

| Fields | SCHEME SUMMARY DOCUMENT                          |                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|--------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1      | Fund Name                                        | Kotak Small Cap Fund                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 2      | Option Names (Regular & Direct)                  | Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)                                                                                                                                                                                                                                                                                                          |
| 3      | Fund Type                                        | An open ended equity scheme                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 4      | Riskometer (At the time of Launch)               | Very High                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 5      | Riskometer (as on Date)                          | Very High                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 6      | Category as Per SEBI Categorization Circular     | Small cap Fund                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 7      | Potential Risk Class (as on date)                | NA                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 8      | Description, Objective of the scheme             | The investment objective of the scheme is to generate capital appreciation from a diversified portfolio of equity and equity related securities by investing predominantly in small cap companies. There is no assurance that the investment objective of the Scheme will be achieved.                                                                                                                                                        |
| 9      | Stated Asset Allocation                          | Equity and Equity related instruments- 65% to 100% (High) Investments in equity and equity related securities of small cap companies- 65% to 100% (High) investments in equity and equity related securities of Companies other than small cap companies- 0% to 35% (High) Debt and Money Market Securities- 0% to 35% (Low) Units issued by REITs & InvITs- 0% to 10% (Medium to High)                                                       |
| 10     | Face Value                                       | 10                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 11     | NFO Open Date                                    | 30-Dec-2004                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 12     | NFO Close date                                   | 28-Jan-2005                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 13     | Allotment Date                                   | 24-Feb-2005                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 14     | Reopen Date                                      | 25-Feb-2005                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 15     | Maturity Date (For closed-end funds)             | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 16     | Benchmark (Tier 1)                               | NIFTY Smallcap 250 TRI                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 17     | Benchmark (Tier 2)                               | Nifty Smallcap 100 TRI                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 18     | Fund Manager Name                                | FM 1 Harish Bihani FM 2 Mr. Arjun Khanna                                                                                                                                                                                                                                                                                                                                                                                                      |
| 19     | Fund Manager Type (Primary/Comanage/Description) | FM 1 Primary FM 2 Dedicated fund manager for investments in foreign securities                                                                                                                                                                                                                                                                                                                                                                |
| 20     | Fund Manager From Date                           | FM 1 20/10/2023 FM 2 25/05/2018                                                                                                                                                                                                                                                                                                                                                                                                               |
| 21     | Annual Expense (Stated maximum)                  | Regular 1.66, Direct 0.44                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 22     | Exit Load (if applicable)                        | For redemption / switch out of upto 10% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% If units are redeemed or switched out on or after 1 year from the date of allotment: NIL Units issued on reinvestment of IDCW shall not be subject to entry and exit load. |
| 23     | Custodian                                        | Deutsche Bank A G, Mumbai                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 24     | Auditor                                          | M/s. Walker Chandiok & Co. LLP                                                                                                                                                                                                                                                                                                                                                                                                                |
| 25     | Registrar                                        | Computer Age Management Services Private Limited ('CAMS')                                                                                                                                                                                                                                                                                                                                                                                     |
| 26     | RTA Code (To be phased out)                      | KMIDC                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 27     | Listing Details                                  | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 28     | ISINs                                            | Kotak Small Cap Fund - Direct Plan-G- INF174K01KT2 Kotak Small Cap Fund - Direct Plan-IDCW Payout- INF174K01KU0 Kotak Small Cap Fund - Direct Plan-IDCW Re-investment- INF174K01KV8 Kotak Small Cap Fund - Regular Plan-Growth- INF174K01211 Kotak Small Cap Fund - Regular Plan-IDCW Payout- INF174K01237 Kotak Small Cap Fund - Regular Plan-IDCW Re-investment- INF174K01229                                                               |
| 29     | AMFI Codes (To be phased out)                    | Kotak Small Cap Fund - Direct Plan-Growth- 120164 Kotak Small Cap Fund - Direct Plan-IDCW- 120163 Kotak Small Cap Fund - Regular Plan-Growth-102875 Kotak Small Cap Fund - Regular Plan-IDCW-102874                                                                                                                                                                                                                                           |
| 30     | SEBI Codes                                       | KOTM/O/E/SCF/04/07/0012                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 31     | Minimum Application Amount                       | Rs. 100/-                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 32     | Minimum Application Amount in multiples of Rs.   | Any amount thereafter Rs. 100                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 33     | Minimum Additional Amount                        | Rs. 100/-                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 34     | Minimum Additional Amount in multiples of Rs.    | Any amount thereafter Rs. 100                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 35     | Minimum Redemption Amount in Rs.                 | Rs. 1000/-                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 36     | Minimum Redemption Amount in Units               | 100 unit                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 37     | Minimum Balance Amount (if applicable)           | Rs. 1000/-                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 38     | Minimum Balance Amount in Units (if applicable)  | 100 units                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 39     | Max Investment Amount                            | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 40     | Minimum Switch Amount (if applicable)            | Rs. 5000/-                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 41     | Minimum Switch Units                             | Re. 0.01                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 42     | Switch Multiple Amount (if applicable)           | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 43     | Switch Multiple Units (if applicable)            | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 44     | Max Switch Amount                                | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 45     | Max Switch Units (if applicable)                 | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 46     | Swing Pricing (if applicable)                    | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 47     | Side-pocketing (if applicable)                   | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 48     | SIP SWP & STP Details: Frequency                 | SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly                                                                                                                                                                                                                                                                                                                                                  |
| 49     | SIP SWP & STP Details: Minimum amount            | SIP - Rs. 100/- and any amount thereafter, SWP - Rs. 1000 STP - Rs. 1000                                                                                                                                                                                                                                                                                                                                                                      |
| 50     | SIP SWP & STP Details: In multiple of            | SIP - Rs. 100/- and any amount thereafter, SWP - Rs. 1 STP - Rs. 1                                                                                                                                                                                                                                                                                                                                                                            |
| 51     | SIP SWP & STP Details: Minimum Instalments       | SIP - N.A. SWP - 6 installments STP - 6 installments                                                                                                                                                                                                                                                                                                                                                                                          |

| SCHEME SUMMARY DOCUMENT |                                                |                                                                                     |
|-------------------------|------------------------------------------------|-------------------------------------------------------------------------------------|
| Fields                  |                                                |                                                                                     |
| 52                      | SIP SWP & STP Details: Dates                   | SIP - Any date from 1st to 31stSWP - Any Date of Every Month STP - Any Business Day |
| 53                      | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable                                                                      |