minimum Balance Amount in Units (if applicable) | 0 |
| 39 | Max Investment Amount | There will be no upper limit on the total amount collected under the Scheme during the NFO Period. No single investor shall account for more than 25% of the corpus of the Scheme. However, if such limit is breached during the NFO of the Scheme, the Mutual Fund will endeavor to ensure that within a period of three months or the end of the succeeding calendar quarter from the close of the NFO of the Scheme, whichever is earlier |
| 40 | Minimum Switch Amount (if applicable) | Purchase including Switch-in - Minimum of Rs. 5000/- and in multiples of Rs.1/- Thereafter Additional purchase including switch-in - Minimum of Rs. 500/- and in multiples of Rs. 1/- thereafter Repurchase – There will be no Minimum redemption criterion. |
| 41 | Minimum Switch Units | - |
| 42 | Switch Multiple Amount (if applicable) | - |
| 43 | Switch Multiple Units (if applicable) | - |
| 44 | Max Switch Amount | Unitholders under the Scheme have the option to switch part or all of their holdings |
| 45 | Max Switch Units (if applicable) | - |
| 46 | Swing Pricing (if applicable) | - |
| 47 | Side-pocketing (if applicable) | Provisions enabled in SID but there is no side pocketing |
| SIP SWP & STP Details: | | |
| 48 | Frequency | Monthly |
| 49 | Minimum amount | SIP: Rs. 1000/- and in multiple of Rs. 1/- thereafter STP and SWP Rs. 500/- and in multiple of Rs. 1/-thereafter |
| 50 | In multiple of | Rs.1 |
| 51 | Minimum Instalments | 6 |
| 52 | Dates | SIP/STP Any date between 1 to 28* SWP 1, 5, 12 and 20 of each month* * Next business day if the date falls on non-business day. |
| 53 | Maximum Amount (if any) | No Maximum Limit |