

| AnnexureA                         |  |   |
|-----------------------------------|--|---|
| Fields                            | SCHEME SUMMARY DOCUMENT                            |   |
| 1                                 | Fund Name  | Kotak Flexicap Fund   |
| 2                                 | Option Names (Regular & Direct)                    | Growth<br>Payout of Income Distribution cum capital withdrawal (IDCW)<br>Reinvestment of Income Distribution cum capital withdrawal (IDCW)  |
| 3                                 | Fund Type  | Flexicap Fund - An open ended dynamic equity scheme investing across large cap, mid cap, small cap stocks   |
| 4                                 | Riskometer (At the time of Launch)                 | Very High   |
| 5                                 | Riskometer (as on date)                            | Very High   |
| 6                                 | Category as Per SEBI Categorization Circular       | Flexicap Fund   |
| 7                                 | Potential Risk Class (as on date)                  | NA  |
| 8                                 | Description, Objective of the scheme               | The investment objective of the scheme is to generate long-term capital appreciation from a portfolio of equity and equity related securities, generally focused on a few selected sectors.<br>However, there is no assurance that the objective of the scheme will be realized   |
| 9                                 | Stated Asset Allocation                            | Equity and Equity related Securities - 65% to 100% - Medium to High ( Risk Profile)<br>Debt & Money Market Instruments - 0% to 35% - Low ( Risk Profile)<br>Units issued by REITs & InvITs - 0-10% - Medium to High ( Risk Profile)   |
| 10                                | Face Value   | 10  |
| 11                                | NFO Open Date                                      | 24-01-2006  |
| 12                                | NFO Close date                                     | 21-03-2006  |
| 13                                | Allotment Date                                     | 21-03-2006  |
| 14                                | Reopen Date  | 21-03-2006  |
| 15                                | Maturity Date (For closed-end funds)               | Not Applicable  |
| 16                                | Benchmark (Tier 1)                                 | NIFTY 500 TRI   |
| 17                                | Benchmark (Tier 2)                                 | Nifty 200 TRI   |
| 18                                | Fund Manager 1- Name                               | Mr.Harsha Upadhaya  |
| 19                                | Fund Manager 1-Type (Primary/Comanage/Description) | Primary   |
| 20                                | Fund Manager 1- From Date                          | August 04, 2012   |
| 21                                | Fund Manager 2- Name                               | Mr. Arjun Khanna  |
| 22                                | Fund Manager 2-Type (Primary/Comanage/Description) | Dedicated Fund Manager for investments in foreign securities  |
| 23                                | Fund Manager 2- From Date                          | 21-Mar-2006   |
| 24                                | Actual Expense (Stated maximum)                    | Regular Plan - 1.60%<br>Direct Plan - 0.64%   |
| 25                                | Exit Load (if applicable)                          | • For redemption / switch out of upto 10% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil.<br>• If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1%<br>• If units are redeemed or switched out on or after 1 year from the date of allotment: NIL |
| 26                                | Custodian  | Deutsche Bank A G, Mumbai   |
| 27                                | Auditor  | M/s. Walker Chandio & Co. LLP   |
| 28                                | Registrar  | Computer Age Management Services Private Limited ("CAMS")   |
| 29                                | RTA Code (To be phased out)                        | KFOCU   |
| 30                                | Listing Details                                    | Not Applicable  |
| 31                                | ISINs  | Direct Plan-Growth-INF174K01LS2<br>Direct Plan-IDCW Payout-INF174K01LQ6<br>Direct Plan-IDCW Re-investment-INF174K01LR4<br>Regular Plan-Growth-INF174K01336<br>Regular Plan-IDCW Payout-INF174K01351<br>Regular Plan-IDCW Re-investment-INF174K01344   |
| 32                                | AMFI Codes (To be phased out)                      | Direct Plan-Growth-120166<br>Direct Plan-IDCW-120165<br>Regular Plan-Growth-112090<br>Regular Plan-IDCW- 120166   |
| 33                                | SEBI Codes   | KOTM/O/E/FCF/09/06/0026   |
| <b>Investment Amount Details:</b> |  |   |
| 34                                | Minimum Application Amount                         | Rs. 5000/-  |
| 35                                | Minimum Application Amount in multiples of Rs.     | Re. 1   |
| 36                                | Minimum Additional Amount                          | Rs. 1000/-  |
| 37                                | Minimum Additional Amount in multiples of Rs.      | Re. 1   |
| 38                                | Minimum Redemption Amount in Rs.                   | Rs. 1000/-  |
| 39                                | Minimum Redemption Amount in Units                 | 100 unit  |
| 40                                | Minimum Balance Amount (if applicable)             | Rs. 1000/-  |
| 41                                | Minimum Balance Amount in Units (if applicable)    | 100 units   |
| 42                                | Max Investment Amount                              | Not Applicable  |
| 43                                | Minimum Switch Amount (if applicable)              | Rs. 1000/-  |
| 44                                | Minimum Switch Units                               | 0.01  |
| 45                                | Switch Multiple Amount (if applicable)             | Not Applicable  |
| 46                                | Switch Multiple Units (if applicable)              | Not Applicable  |
| 47                                | Max Switch Amount                                  | Not Applicable  |
| 48                                | Max Switch Units (if applicable)                   | Not Applicable  |
| 49                                | Swing Pricing (if applicable)                      | Not Applicable  |
| 50                                | Segregated Portfolio (if applicable)               | Enabled, No Segregated Portfolio created  |
| <b>SIP SWP &amp; STP Details:</b> |  |   |
| 51                                | Frequency  | SIP - Monthly & Quarterly<br>SWP - Monthly & Quarterly<br>STP - Daily, Weekly, Monthly & Quarterly  |
| 52                                | Minimum amount                                     | SIP - Rs. 500<br>SWP - Rs. 1000<br>STP - Rs. 1000   |
| 53                                | In multiple of                                     | SIP - N. A.<br>SWP - N.A.<br>STP - N.A.   |
| 54                                | Minimum Instalments                                | SIP - 10 installments<br>SWP - 6 installments<br>STP - 6 installments   |
| 55                                | Dates  | SIP - Any date from 1st to 31st<br>SWP - 1,7,14, 21 & 25 of Every Month<br>STP - Any Business Day   |
| 56                                | Maximum Amount (if any)                            | Not Applicable  |