

| Fields | SCHEME SUMMARY DOCUMENT | |
|--------|--|--|
| 1 | Fund Name | Kotak Pioneer Fund |
| 2 | Option Names (Regular & Direct) | Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW) |
| 3 | Fund Type | An open ended equity scheme |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on Date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Thematic |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to generate capital appreciation from a diversified portfolio of equity, equity related instruments and units of global mutual funds which invests into such companies that utilize new forms of production, technology, distribution or processes which are likely to challenge existing markets or value networks, or displace established market leaders, or bring in novel products and/or business models |
| 9 | Stated Asset Allocation | Equity and Equity Related Securities of companies having pioneering innovations theme 80%-100% (High) Equity and Equity Related Securities of companies other than having pioneering innovations theme - 0%- 20% (Medium to High) Debt and Money Market Securities- 0%-20% (Low to Medium) Units of REITs & InvITs- 0-10% (Medium to High) |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 09-Oct-2019 |
| 12 | NFO Close date | 23-Oct-2019 |
| 13 | Allotment Date | 31-Oct-2019 |
| 14 | Reopen Date | 07-Nov-2019 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | 85% Nifty 500 TRI + 15% MSCI ACWI Information Technology Index TRI |
| 17 | Benchmark (Tier 2) | NA |
| 18 | Fund Manager Name | FM 1 Mr. Harish Krishnan FM 2 Mr. Arjun Khanna |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM 1 Primary FM 2 Dedicated Fund Manager for investments in foreign securities |
| 20 | Fund Manager From Date | FM 1 31/10/2019 FM 2 31/10/2019 |
| 21 | Annual Expense (Stated maximum) | Regular 1.9800, Direct 0.4500 |
| 22 | Exit Load (if applicable) | For redemption / switch out of upto 10%of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% If units are redeemed or switched out on or after 1 year from the date of allotment: NIL |
| 23 | Custodian | Deutsche Bank A G, Mumbai |
| 24 | Auditor | M/s. Walker Chandiook & Co. LLP |
| 25 | Registrar | Computer Age Management Services Private Limited ('CAMS') |
| 26 | RTA Code (To be phased out) | KPF |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | Kotak Pioneer Fund- Direct Plan-IDCW Growth- INF174KA1EZ1 Kotak Pioneer Fund- Direct Plan-IDCW Re-investment- INF174KA1EY4 Kotak Pioneer Fund- Direct Plan-IDCW Payout-INF174KA1EX6 Kotak Pioneer Fund Regular Plan-Growth- INF174KA1EW8 Kotak Pioneer Fund Regular Plan-IDCW Payout- INF174KA1EU2 Kotak Pioneer Fund Regular Plan-IDCW Re-investment INF174KA1EVO |
| 29 | AMFI Codes (To be phased out) | Kotak Pioneer Fund- Direct Plan-Growth-147727 Kotak Pioneer Fund- Direct Plan-IDCW-147725 Kotak Pioneer Fund Regular Plan-Growth -147724 Kotak Pioneer Fund Regular Plan-IDCW -147728 |
| 30 | SEBI Codes | KOTM/O/E/THE/19/09/0057 |
| 31 | Minimum Application Amount | Rs. 5000/- |
| 32 | Minimum Application Amount in multiples of Rs. | Re. 1 |
| 33 | Minimum Additional Amount | Rs. 1000/- |
| 34 | Minimum Additional Amount in multiples of Rs. | Re. 1 |
| 35 | Minimum Redemption Amount in Rs. | Rs. 1000/- |
| 36 | Minimum Redemption Amount in Units | 100 unit |
| 37 | Minimum Balance Amount (if applicable) | Rs. 1000/- |
| 38 | Minimum Balance Amount in Units (if applicable) | 100 units |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Rs. 5000/- |
| 41 | Minimum Switch Units | Re. 1 |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable |
| 43 | Switch Multiple Units (if applicable) | Not Applicable |
| 44 | Max Switch Amount | Not Applicable |
| 45 | Max Switch Units (if applicable) | Not Applicable |
| 46 | Swing Pricing (if applicable) | Not Applicable |
| 47 | Side-pocketing (if applicable) | Enabled, No Segregated Portfolio created |
| 48 | SIP SWP & STP Details: Frequency | SIP - Monthly & Quarterly SWP - Daily,Weekly,Monthly,Quarterly STP - Daily, Weekly, Monthly & Quarterly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - Rs. 1000. SWP - Rs. 1000 STP - Rs. 1000 |
| 50 | SIP SWP & STP Details: In multiple of | SIP - Rs. 1 SWP - Rs. 1 STP - Rs. 1 |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - 6 installments SWP - 6 installments STP - 6 installments |
| 52 | SIP SWP & STP Details: Dates | SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day |

SCHEME SUMMARY DOCUMENT

| Fields | | |
|--------|--|----------------|
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable |