

| AnnexureA | | |
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| Fields | SCHEME SUMMARY DOCUMENT | |
| 1 | Fund Name | Kotak NV 20 ETF |
| 2 | Option Names (Regular & Direct) | The Scheme does not offer any Plans/Options for investment. |
| 3 | Fund Type | An open ended scheme replicating/ tracking Nifty 50 Value 20 index |
| 4 | Riskometer (At the time of Launch) | Very High Risk |
| 5 | Riskometer (as on date) | Very High Risk |
| 6 | Category as Per SEBI Categorization Circular | ETFs |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to provide returns before expenses that closely correspond to the total returns of stocks as represented by the Nifty 50 Value 20 Index, subject to tracking errors. There is no assurance or guarantee that the investment objective of the scheme will be achieved. |
| 9 | Stated Asset Allocation | Stocks comprising Nifty 50 Value 20 Index - 95 - 100% - Medium - High Cash and debt/money market instruments - 0 - 5% - Low – Medium |
| 10 | Face Value | Rs. 10/- |
| 11 | NFO Open Date | 12-Nov-2015 |
| 12 | NFO Close date | 26-Nov-2015 |
| 13 | Allotment Date | 02-Dec-2015 |
| 14 | Reopen Date | 02-Dec-2015 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty50 Value 20 TRI |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager 1- Name | Mr. Devender Singhal and |
| 19 | Fund Manager 1- Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager 1- From Date | 09-May-2019 |
| 21 | Fund Manager 2- Name | Mr. Satish Dondapati |
| 22 | Fund Manager 2- Type (Primary/Comanage/Description) | Primary |
| 23 | Fund Manager 2- From Date | 09-May-2019 |
| 24 | Actual Expense (TER) | 0.14% |
| 25 | Exit Load (if applicable) | Nil |
| 26 | Custodian | Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai |
| 27 | Auditor | M/s. Walker Chandiok & Co. LLP |
| 28 | Registrar | Computer Age Management Services Private Limited ('CAMS') |
| 29 | RTA Code (To be phased out) | K20ET |
| 30 | Listing Details | The units of the Scheme are listed on National Stock Exchange of India Ltd. (NSE) |
| 31 | ISINs | INF174K01Z71 |
| 32 | AMFI Codes (To be phased out) | 135744 |
| 33 | SEBI Scheme Code | KOTM/O/O/EET/15/05/0033 |
| Investment Amount Details: | | |
| 34 | Minimum Application Amount | Ongoing purchases directly from the Mutual Fund would be restricted to Authorized Participants and Large Investors provided the value of units to be purchased is in creation unit size. Authorised Participants and Large Investors may buy the units on any business day of the scheme directly from the Mutual Fund by paying applicable transaction handling charges and cash component in cash and by depositing basket of securities comprising Nifty50 Value 20 TRI. Units may be allotted only on realization of cheque where the full consideration for creation unit is paid by cheque and at the value at which the underlying stocks for the creation unit is purchased against that purchase request. The units are listed on NSE to provide liquidity through secondary market. All categories of Investors may purchase the units through secondary market on any trading day. The AMC will appoint Authorised Participant(s)/ Large Investors to provide liquidity in secondary market on an ongoing basis. The Authorised Participant(s)/ Large Investors would offer daily two-way quote in the market |
| 35 | Minimum Application Amount in multiples of Rs. | Not Applicable |
| 36 | Minimum Additional Amount | Not Applicable |
| 37 | Minimum Additional Amount in multiples of Rs. | Not Applicable |
| 38 | Minimum Redemption Amount in Rs. | All investors including Authorised Participants, Large Investors and other investors may sell their units in the stock exchange(s) on which these units are listed on all trading days of the stock exchange Mutual Fund will repurchase units from Authorised participants and Large Investors on any business day in creation units size. |
| 39 | Minimum Redemption Amount in Units | Not Applicable |
| 40 | Minimum Balance Amount (if applicable) | Not Applicable |
| 41 | Minimum Balance Amount in Units (if applicable) | Not Applicable |
| 42 | Max Investment Amount | Not Applicable |
| 43 | Minimum Switch Amount (if applicable) | Not Applicable |
| 44 | Minimum Switch Units | Not Applicable |
| 45 | Switch Multiple Amount (if applicable) | Not Applicable |
| 46 | Switch Multiple Units (if applicable) | Not Applicable |
| 47 | Max Switch Amount | Not Applicable |
| 48 | Max Switch Units (if applicable) | Not Applicable |
| 49 | Swing Pricing (if applicable) | Not Applicable |
| 50 | Segregated Portfolio (if applicable) | Enabled, No segregated portfolio created |
| SIP SWP & STP Details: | | |
| 51 | Frequency | Not Applicable |
| 52 | Minimum amount | Not Applicable |
| 53 | In multiple of | Not Applicable |
| 54 | Minimum Instalments | Not Applicable |
| 55 | Dates | Not Applicable |
| 56 | Maximum Amount (if any) | Not Applicable |