

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Emerging Equity Fund
2	Option Names (Regular & Direct)	Growth Option Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended equity scheme predominantly investing in mid cap stocks
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Mid cap
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate long-term capital appreciation from a portfolio of equity and equity related securities, by investing predominantly in mid companies. The scheme may also invest in Debt and Money Market Instruments, as per the asset allocation table. There is no assurance that the investment objective of the Scheme will be achieved.
9	Stated Asset Allocation	The asset allocation under the Scheme, under normal circumstances, is as follows: Investments Indicative Allocation Risk Profile A Equity & Equity related Securities-65% to 100%-Medium to High (Risk Profile) A1 investments in equity and equity related securities of mid cap companies\$ -65% to 100%-Medium to High (Risk Profile) A2 investments in equity and equity related securities of Companies other than mid cap companies- 0% to 35% -Medium to High (Risk Profile) B Debt & Money Market Instruments * 0% to 35% - Low (Risk Profile) C Units issued by REITs & InvITs - 0-10% Medium to High (Risk Profile)
10	Face Value	Rs.10
11	NFO Open Date	12-Feb-2007
12	NFO Close date	16-Mar-2007
13	Allotment Date	30-Mar-2007
14	Reopen Date	11-Apr-2007
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Midcap 150 TRI
17	Benchmark (Tier 2)	Nifty Midcap 100 TRI
18	Fund Manager Name	FM 1 Mr. Pankaj Tibrewal FM 2 Mr. Arjun Khanna
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 Primary FM 2 the dedicated fund manager for investments in foreign securities.
20	Fund Manager From Date	FM 1 May 27, 2010 FM 2 May 25, 2018
21	Annual Expense (Stated maximum)	Regular 1.52, Direct 0.37
22	Exit Load (if applicable)	For redemption / switch out of upto 10%of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% If units are redeemed or switched out on or after 1 year from the date of allotment: NIL Units issued on reinvestment of IDCW shall not be subject to entry and exit load.
23	Custodian	Deutsche Bank A G, Mumbai & Standard Chartered Bank
24	Auditor	M/s. Walker Chandio & Co. LLP
25	Registrar	Computer Age Management Services Private Limited ('CAMSS')
26	RTA Code (To be phased out)	KEMER
27	Listing Details	Not Applicable
28	ISINs	Kotak Emerging Equity Fund - Direct Plan-Growth-INF174K01LT0 Kotak Emerging Equity Fund - Direct Plan-IDCW Payout-INF174K01LU8 Kotak Emerging Equity Fund - Direct Plan-IDCW Re-investment-INF174K01LV6 Kotak Emerging Equity Fund - Regular Plan-Growth-INF174K01DS9 Kotak Emerging Equity Fund - Regular Plan-IDCW Payout-INF174K01DU5 Kotak Emerging Equity Fund - Regular Plan-IDCW Re-investment-INF174K01DT7
29	AMFI Codes (To be phased out)	Kotak Emerging Equity Fund - Direct Plan-Growth-119775 Kotak Emerging Equity Fund - Direct Plan-IDCW-119774 Kotak Emerging Equity Fund - Regular Plan-Growth-104908 Kotak Emerging Equity Fund - Regular Plan-IDCW -104907
30	SEBI Codes	KOTM/O/E/MIF/06/09/0018
31	Minimum Application Amount	Rs. 100/-
32	Minimum Application Amount in multiples of Rs.	Any amount thereafter Rs. 100
33	Minimum Additional Amount	Rs. 100/-
34	Minimum Additional Amount in multiples of Rs.	Any amount thereafter Rs. 100
35	Minimum Redemption Amount in Rs.	Rs. 1000/-
36	Minimum Redemption Amount in Units	100 unit
37	Minimum Balance Amount (if applicable)	Rs. 1000/-
38	Minimum Balance Amount in Units (if applicable)	100 units
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Not Applicable
41	Minimum Switch Units	Not Applicable
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	No
48	SIP SWP & STP Details: Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly

SCHEME SUMMARY DOCUMENT

Fields		
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 100 and any amount thereafter; SWP - Rs. 1000 STP - Rs. 1000
50	SIP SWP & STP Details: In multiple of	SIP - N. A. SWP - STP -
51	SIP SWP & STP Details: Minimum Instalments	SIP - 10 installments SWP - 6 installments STP - 6 installments
52	SIP SWP & STP Details: Dates	SIP - Any date from 1st to 31st SWP - Any Date of every Month STP - Any Business Day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable