

| Fields | SCHEME SUMMARY DOCUMENT                          |  |
|--------|--|--|
| 1      | Fund Name  | Kotak Equity Opportunities Fund  |
| 2      | Option Names (Regular & Direct)                  | Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)   |
| 3      | Fund Type  | Large & Mid Cap Fund- An open ended equity scheme investing in both large cap and mid cap stocks   |
| 4      | Riskometer (At the time of Launch)               | Very High  |
| 5      | Riskometer (as on Date)                          | Very High  |
| 6      | Category as Per SEBI Categorization Circular     | Large and Mid Cap Fund   |
| 7      | Potential Risk Class (as on date)                | NA   |
| 8      | Description, Objective of the scheme             | The investment objective of the Scheme is to generate capital appreciation from a diversified portfolio of equity and equity related securities. The Scheme will invest predominantly in a mix of large and mid cap stocks from various sectors, which look promising, based on the growth pattern in the economy. There is no assurance that the investment objective of the Scheme will be achieved.   |
| 9      | Stated Asset Allocation                          | Equity and Equity Related Securities- 70% to 100%- Medium to High (Risk Profile) investments in equity and equity related securities of large cap companies- 35% to 65% Medium to High (Risk Profile) investments in equity and equity related securities of mid cap companies- 35%- 65% Medium to High (Risk Profile) investments in equity and equity related securities of Companies other than large and mid cap companies- 0% to 30% Medium to High (Risk Profile) Debt and Money Market Securities- 0% to 30% Low to Medium (Risk Profile) Units issued by REITs & InvITs- 0-10% - Medium to High (Risk Profile) |
| 10     | Face Value                                       | 10   |
| 11     | NFO Open Date                                    | 27-Jul-2004  |
| 12     | NFO Close date                                   | 25-Aug-2004  |
| 13     | Allotment Date                                   | 09-Sep-2004  |
| 14     | Reopen Date                                      | 10-Sep-2004  |
| 15     | Maturity Date (For closed-end funds)             | Not Applicable   |
| 16     | Benchmark (Tier 1)                               | NIFTY Large Midcap 250 TRI   |
| 17     | Benchmark (Tier 2)                               | Nifty 200 TRI  |
| 18     | Fund Manager Name                                | FM 1 Mr. Harsha Upadhaya FM 2 Mr.Arjun Khanna  |
| 19     | Fund Manager Type (Primary/Comanage/Description) | FM 1 Primary FM 2 Dedicated fund manager for investments in foreign securities   |
| 20     | Fund Manager From Date                           | FM 1 04/08/2012 FM 2 01/06/2018  |
| 21     | Annual Expense (Stated maximum)                  | Regular 1.7800, Direct 0.5900  |
| 22     | Exit Load (if applicable)                        | For redemption / switch out of upto 10% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% If units are redeemed or switched out on or after 1 year from the date of allotment: NIL  |
| 23     | Custodian  | Deutsche Bank A G, Mumbai & Standard Chartered Bank  |
| 24     | Auditor  | M/s. Walker Chandiook & Co. LLP  |
| 25     | Registrar  | Computer Age Management Services Private Limited ('CAMS')  |
| 26     | RTA Code (To be phased out)                      | KOPPO  |
| 27     | Listing Details                                  | Not Applicable   |
| 28     | ISINs  | Kotak Equity Opportunities Fund - Direct Plan-G INF174K01LF9 Kotak Equity Opportunities Fund - Direct Plan-IDCW Payout- INF174K01LG7 Kotak Equity Opportunities Fund - Direct Plan-IDCW Re-investment- INF174K01LH5 Kotak Equity Opportunities Fund - Regular Plan-Growth- INF174K01187 Kotak Equity Opportunities Fund - Regular Plan-IDCW Payout- INF174K01203 Kotak Equity Opportunities Fund - Regular Plan-IDCW Re-investment- INF174K01195   |
| 29     | AMFI Codes (To be phased out)                    | Kotak Equity Opportunities Fund - Direct Plan-Growth-120158 Kotak Equity Opportunities Fund - Direct Plan-IDCW-120157 Kotak Equity Opportunities Fund - Regular Plan-Growth- 103234 Kotak Equity Opportunities Fund - Regular Plan-IDCW-103233   |
| 30     | SEBI Codes                                       | KOTM/O/E/LMF/04/01/0010  |
| 31     | Minimum Application Amount                       | Rs. 5000/-   |
| 32     | Minimum Application Amount in multiples of Rs.   | Re. 1  |
| 33     | Minimum Additional Amount                        | Rs. 1000/-   |
| 34     | Minimum Additional Amount in multiples of Rs.    | Re. 1  |
| 35     | Minimum Redemption Amount in Rs.                 | Rs. 1000/-   |
| 36     | Minimum Redemption Amount in Units               | 100 unit   |
| 37     | Minimum Balance Amount (if applicable)           | Rs. 1000/-   |
| 38     | Minimum Balance Amount in Units (if applicable)  | 100 units  |
| 39     | Max Investment Amount                            | Not Applicable   |
| 40     | Minimum Switch Amount (if applicable)            | Rs. 5000/-   |
| 41     | Minimum Switch Units                             | Re. 0.01   |
| 42     | Switch Multiple Amount (if applicable)           | Not Applicable   |
| 43     | Switch Multiple Units (if applicable)            | Not Applicable   |
| 44     | Max Switch Amount                                | Not Applicable   |
| 45     | Max Switch Units (if applicable)                 | Not Applicable   |
| 46     | Swing Pricing (if applicable)                    | Not Applicable   |
| 47     | Side-pocketing (if applicable)                   | No   |
| 48     | SIP SWP & STP Details: Frequency                 | SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly   |

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|--------|--|---|
| 49     | SIP SWP & STP Details: Minimum amount          | SIP - Rs. 1000. SWP - Rs. 1000 STP - Rs. 1000   |
| 50     | SIP SWP & STP Details: In multiple of          | SIP - Rs. 1 SWP - Rs. 1 STP - Rs. 1   |
| 51     | SIP SWP & STP Details: Minimum Instalments     | SIP - 6 installments SWP - 6 installments STP - 6 installments                              |
| 52     | SIP SWP & STP Details: Dates                   | SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day |
| 53     | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable  |