

| Fields | SCHEME SUMMARY DOCUMENT | |
|--------|--|--|
| 1 | Fund Name | Kotak ESG Opportunities Fund |
| 2 | Option Names (Regular & Direct) | Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW) |
| 3 | Fund Type | An Open ended Equity Scheme following Environment, Social and Governance (ESG) theme. |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on Date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Thematic Fund |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | The scheme shall seek to generate capital appreciation by investing in a diversified portfolio of companies that follow Environmental, Social and Governance parameters. However, there can be no assurance that the investment objective of the Scheme will be realized. |
| 9 | Stated Asset Allocation | Asset Class Particulars Allocation Risk Profile A Equity and equity related securities - 80%-100% - High (Risk Profile) A1 Equity and equity related instruments following Environmental, Social and Governance (ESG) criteria (including derivatives and foreign securities) 80%-100% High (Risk Profile) A2 Equity and equity related instruments other mentioned in the A1 above 0%- 20% - Medium to High (Risk Profile) B Debt & Money Market Instruments* 0%-20% Low to Medium - (Risk Profile) C Units of REITs & InvITs 0-10% Medium to High - (Risk Profile) |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 20-Nov-2020 |
| 12 | NFO Close date | 04-Dec-2020 |
| 13 | Allotment Date | 11-Dec-2020 |
| 14 | Reopen Date | 18-Dec-2020 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | NIFTY100 ESG TRI |
| 17 | Benchmark (Tier 2) | NA |
| 18 | Fund Manager Name | FM 1 Mr.Harsha Upadhaya FM 2 Mr. Arjun Khanna |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM 1 Primary FM 2 Dedicated Fund Manager for investments in foreign securities |
| 20 | Fund Manager From Date | FM 1 11/12/2020 FM 2 11/12/2020 |
| 21 | Annual Expense (Stated maximum) | Regular 2.19, Direct 0.71 |
| 22 | Exit Load (if applicable) | 10% of the units allotted shall be redeemed without any Exit Load on or before completion of 1 Year from the date of allotment of units. Any redemption in excess of such limit within 1 year from the date of allotment shall be subject to the following Exit Load: If redeemed or switched out on or before completion of 1 year from the date of allotment of units – 1.00% If redeemed or switched out after completion of 1 Year from the date of allotment of units – NIL Redemption of units would be done on First in First out Basis (FIFO). |
| 23 | Custodian | Deutsche Bank A G, Mumbai & Standard Chartered Bank |
| 24 | Auditor | M/s. Walker Chandiok & Co. LLP |
| 25 | Registrar | Computer Age Management Services Private Limited ('CAMS') |
| 26 | RTA Code (To be phased out) | KESG |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | Kotak ESG Opportunities Fund - Direct Plan-Growth-INF174KA1FI4 Kotak ESG Opportunities Fund - Direct Plan-IDCW Payout-INF174KA1FH6 Kotak ESG Opportunities Fund - Direct Plan-IDCW Re-investment-INF174KA1FJ2 Kotak ESG Opportunities Fund - Regular Plan-Growth-INF174KA1FF0 Kotak ESG Opportunities Fund - Regular Plan-IDCW Payout-INF174KA1FE3 Kotak ESG Opportunities Fund - Regular Plan-IDCW Re-investment-INF174KA1FG8 |
| 29 | AMFI Codes (To be phased out) | Kotak ESG Opportunities Fund - Direct Plan-Growth-148606 Kotak ESG Opportunities Fund - Direct Plan-IDCW-148605 Kotak ESG Opportunities Fund - Regular Plan-Growth-148602 Kotak ESG Opportunities Fund - Regular Plan-IDCW- 148603 |
| 30 | SEBI Codes | KOTM/O/E/THE/19/12/0058 |
| 31 | Minimum Application Amount | Rs. 100/- |
| 32 | Minimum Application Amount in multiples of Rs. | Any Amount |
| 33 | Minimum Additional Amount | Rs. 100/- |
| 34 | Minimum Additional Amount in multiples of Rs. | Any Amount |
| 35 | Minimum Redemption Amount in Rs. | Rs. 1000/- |
| 36 | Minimum Redemption Amount in Units | 100 unit |
| 37 | Minimum Balance Amount (if applicable) | Rs. 1000/- |
| 38 | Minimum Balance Amount in Units (if applicable) | 100 units |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Not Applicable |
| 41 | Minimum Switch Units | Not Applicable |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable |
| 43 | Switch Multiple Units (if applicable) | Not Applicable |
| 44 | Max Switch Amount | Not Applicable |
| 45 | Max Switch Units (if applicable) | Not Applicable |
| 46 | Swing Pricing (if applicable) | Not Applicable |
| 47 | Side-pocketing (if applicable) | Enabled, No Segregated Portfolio created |
| 48 | SIP SWP & STP Details: Frequency | SIP - Monthly & Quarterly SWP - Weekly, Monthly, Quarterly STP - Daily, Weekly, Monthly & Quarterly |
| 49 | SIP SWP & STP Details: Minimum amount | "SIP - Rs. 100 SWP - Rs. 1000 STP - Rs. 1000 " |
| 50 | SIP SWP & STP Details: In multiple of | SIP - N. A. SWP - STP - |

| SCHEME SUMMARY DOCUMENT | | |
|-------------------------|--|--|
| Fields | | |
| 51 | SIP SWP & STP Details: Minimum Instalments | "SIP - 10 installments SWP - 6 installments STP - 6 installments" |
| 52 | SIP SWP & STP Details: Dates | SIP - Any date from 1st to 31st SWP - Any Date of Every Month STP - Any Business Day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable |