

AnnexureA

| Fields | | SCHEME SUMMARY DOCUMENT |
|-----------------------------------|---|---|
| 1 | Fund Name | Kotak Focused Equity Fund |
| 2 | Option Names (Regular & Direct) | Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW) |
| 3 | Fund Type | An open ended equity scheme investing in maximum 30 stocks in large-cap, mid-cap and small-cap category |
| 4 | Riskometer (As the time of launch) | Very High |
| 5 | Riskometer (As on date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Liquid Fund |
| 7 | Potential Risk Class (As on date) | Not Applicable |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to generate long term capital appreciation/income by investing in equity & equity related instruments across market capitalization of up to 30 companies. However, there is no assurance that the objective of the scheme will be realized. |
| 9 | Stated Asset Allocation | Equity and Equity related Instruments - 65 - 100% Medium-High (Risk Profile) Debt & Money Market Instruments - 0 - 35% Low - Medium (Risk Profile) Units issued by REITs and InvITs - 0 - 10% Medium to High (Risk Profile) |
| 10 | Face Value | Rs.10 |
| 11 | NFO Open Date | 25-Jun-2019 |
| 12 | NFO Close date | 12-Jul-2019 |
| 13 | Allotment Date | 16-Jul-2019 |
| 14 | Reopen Date | 25-Jun-2019 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty 500 TRI |
| 17 | Benchmark (Tier 2) | Nifty 200 TRI |
| 18 | Fund Manager 1- Name | Ms. Shibani Kurian |
| 19 | Fund Manager 1- Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager 1- From Date | 16-Jul-2019 |
| 18 | Fund Manager 2- Name | Mr. Harish Krishnan |
| 19 | Fund Manager 2- Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager 2- From Date | 16-Jul-2019 |
| 21 | Fund Manager 3- Name | Mr. Arjun Khanna |
| 22 | Fund Manager 3- Type (Primary/Comanage/Description) | Dedicated fund manager for investments in foreign securities |
| 23 | Fund Manager 3- From Date | 16-Jul-2019 |
| 24 | Actual Expense (Stated maximum) | Regular Plan - 2.12% Direct Plan - 0.5% |
| 25 | Exit Load (if applicable) | i. For redemption / switch out of upto 10% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. ii. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% iii. If units are redeemed or switched out on or after 1 year from the date of allotment: NIL |
| 26 | Custodian | Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai |
| 27 | Auditor | M/s. Walker Chandiok & Co. LLP |
| 28 | Registrar | Computer Age Management Services Private Limited ('CAMS') |
| 29 | RTA Code (To be phased out) | KFEF |
| 30 | Listing Details | Not Applicable |
| 31 | ISINs | Direct Plan - Growth - INF174KA1EN7 Direct Plan - Daily IDCW - INF174KA1EP2 Direct Plan-Daily - IDCW Re-investment - INF174KA1EO5 Regular Plan-Growth - INF174KA1EK3 Regular Plan - Daily IDCW - INF174KA1EM9 Regular Plan-Daily - IDCW Re-investment - INF174KA1EL1 |
| 32 | AMFI Codes (To be phased out) | Direct Plan - Growth - 147473 Direct Plan-Daily -IDCW Re-investment - 147475 Regular Plan-Growth - 147477 Regular Plan-Daily - IDCW Re-investment - 147472 |
| 33 | SEBI Codes | KOTM/O/E/FOC/18/12/0055 |
| Investment Amount Details: | | |
| 34 | Minimum Application Amount | Rs. 5000/- |
| 35 | Minimum Application Amount in multiples of Rs. | Re. 1 |
| 36 | Minimum Additional Amount | Rs. 1000/- |
| 37 | Minimum Additional Amount in multiples of Rs. | Re. 1 |
| 38 | Minimum Redemption Amount in Rs. | Rs. 1000/- |
| 39 | Minimum Redemption Amount in Units | 100 unit |
| 40 | Minimum Balance Amount (if applicable) | Rs. 1000/- |
| 41 | Minimum Balance Amount in Units (if applicable) | 100 units |
| 42 | Max Investment Amount | Not Applicable |
| 43 | Minimum Switch Amount (if applicable) | Rs. 1000/- |
| 44 | Minimum Switch Units | 0.01 |
| 45 | Switch Multiple Amount (if applicable) | Not Applicable |
| 46 | Switch Multiple Units (if applicable) | Not Applicable |
| 47 | Max Switch Amount | Not Applicable |
| 48 | Max Switch Units (if applicable) | Not Applicable |
| 49 | Swing Pricing (if applicable) | No |
| 50 | Segregated Portfolio(if applicable) | No |
| SIP SWP & STP Details: | | |
| 51 | Frequency | SIP - Monthly & Quarterly SWP - Daily, Weekly, Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly |
| 52 | Minimum amount | SIP - Rs. 1000 SWP - Rs. 1000 STP - Rs. 1000 |
| 53 | In multiple of | SIP - N. A. SWP - STP - |
| 54 | Minimum Instalments | SIP - 6 installments SWP - 6 installments STP - 6 installments |
| 55 | Dates | SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day |
| 56 | Maximum Amount (if any) | Not Applicable |