

Annexure A

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Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Credit Risk Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended debt scheme predominantly investing in AA and below rated corporate bonds (Excluding AA+ rated corporate bonds). <u>A relatively high interest rate risk and relatively high credit risk.</u>
4	Riskometer (At the time of Launch)	High
5	Riskometer (as on date)	High
6	Category as Per SEBI Categorization Circular	Credit Risk Fund
7	Potential Risk Class (as on date)	C-III
8	Description, Objective of the scheme	The investment objective of the scheme is to generate income by investing in debt /and money market securities across the yield curve and predominantly in AA rated and below corporate securities. The scheme would also seek to maintain reasonable liquidity within the fund.
9	Stated Asset Allocation	(A) Corporate Debt Securities #(only in AA and below rated corporate bonds, excluding AA+ rated corporate bond) - 65% to 100% - Medium (B) Debt & Money Market Instruments including government securities and above AA rated corporate debt securities - 0% to 35% - Low - Medium (C) Units issued by REITs & InvTs - 0-10% - Medium to High The scheme shall ensure that for asset allocation limits as provided in above table, the base shall be considered as net assets excluding
10	Face Value	Rs. 10/-
11	NFO Open Date	12-Apr-2010
12	NFO Close date	30-Apr-2010
13	Allotment Date	11-May-2010
14	Reopen Date	12-May-2010
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	CRISIL Credit Risk Fund CIII Index
17	Benchmark (Tier 2)	CRISIL Corporate Bond Fund BI Index
18	Fund Manager 1- Name	Mr. Deepak Agrawal
19	Fund Manager 1- Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1- From Date	11-May-2010
21	Fund Manager 2- Name	Mr. Vihag Mishra
22	Fund Manager 2- Type (Primary/Comanage/Description)	Dedicated fund manager for investments in foreign securities
23	Fund Manager 2- From Date	29-Nov-2021
24	Actual Expense (TER)	Regular Plan - 1.7 Direct Plan - 0.74
25	Exit Load (if applicable)	• For redemption / switch out of upto 6% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. • If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% • If units are redeemed or switched out on or after 1 year from the date of allotment: Nil.
26	Custodian	Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai
27	Auditor	M/s. Walker Chandiook & Co. LLP
28	Registrar	Computer Age Management Services Private Limited ('CAMS')
29	RTA Code (To be phased out)	KCOF
30	Listing Details	Not Applicable
31	ISINs	Direct Plan - Growth - INF174K01LZ7 Direct Plan-Standard IDCW Payout - INF174K01MG5 Direct Plan-Standard IDCW Reinvestment - INF174K01MH3 Regular Plan-Growth -INF174K01DY7 Regular Plan-Standard IDCW Payout - INF174K01EG2 Regular Plan-Standard IDCW Reinvestment - INF174K01FF4
32	AMFI Codes (To be phased out)	Regular Plan - Standard IDCW - 117715 Regular Plan -Growth - 117716 Direct Plan -Standard IDCW - 119740 Direct Plan - Growth - 119741
33	SEBI Scheme Code	KOTM/O/D/CRF/10/03/0028
Investment Amount Details:		
34	Minimum Application Amount	Rs. 5000/-
35	Minimum Application Amount in multiples of Rs.	Re. 1
36	Minimum Additional Amount	Rs. 1000/-
37	Minimum Additional Amount in multiples of Rs.	Re. 1
38	Minimum Redemption Amount in Rs.	Rs. 1000/-
39	Minimum Redemption Amount in Units	100 units
40	Minimum Balance Amount (if applicable)	Rs. 1000/-
41	Minimum Balance Amount in Units (if applicable)	100 units
42	Max Investment Amount	Not Applicable
43	Minimum Switch Amount (if applicable)	Rs. 1000/-
44	Minimum Switch Units	Re 0.01
45	Switch Multiple Amount (if applicable)	Not Applicable
46	Switch Multiple Units (if applicable)	Not Applicable
47	Max Switch Amount	Not Applicable
48	Max Switch Units (if applicable)	Not Applicable
49	Swing Pricing (if applicable)	Market Dislocation period – Swing Factor 2%, Normal times – NA
50	Segregated Portfolio (if applicable)	Enabled, No segregated portfolio created
SIP SWP & STP Details:		
51	Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
52	Minimum amount	SIP - Rs. 1000 SWP - Rs. 1000 STP - Rs. 1000
53	In multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
54	Minimum Instalments	SIP - 6 instalments SWP - 6 instalments STP - 6 instalments
55	Dates	SIP - Any date from 1st to 31st of a given month/ quarter. SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
56	Maximum Amount (if any)	Not Applicable