

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak ESG Exclusionary Strategy Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An Open ended Equity Scheme following Environment, Social and Governance (ESG) theme.
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Thematic Fund
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The scheme shall seek to generate capital appreciation by investing in a diversified portfolio of companies that follow Environmental, Social and Governance parameters. However, there can be no assurance that the investment objective of the Scheme will be realized.
9	Stated Asset Allocation	Asset Class Particulars Allocation Risk Profile A Equity and equity related securities - 80%-100% - High (Risk Profile) A1 Equity and equity related instruments following Environmental, Social and Governance (ESG) criteria (including derivatives and foreign securities) 80%-100% High (Risk Profile) A2 Equity and equity related instruments other mentioned in the A1 above 0%- 20% - Medium to High (Risk Profile) B Debt & Money Market Instruments* 0%-20% Low to Medium - (Risk Profile) C Units of REITs & InvITs 0-10% Medium to High - (Risk Profile)
10	Face Value	10
11	NFO Open Date	20-Nov-2020
12	NFO Close date	04-Dec-2020
13	Allotment Date	11-Dec-2020
14	Reopen Date	18-Dec-2020
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	NIFTY100 ESG TRI
17	Benchmark (Tier 2)	NA
18	Fund Manager Name	FM 1 Mr.Harsha Upadhaya FM 2 Mr. Mandar Pawar FM 3 Mr. Arjun Khanna
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 Primary FM 2 Fund Manager for equity investment of the Scheme FM 3 Dedicated Fund Manager for investments in foreign securities
20	Fund Manager From Date	FM 1 11/12/2020 FM 2 22/01/2024 FM 3 11/12/2020
21	Annual Expense (Stated maximum)	Regular 2.21, Direct 0.76
22	Exit Load (if applicable)	10% of the units allotted shall be redeemed without any Exit Load on or before completion of 1 Year from the date of allotment of units. Any redemption in excess of such limit within 1 year from the date of allotment shall be subject to the following Exit Load: If redeemed or switched out on or before completion of 1 year from the date of allotment of units – 1.00% If redeemed or switched out after completion of 1 Year from the date of allotment of units – NIL Redemption of units would be done on First in First out Basis (FIFO).
23	Custodian	Deutsche Bank A G, Mumbai & Standard Chartered Bank
24	Auditor	M/s. Walker Chandiok & Co. LLP
25	Registrar	Computer Age Management Services Private Limited ('CAMS')
26	RTA Code (To be phased out)	KESG
27	Listing Details	Not Applicable
28	ISINs	Kotak ESG Exclusionary Strategy Fund - Direct Plan-Growth-INF174KA1FI4 Kotak ESG Exclusionary Strategy Fund - Direct Plan-IDCW Payout-INF174KA1FH6 Kotak ESG Exclusionary Strategy Fund - Direct Plan-IDCW Re-investment-INF174KA1FJ2 Kotak ESG Exclusionary Strategy Fund - Regular Plan-Growth-INF174KA1FF0 Kotak ESG Exclusionary Strategy Fund - Regular Plan-IDCW Payout-INF174KA1FE3 Kotak ESG Exclusionary Strategy Fund - Regular Plan-IDCW Re-investment-INF174KA1FG8
29	AMFI Codes (To be phased out)	Kotak ESG Exclusionary Strategy Fund - Direct Plan-Growth-148606 Kotak ESG Exclusionary Strategy Fund - Direct Plan-IDCW-148605 Kotak ESG Exclusionary Strategy Fund - Regular Plan-Growth-148602 Kotak ESG Exclusionary Strategy Fund - Regular Plan-IDCW- 148603
30	SEBI Codes	KOTM/O/E/THE/19/12/0058
31	Minimum Application Amount	Rs. 100/-
32	Minimum Application Amount in multiples of Rs.	Any Amount
33	Minimum Additional Amount	Rs. 100/-
34	Minimum Additional Amount in multiples of Rs.	Any Amount
35	Minimum Redemption Amount in Rs.	Rs. 1000/-
36	Minimum Redemption Amount in Units	100 unit
37	Minimum Balance Amount (if applicable)	Rs. 1000/-
38	Minimum Balance Amount in Units (if applicable)	100 units
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Not Applicable
41	Minimum Switch Units	Not Applicable
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Enabled, No Segregated Portfolio created
48	SIP SWP & STP Details: Frequency	SIP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually SWP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually STP - Daily, Weekly, Monthly & Quarterly
49	SIP SWP & STP Details: Minimum	SIP - Rs. 100/- and any amount thereafter, SWP - Rs. 1000 STP - Rs. 1000

SCHEME SUMMARY DOCUMENT

Fields	amount	
50	SIP SWP & STP Details: In multiple of	SIP - Rs. 100/- and any amount thereafter,SWP - STP -
51	SIP SWP & STP Details: Minimum Instalments	SIP - N.A. SWP - 6 installments STP - 6 installments
52	SIP SWP & STP Details: Dates	SIP - Any date from 1st to 31st SWP - Any Date of Every Month STP - Any Business Day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable