

Annexure A		
SCHEME SUMMARY DOCUMENT		
Fields		
1	Fund Name	Kotak Emerging Equity Fund
2	Option Names (Regular & Direct)	Growth Option Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended equity scheme predominantly investing in mid cap stocks
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on date)	Very High
6	Category as Per SEBI Categorization Circular	Mid cap
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate long-term capital appreciation from a portfolio of equity and equity related securities, by investing predominantly in mid companies. The scheme may also invest in Debt and Money Market Instruments, as per the asset allocation table. There is no assurance that the investment objective of the Scheme will be achieved.
9	Stated Asset Allocation	The asset allocation under the Scheme, under normal circumstances, is as follows: Investments Indicative Allocation Risk Profile A Equity & Equity related Securities-65% to 100%-Medium to High (Risk Profile) A1 investments in equity and equity related securities of mid cap companies-65% to 100%-Medium to High (Risk Profile) A2 investments in equity and equity related securities of Companies other than mid cap companies- 0% to 35% -Medium to High (Risk Profile) B Debt & Money Market Instruments * 0% to 35% - Low (Risk Profile) C Units issued by REITs & InvTIs - 0-10% Medium to High (Risk Profile)
10	Face Value	Rs.10
11	NFO Open Date	12-02-2007
12	NFO Close date	16-03-2007
13	Allotment Date	30-Mar-07
14	Reopen Date	11-Apr-2007
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Midcap 150 TRI
17	Benchmark (Tier 2)	Nifty Midcap 100 TRI
18	Fund Manager 1- Name	Mr. Pankaj Tibrewal
19	Fund Manager 1-Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1- From Date	May 27, 2010
21	Fund Manager 2- Name	Mr. Arjun Khanna
22	Fund Manager 2-Type (Primary/Comanage/Description)	the dedicated fund manager for investments in foreign securities.
23	Fund Manager 2- From Date	25-May-2018
24	Actual Expense (Stated maximum)	Regular Plan- 1.75% Direct Plan- 0.49%
25	Exit Load (if applicable)	• For redemption / switch out of upto 10%of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. • If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% • If units are redeemed or switched out on or after 1 year from the date of allotment: Nil
26	Custodian	Deutsche Bank A G, Mumbai & Standard Chartered Bank
27	Auditor	M/s. Walker Chandiok & Co. LLP
28	Registrar	Computer Age Management Services Private Limited ('CAMS')
29	RTA Code (To be phased out)	KEMER
30	Listing Details	Not Applicable
31	ISINs	Kotak Emerging Equity Fund - Direct Plan-Growth-INF174K01LT0 Kotak Emerging Equity Fund - Direct Plan-IDCW Payout-INF174K01LU8 Kotak Emerging Equity Fund - Direct Plan-IDCW Re-investment-INF174K01LV6 Kotak Emerging Equity Fund - Regular Plan-Growth-INF174K01DS9 Kotak Emerging Equity Fund - Regular Plan-IDCW Payout-INF174K01DU5 Kotak Emerging Equity Fund - Regular Plan-IDCW Re-investment-INF174K01DT7
32	AMFI Codes (To be phased out)	Kotak Emerging Equity Fund - Direct Plan-Growth-119775 Kotak Emerging Equity Fund - Direct Plan-IDCW-119774 Kotak Emerging Equity Fund - Regular Plan-Growth-104908 Kotak Emerging Equity Fund - Regular Plan-IDCW - 104907
33	SEBI Codes	KOTM/O/E/MIF/06/09/0018
Investment Amount Details:		
34	Minimum Application Amount	Rs. 5000/-
35	Minimum Application Amount in multiples of Rs.	Re. 1
36	Minimum Additional Amount	Rs. 1000/-
37	Minimum Additional Amount in multiples of Rs.	Re. 1
38	Minimum Redemption Amount in Rs.	Rs. 1000/-
39	Minimum Redemption Amount in Units	100 unit
40	Minimum Balance Amount (if applicable)	Rs. 1000/-
41	Minimum Balance Amount in Units (if applicable)	100 units
42	Max Investment Amount	Not Applicable
43	Minimum Switch Amount (if applicable)	Not Applicable
44	Minimum Switch Units	Not Applicable
45	Switch Multiple Amount (if applicable)	Not Applicable
46	Switch Multiple Units (if applicable)	Not Applicable
47	Max Switch Amount	Not Applicable
48	Max Switch Units (if applicable)	Not Applicable
49	Swing Pricing (if applicable)	Not Applicable
50	Side-pocketing (if applicable)	Not Applicable
SIP SWP & STP Details:		
51	Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
52	Minimum amount	SIP - Rs. 1000 SWP - Rs. 1000 STP - Rs. 1000
53	In multiple of	SIP - N. A. SWP - STP -
54	Minimum Instalments	SIP - 6 instalments SWP - 6 instalments STP - 6 instalments
55	Dates	SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of every Month STP - Any Business Day
56	Maximum Amount (if any)	Not Applicable