

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Small Cap Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended equity scheme
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Small cap Fund
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate capital appreciation from a diversified portfolio of equity and equity related securities by investing predominantly in small cap companies. There is no assurance that the investment objective of the Scheme will be achieved.
9	Stated Asset Allocation	Equity and Equity related instruments- 65% to 100% (High) Investments in equity and equity related securities of small cap companies- 65% to 100% (High) investments in equity and equity related securities of Companies other than small cap companies- 0% to 35% (High) Debt and Money Market Securities- 0% to 35% (Low) Units issued by REITs & InvITs- 0% to 10% (Medium to High)
10	Face Value	10
11	NFO Open Date	30-Dec-2004
12	NFO Close date	28-Jan-2005
13	Allotment Date	24-Feb-2005
14	Reopen Date	25-Feb-2005
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	NIFTY Smallcap 250 TRI
17	Benchmark (Tier 2)	Nifty Smallcap 100 TRI
18	Fund Manager Name	FM 1 Mr. Pankaj Tibrewal FM 2 Mr. Arjun Khanna
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 Primary FM 2 Dedicated fund manager for investments in foreign securities
20	Fund Manager From Date	FM 1 24/02/2005 FM 2 25/05/2018
21	Annual Expense (Stated maximum)	Regular 1.8700, Direct 0.5900
22	Exit Load (if applicable)	For redemption / switch out of upto 10% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% If units are redeemed or switched out on or after 1 year from the date of allotment: NIL Units issued on reinvestment of IDCW shall not be subject to entry and exit load.
23	Custodian	Deutsche Bank A G, Mumbai
24	Auditor	M/s. Walker Chandiok & Co. LLP
25	Registrar	Computer Age Management Services Private Limited ('CAMS')
26	RTA Code (To be phased out)	KMIDC
27	Listing Details	Not Applicable
28	ISINs	Kotak Small Cap Fund - Direct Plan-G- INF174K01KT2 Kotak Small Cap Fund - Direct Plan-IDCW Payout- INF174K01KU0 Kotak Small Cap Fund - Direct Plan-IDCW Re-investment- INF174K01KV8 Kotak Small Cap Fund - Regular Plan-Growth- INF174K01211 Kotak Small Cap Fund - Regular Plan-IDCW Payout- INF174K01237 Kotak Small Cap Fund - Regular Plan-IDCW Re-investment- INF174K01229
29	AMFI Codes (To be phased out)	Kotak Small Cap Fund - Direct Plan-Growth- 120164 Kotak Small Cap Fund - Direct Plan-IDCW- 120163 Kotak Small Cap Fund - Regular Plan-Growth-102875 Kotak Small Cap Fund - Regular Plan-IDCW-102874
30	SEBI Codes	KOTM/O/E/SCF/04/07/0012
31	Minimum Application Amount	Rs. 500/-
32	Minimum Application Amount in multiples of Rs.	Re. 1
33	Minimum Additional Amount	Rs. 500/-
34	Minimum Additional Amount in multiples of Rs.	Re. 1
35	Minimum Redemption Amount in Rs.	Rs. 1000/-
36	Minimum Redemption Amount in Units	100 unit
37	Minimum Balance Amount (if applicable)	Rs. 1000/-
38	Minimum Balance Amount in Units (if applicable)	100 units
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Rs. 5000/-
41	Minimum Switch Units	Re. 0.01
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Not Applicable
48	SIP SWP & STP Details: Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 500 SWP - Rs. 1000 STP - Rs. 1000
50	SIP SWP & STP Details: In multiple of	SIP - Rs. 1 SWP - Rs. 1 STP - Rs. 1
51	SIP SWP & STP Details: Minimum Instalments	SIP - 6 installments SWP - 6 installments STP - 6 installments

SCHEME SUMMARY DOCUMENT

Fields		
52	SIP SWP & STP Details: Dates	SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable