

Annexure A		
Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak ESG Opportunities Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An Open ended Equity Scheme following Environment, Social and Governance (ESG) theme.
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on date)	Very High
6	Category as Per SEBI Categorization Circular	Thematic Fund
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The scheme shall seek to generate capital appreciation by investing in a diversified portfolio of companies that follow Environmental, Social and Governance parameters. However, there can be no assurance that the investment objective of the Scheme will be realized.
9	Stated Asset Allocation	Asset Class Particulars Allocation Risk Profile A Equity and equity related securities - 80%-100% - High (Risk Profile) A1 Equity and equity related instruments following Environmental, Social and Governance (ESG) criteria (including derivatives and foreign securities) 80%-100% High (Risk Profile) A2 Equity and equity related instruments other mentioned in the A1 above 0%- 20% - Medium to High (Risk Profile) B Debt & Money Market Instruments* 0%-20% Low to Medium - (Risk Profile) C Units of REITs & InvITs 0-10% Medium to High - (Risk Profile)
10	Face Value	10
11	NFO Open Date	20/11/2020
12	NFO Close date	04/12/2020
13	Allotment Date	11/12/2020
14	Reopen Date	18/12/2020
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	NIFTY100 ESG TRI
17	Benchmark (Tier 2)	
18	Fund Manager 1- Name	Mr. Harsha Upadhaya
19	Fund Manager 1-Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1- From Date	11-Dec-2020
21	Fund Manager 2- Name	Mr. Arijun Khanna
22	Fund Manager 2-Type (Primary/Comanage/Description)	Dedicated Fund Manager for investments in foreign securities
23	Fund Manager 2- From Date	11-Dec-2020
24	Actual Expense (Stated maximum)	Regular Plan-2% Direct Plan-0.38%
25	Exit Load (if applicable)	10% of the units allotted shall be redeemed without any Exit Load on or before completion of 1 Year from the date of allotment of units. Any redemption in excess of such limit within 1 year from the date of allotment shall be subject to the following Exit Load: • If redeemed or switched out on or before completion of 1 year from the date of allotment of units – 1.00% • If redeemed or switched out after completion of 1 Year from the date of allotment of units – NIL
26	Custodian	Deutsche Bank A G, Mumbai & Standard Chartered Bank
27	Auditor	M/s. Walker Chandio & Co. LLP
28	Registrar	Computer Age Management Services Private Limited ('CAMS')
29	RTA Code (To be phased out)	KESG
30	Listing Details	Not Applicable
31	ISINs	Kotak ESG Opportunities Fund - Direct Plan-Growth-INF174KA1F14 Kotak ESG Opportunities Fund - Direct Plan-IDCW Payout-INF174KA1FH6 Kotak ESG Opportunities Fund - Direct Plan-IDCW Re-investment-INF174KA1FJ2 Kotak ESG Opportunities Fund - Regular Plan-Growth-INF174KA1FF0 Kotak ESG Opportunities Fund - Regular Plan-IDCW Payout-INF174KA1FE3 Kotak ESG Opportunities Fund - Regular Plan-IDCW Re-investment-INF174KA1FG8
32	AMFI Codes (To be phased out)	Kotak ESG Opportunities Fund - Direct Plan-Growth-148606 Kotak ESG Opportunities Fund - Direct Plan-IDCW-148605 Kotak ESG Opportunities Fund - Regular Plan-Growth-148602 Kotak ESG Opportunities Fund - Regular Plan-IDCW- 148603
33	SEBI Codes	KOTM/O/E/THE/19/12/0058
Investment Amount Details:		
34	Minimum Application Amount	Rs. 5000/-
35	Minimum Application Amount in multiples of Rs.	Rs. 1
36	Minimum Additional Amount	Rs. 1000/-
37	Minimum Additional Amount in multiples of Rs.	Rs. 1
38	Minimum Redemption Amount in Rs.	Rs. 1000/-
39	Minimum Redemption Amount in Units	100 unit
40	Minimum Balance Amount (if applicable)	Rs. 1000/-
41	Minimum Balance Amount in Units (if applicable)	100 units
42	Max Investment Amount	Not Applicable
43	Minimum Switch Amount (if applicable)	Not Applicable
44	Minimum Switch Units	Not Applicable
45	Switch Multiple Amount (if applicable)	Not Applicable
46	Switch Multiple Units (if applicable)	Not Applicable
47	Max Switch Amount	Not Applicable
48	Max Switch Units (if applicable)	Not Applicable
49	Swing Pricing (if applicable)	Not Applicable
50	Segregated Portfolio (if applicable)	Enabled, No Segregated Portfolio created
SIP SWP & STP Details:		
51	Frequency	SIP - Monthly & Quarterly SWP - Daily, Weekly, Monthly, Quarterly STP - Daily, Weekly, Monthly & Quarterly
52	Minimum amount	SIP - Rs. 1000. SWP - Rs. 1000 STP - Rs. 1000
53	In multiple of	SIP - N. A. SWP - STP -
54	Minimum Instalments	SIP - 6 installments SWP - 6 installments STP - 6 installments
55	Dates	SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
56	Maximum Amount (if any)	Not Applicable