

| Annexure A                        |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|-----------------------------------|----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Fields                            | SCHEME SUMMARY DOCUMENT                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 1                                 | Fund Name                                          | Kotak Equity Savings Fund                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 2                                 | Option Names {Regular & Direct}                    | Growth<br>Payout of Income Distribution cum capital withdrawal (IDCW)<br>Reinvestment of Income Distribution cum capital withdrawal (IDCW)                                                                                                                                                                                                                                                                                                                           |
| 3                                 | Fund Type                                          | An open ended scheme investing in equity, arbitrage and debt                                                                                                                                                                                                                                                                                                                                                                                                         |
| 4                                 | Riskometer (At the time of Launch)                 | Moderately High                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 5                                 | Riskometer (as on date)                            | Moderately High                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 6                                 | Category as Per SEBI Categorization Circular       | Equity Savings                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 7                                 | Potential Risk Class (as on date)                  | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 8                                 | Description, Objective of the scheme               | The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and enhance returns with a moderate exposure in equity & equity related instruments                                                                                                                                                                            |
| 9                                 | Stated Asset Allocation                            | Equity & Equity Related instruments including derivatives- 65%-90% (Medium - High)<br>Of which Cash-futures arbitrage - 15%-80% (Low - Medium)<br>of which Net long equity exposure- 10%-50% (High)<br>Debt & Money market Instruments (including margin for derivatives) - 10%-35% (Low)<br>Units issued by REITs & InvITs- 0-10% (Medium to High)                                                                                                                  |
| 10                                | Face Value                                         | 10                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 11                                | NFO Open Date                                      | 17/09/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 12                                | NFO Close date                                     | 01/10/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 13                                | Allotment Date                                     | 13/10/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 14                                | Reopen Date                                        | 17/10/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 15                                | Maturity Date (For closed-end funds)               | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 16                                | Benchmark (Tier 1)                                 | NIFTY Equity Savings Index                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 17                                | Benchmark (Tier 2)                                 | 75% Nifty 50 Arbitrage Index, 25% Nifty 50 TRI                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 18                                | Fund Manager 1- Name                               | Mr. Devender Singhal                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 19                                | Fund Manager 1-Type (Primary/Comanage/Description) | Primary                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 20                                | Fund Manager 1- From Date                          | 01-Apr-21                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 21                                | Fund Manager 2- Name                               | Mr. Abhishek Bisen                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 22                                | Fund Manager 2-Type (Primary/Comanage/Description) | Primary                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 23                                | Fund Manager 2- From Date                          | 13-Oct-14                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 24                                | Fund Manager 3- Name                               | Mr. Hiten Shah                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 25                                | Fund Manager 3-Type (Primary/Comanage/Description) | Primary                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 26                                | Fund Manager 3- From Date                          | 03-Oct-19                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 27                                | Actual Expense (Stated maximum)                    | Regular - 2.13%<br>Direct - 1.1%                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 28                                | Exit Load (if applicable)                          | For redemption / switch out of upto 8% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil.<br>If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1%<br>If units are redeemed or switched out on or after 1 year from the date of allotment: NIL                                                                                                     |
| 29                                | Custodian                                          | Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai                                                                                                                                                                                                                                                                                                                                                                                                        |
| 30                                | Auditor                                            | M/s. Walker Chandio & Co. LLP                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 31                                | Registrar                                          | Computer Age Management Services Private Limited ('CAMS')                                                                                                                                                                                                                                                                                                                                                                                                            |
| 32                                | RTA Code (To be phased out)                        | KESF                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 33                                | Listing Details                                    | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 34                                | ISINs                                              | Kotak Equity Savings Fund - Direct Plan-G INF174K01D28<br>Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Payout INF174K01D36<br>Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Re-investment INF174K01D51<br>Kotak Equity Savings Scheme - Regular Plan-Growth INF174K01D02<br>Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Payout INF174K01C86<br>Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Re-investment INF174K01C78 |
| 35                                | AMFI Codes (To be phased out)                      | Kotak Equity Savings Fund - Direct Plan-G 131373<br>Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Payout - 131375<br>Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Re-investment- 131375<br>Kotak Equity Savings Scheme - Regular Plan-Growth - 131374<br>Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Payout 131374<br>Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Re-investment 131372                                |
| 36                                | SEBI Codes                                         | KOTM/O/H/ESF/14/08/0031                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>Investment Amount Details:</b> |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 37                                | Minimum Application Amount                         | Rs. 5000/-                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 38                                | Minimum Application Amount in multiples of Rs.     | Rs 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 39                                | Minimum Additional Amount                          | Rs. 1000/-                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 40                                | Minimum Additional Amount in multiples of Rs.      | Rs 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 41                                | Minimum Redemption Amount in Rs.                   | Rs.1000                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 42                                | Minimum Redemption Amount in Units                 | 100                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 43                                | Minimum Balance Amount (if applicable)             | Rs. 1000                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 44                                | Minimum Balance Amount in Units (if applicable)    | 100                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 45                                | Max Investment Amount                              | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 46                                | Minimum Switch Amount (if applicable)              | Rs. 5000/-                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 47                                | Minimum Switch Units                               | Re. 0.01                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 48                                | Switch Multiple Amount (if applicable)             | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 49                                | Switch Multiple Units (if applicable)              | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 50                                | Max Switch Amount                                  | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 51                                | Max Switch Units (if applicable)                   | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 52                                | Swing Pricing (if applicable)                      | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 53                                | Segregated Portfolio (if applicable)               | Enabled, No segregated portfolio created                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>SIP SWP &amp; STP Details:</b> |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 54                                | Frequency SIP                                      | SIP - Monthly & Quarterly<br>SWP - Monthly & Quarterly<br>STP - Daily, Weekly, Monthly & Quarterly                                                                                                                                                                                                                                                                                                                                                                   |
| 55                                | Minimum amount SIP                                 | SIP - Rs. 1000<br>SWP - Rs. 1000<br>STP - Rs. 1000                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 56                                | In multiple of                                     | SIP - Re. 1<br>SWP - Re. 1<br>STP - Re. 1                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 57                                | Minimum Instalments SIP                            | SIP - 6 installments<br>SWP - 6 installments<br>STP - 6 installments                                                                                                                                                                                                                                                                                                                                                                                                 |
| 58                                | Dates SIP                                          | SIP - Any date from 1st to 31st<br>SWP - 1,7,14, 21 & 25 of Every Month<br>STP - Any Business Day                                                                                                                                                                                                                                                                                                                                                                    |
| 59                                | Maximum Amount (if any)                            | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |