

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Floating Rate Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended debt scheme predominantly investing in floating rate instruments. A relatively high interest rate risk and moderate credit risk.
4	Riskometer (At the time of Launch)	Moderate
5	Riskometer (as on Date)	Moderate
6	Category as Per SEBI Categorization Circular	Floater Fund
7	Potential Risk Class (as on date)	B-III
8	Description, Objective of the scheme	The primary objective of the Scheme is to generate income through investment primarily in floating rate debt instruments, fixed rate debt instruments swapped for floating rate returns and money market instruments. However, there is no assurance or guarantee that the investment objective of the scheme will be achieved.
9	Stated Asset Allocation	Floating Rate Debt Securities (including securitized debt and Fixed rate debt instruments swapped for floating rate returns) - 65 - 100% - Low - Medium Fixed Rate Debt Securities (including securitized debt, money market instruments & Floating rate debt instruments swapped for Fixed rate returns) - 0 - 35% - Low - Medium Units issued by REITs and InvITs - 0 - 10% - Medium to High The scheme shall ensure that for asset allocation limits as provided in above table, the base shall be considered as net assets excluding the extent of minimum stipulated eligible assets i.e. higher of 10% of net assets or LR-CRaR as prescribed at Annexure 1 of AMFI Best Practices Guidelines Circular No.93 / 2021-22 dated July 24, 2021.
10	Face Value	Rs. 1000/-
11	NFO Open Date	09-May-2019
12	NFO Close date	13-May-2019
13	Allotment Date	14-May-2019
14	Reopen Date	16-May-2019
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Short Duration Debt Index
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager Name	FM 1 Mr. Deepak Agrawal, FM2 Mr. Manu Sharma, FM3 Mr. Vihag Mishra
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 Primary, FM 2 Primary, FM 3 Dedicated fund manager for investments in foreign securities
20	Fund Manager From Date	FM1 14-May-2019 FM 2 01-Nov-2022 FM 3 29-Nov-2021
21	Annual Expense (Stated maximum)	Regular 0.6300, Direct 0.2300
22	Exit Load (if applicable)	Nil
23	Custodian	Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai
24	Auditor	M/s. Walker Chandiook & Co. LLP
25	Registrar	Computer Age Management Services Private Limited (CAMS)
26	RTA Code (To be phased out)	KFOTL
27	Listing Details	Not Applicable
28	ISINs	Direct Plan - Growth - INF174KA1EC0 Direct Plan-Standard IDCW Reinvestment -INF174KA1EF3 Regular Plan-Growth - INF174KA1DY6 Regular Plan-Standard IDCW Reinvestment - INF174KA1EB2
29	AMFI Codes (To be phased out)	Regular Plan - Standard IDCW Reinvestment - 147265 Regular Plan -Growth - 147266 Direct Plan - Standard IDCW Reinvestment - 147267 Direct Plan - Growth - 147269
30	SEBI Codes	KOTM/O/D/FLF/19/03/0056
31	Minimum Application Amount	Rs. 5000/-
32	Minimum Application Amount in multiples of Rs.	Re. 1
33	Minimum Additional Amount	Rs. 1000/-
34	Minimum Additional Amount in multiples of Rs.	Re. 1
35	Minimum Redemption Amount in Rs.	Rs. 1000/-
36	Minimum Redemption Amount in Units	1 unit
37	Minimum Balance Amount (if applicable)	Rs. 1000/-
38	Minimum Balance Amount in Units (if applicable)	100 units
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Rs. 1000/-
41	Minimum Switch Units	Re 0.01
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Market Dislocation period – Swing Factor 1.5%, Normal times – NA
47	Side-pocketing (if applicable)	Enabled, No segregated portfolio created
48	SIP SWP & STP Details: Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 1000 SWP - Rs. 1000 STP - Rs. 1000
50	SIP SWP & STP Details: In multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1

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Fields		
51	SIP SWP & STP Details: Minimum Instalments	SIP - 6 installments SWP - 6 installments STP - 6 installments
52	SIP SWP & STP Details: Dates	SIP - Any date from 1st to 31st of a given month/ quarter. SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable