

| Fields | SCHEME SUMMARY DOCUMENT | |
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| 1 | Fund Name | Kotak Equity Arbitrage Fund |
| 2 | Option Names (Regular & Direct) | Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW) |
| 3 | Fund Type | An open ended scheme investing in arbitrage opportunities |
| 4 | Riskometer (At the time of Launch) | Low |
| 5 | Riskometer (as on Date) | Low |
| 6 | Category as Per SEBI Categorization Circular | Arbitrage Fund |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and by investing the balance in debt and money market instruments. However, there is no assurance that the objective of the scheme will be realized. |
| 9 | Stated Asset Allocation | Equity and equity related instruments including derivatives- 65%-90% (Low to medium) Debt and money market instruments including margin money deployed in derivatives transactions- 10%-35% (Low) |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 12-Sep-2005 |
| 12 | NFO Close date | 21-Sep-2005 |
| 13 | Allotment Date | 29-Sep-2005 |
| 14 | Reopen Date | 03-Oct-2005 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | NIFTY 50 Hybrid Composite Debt 65:35 Index |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager Name | Mr. Hiten Shah |
| 19 | Fund Manager Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager From Date | 03-Oct-2019 |
| 21 | Annual Expense (Stated maximum) | Regular 1.01, Direct 0.43 |
| 22 | Exit Load (if applicable) | For redemptions / switch outs (including STP/SWP) within 30 days from the date of allotment of units, irrespective of the amount of the investment - 0.25% For redemptions / switch outs (including STP/SWP) after 30 days from the date of allotment of units, irrespective of the amount of the investment: Nil Units issued on reinvestment of IDCWs shall not be subject to entry and exit load. |
| 23 | Custodian | Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai |
| 24 | Auditor | M/s. Walker Chandiok & Co. LLP |
| 25 | Registrar | Computer Age Management Services Private Limited ('CAMS') |
| 26 | RTA Code (To be phased out) | KEARB |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | Kotak Equity Arbitrage Fund - Growth - INF174K01302 Kotak Equity Arbitrage Fund - Growth - Direct - INF174K01LC6 Kotak Equity Arbitrage Fund - IDCW - INF174K01328 Kotak Equity Arbitrage Fund - IDCW Reinvestment - INF174K01310 Kotak Equity Arbitrage Fund - IDCW Direct - INF174K01LE2 Kotak Equity Arbitrage Fund - IDCW Direct Reinvestment - INF174K01LD4 |
| 29 | AMFI Codes (To be phased out) | Kotak Equity Arbitrage Fund - Growth - 105968 Kotak Equity Arbitrage Fund - Growth - Direct - 119771 Kotak Equity Arbitrage Fund - IDCW - 105967 Kotak Equity Arbitrage Fund - IDCW Reinvestment - 105967 Kotak Equity Arbitrage Fund - IDCW Direct - 119770 Kotak Equity Arbitrage Fund - IDCW Direct Reinvestment - 119770 |
| 30 | SEBI Codes | KOTM/O/H/ARB/05/06/0016 |
| 31 | Minimum Application Amount | Rs. 100/- |
| 32 | Minimum Application Amount in multiples of Rs. | Any amount thereafter Rs. 100 |
| 33 | Minimum Additional Amount | Rs. 100/- |
| 34 | Minimum Additional Amount in multiples of Rs. | Any amount thereafter Rs. 100 |
| 35 | Minimum Redemption Amount in Rs. | Rs. 1000/- |
| 36 | Minimum Redemption Amount in Units | 100 unit |
| 37 | Minimum Balance Amount (if applicable) | Rs. 1000/- |
| 38 | Minimum Balance Amount in Units (if applicable) | 100 units |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Rs. 5000/- |
| 41 | Minimum Switch Units | Re. 0.01 |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable |
| 43 | Switch Multiple Units (if applicable) | Not Applicable |
| 44 | Max Switch Amount | Not Applicable |
| 45 | Max Switch Units (if applicable) | Not Applicable |
| 46 | Swing Pricing (if applicable) | No |
| 47 | Side-pocketing (if applicable) | Enabled, No segregated portfolio created |
| 48 | SIP SWP & STP Details: Frequency | SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - Rs. 100. SWP - Rs. 1000 STP - Rs. 1000 |
| 50 | SIP SWP & STP Details: In multiple of | SIP - Rs. 1 SWP - Rs. 1 STP - Rs. 1 |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - 10 installments SWP - 6 installments STP - 6 installments |
| 52 | SIP SWP & STP Details: Dates | SIP - Any date from 1st to 31st SWP - Any Date of Every Month STP - Any Business Day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable |