

| Fields | SCHEME SUMMARY DOCUMENT | |
|--------|--|---|
| 1 | Fund Name | Kotak Floating Rate Fund |
| 2 | Option Names (Regular & Direct) | Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW) |
| 3 | Fund Type | An open ended debt scheme predominantly investing in floating rate instruments. A relatively high interest rate risk and moderate credit risk. |
| 4 | Riskometer (At the time of Launch) | Moderate |
| 5 | Riskometer (as on Date) | Low to Moderate |
| 6 | Category as Per SEBI Categorization Circular | Floater Fund |
| 7 | Potential Risk Class (as on date) | B-III |
| 8 | Description, Objective of the scheme | The primary objective of the Scheme is to generate income through investment primarily in floating rate debt instruments, fixed rate debt instruments swapped for floating rate returns and money market instruments. However, there is no assurance or guarantee that the investment objective of the scheme will be achieved. |
| 9 | Stated Asset Allocation | Floating Rate Debt Securities (including securitized debt and Fixed rate debt instruments swapped for floating rate returns) - 65 - 100% - Low - Medium Fixed Rate Debt Securities (including securitized debt, money market instruments & Floating rate debt instruments swapped for Fixed rate returns) - 0 - 35% - Low - Medium Units issued by REITs and InvITs - 0 - 10% - Medium to High The scheme shall ensure that for asset allocation limits as provided in above table, the base shall be considered as net assets excluding the extent of minimum stipulated eligible assets i.e. higher of 10% of net assets or LR-CRaR as prescribed at Annexure 1 of AMFI Best Practices Guidelines Circular No.93 / 2021-22 dated July 24, 2021. |
| 10 | Face Value | Rs. 1000/- |
| 11 | NFO Open Date | 09-May-2019 |
| 12 | NFO Close date | 13-May-2019 |
| 13 | Allotment Date | 14-May-2019 |
| 14 | Reopen Date | 16-May-2019 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty Short Duration Debt Index |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager Name | FM 1 Mr. Deepak Agrawal, FM2 Mr. Manu Sharma, FM3 Ms. Palha Khanna |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM 1 Primary, FM 2 Primary, FM 3 Dedicated fund manager for investments in foreign securities |
| 20 | Fund Manager From Date | FM1 14-May-2019 FM 2 01-Nov-2022 FM 3 03-Jul-2023 |
| 21 | Annual Expense (Stated maximum) | Regular 0.6200, Direct 0.2200 |
| 22 | Exit Load (if applicable) | Nil |
| 23 | Custodian | Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai |
| 24 | Auditor | M/s. Walker Chandiook & Co. LLP |
| 25 | Registrar | Computer Age Management Services Private Limited (CAMS) |
| 26 | RTA Code (To be phased out) | KFOTL |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | Direct Plan - Growth - INF174KA1EC0 Direct Plan-Standard IDCW Reinvestment -INF174KA1EF3 Regular Plan-Growth - INF174KA1DY6 Regular Plan-Standard IDCW Reinvestment - INF174KA1EB2 |
| 29 | AMFI Codes (To be phased out) | Regular Plan - Standard IDCW Reinvestment - 147265 Regular Plan -Growth - 147266 Direct Plan - Standard IDCW Reinvestment - 147267 Direct Plan - Growth - 147269 |
| 30 | SEBI Codes | KOTM/O/D/FLF/19/03/0056 |
| 31 | Minimum Application Amount | Rs. 100/- |
| 32 | Minimum Application Amount in multiples of Rs. | Any Amount |
| 33 | Minimum Additional Amount | Rs. 100/- |
| 34 | Minimum Additional Amount in multiples of Rs. | Any Amount |
| 35 | Minimum Redemption Amount in Rs. | Rs. 1000/- |
| 36 | Minimum Redemption Amount in Units | 1 unit |
| 37 | Minimum Balance Amount (if applicable) | Rs. 1000/- |
| 38 | Minimum Balance Amount in Units (if applicable) | 100 units |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Rs. 1000/- |
| 41 | Minimum Switch Units | Re 0.01 |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable |
| 43 | Switch Multiple Units (if applicable) | Not Applicable |
| 44 | Max Switch Amount | Not Applicable |
| 45 | Max Switch Units (if applicable) | Not Applicable |
| 46 | Swing Pricing (if applicable) | Market Dislocation period – Swing Factor 1.5%, Normal times – NA |
| 47 | Side-pocketing (if applicable) | Enabled, No segregated portfolio created |
| 48 | SIP SWP & STP Details: Frequency | SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - Rs. 100/- and any amount thereafter, SWP - Rs. 1000 STP - Rs. 1000 |
| 50 | SIP SWP & STP Details: In multiple of | SIP - Rs. 100/- and any amount thereafter,SWP - Re. 1STP - Re. 1 |

SCHEME SUMMARY DOCUMENT

| Fields | | |
|--------|--|---|
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - N.A. SWP - 6 installments STP - 6 installments |
| 52 | SIP SWP & STP Details: Dates | SIP - Any date from 1st to 31st of a given month/ quarter. SWP - Any Date of Every Month STP - Any Business Day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable |