

Annexure A

SCHEME SUMMARY DOCUMENT		
Fields		
1	Fund Name	Kotak Floating Rate Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended debt scheme predominantly investing in floating rate instruments. A relatively high interest rate risk and moderate credit risk.
4	Riskometer (At the time of Launch)	Moderate
5	Riskometer (as on February 28, 2022)	Low to Moderate
6	Category as Per SEBI Categorization Circular	Floater Fund
7	Potential Risk Class (as on February 28, 2022)	B-III
8	Description, Objective of the scheme	The primary objective of the Scheme is to generate income through investment primarily in floating rate debt instruments, fixed rate debt instruments swapped for floating rate returns and money market instruments. However, there is no assurance or guarantee that the investment objective of the scheme will be achieved.
9	Stated Asset Allocation	Floating Rate Debt Securities (including securitized debt and Fixed rate debt instruments swapped for floating rate returns) - 65 - 100% - Low - Medium Fixed Rate Debt Securities (including securitized debt, money market instruments & Floating rate debt instruments swapped for Fixed rate returns) - 0 - 35% - Low - Medium Units issued by REITs and INVTs - 0 - 10% - Medium to High
10	Face Value	Rs. 1000/-
11	NFO Open Date	09-May-2019
12	NFO Close date	13-May-2019
13	Allotment Date	14-May-2019
14	Reopen Date	16-May-2019
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Short Duration Debt Index
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1- Name	Mr. Deepak Agrawal
19	Fund Manager 1- Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1- From Date	14-May-2019
22	Actual Expense (TER)	Regular Plan - 0.32 Direct Plan - 0.25
23	Exit Load (if applicable)	Nil
24	Custodian	Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai
25	Auditor	M/s. Walker Chandiok & Co. LLP
26	Registrar	Computer Age Management Services Private Limited ('CAMS')
27	RTA Code (To be phased out)	KFOTL
28	Listing Details	Not Applicable
29	ISINs	Direct Plan - Growth - INF174KA1EC0 Direct Plan-Standard IDCW Reinvestment -INF174KA1EF3 Regular Plan-Growth - INF174KA1DY6 Regular Plan-Standard IDCW Reinvestment - INF174KA1EB2
30	AMFI Codes (To be phased out)	Regular Plan - Standard IDCW Reinvestment - 147265 Regular Plan -Growth - 147266 Direct Plan - Standard IDCW Reinvestment - 147267 Direct Plan - Growth - 147269
31	SEBI Scheme Code	
Investment Amount Details:		
32	Minimum Application Amount	Rs. 5000/-
33	Minimum Application Amount in multiples of Rs.	Re. 1
34	Minimum Additional Amount	Rs. 1000/-
35	Minimum Additional Amount in multiples of Rs.	Re. 1
36	Minimum Redemption Amount in Rs.	Rs. 1000/-
37	Minimum Redemption Amount in Units	1 unit
38	Minimum Balance Amount (if applicable)	Rs. 1000/-
39	Minimum Balance Amount in Units (if applicable)	100 units
40	Max Investment Amount	Not Applicable
41	Minimum Switch Amount (if applicable)	Rs. 1000/-
42	Minimum Switch Units	Re 0.01
43	Switch Multiple Amount (if applicable)	Not Applicable
44	Switch Multiple Units (if applicable)	Not Applicable
45	Max Switch Amount	Not Applicable
46	Max Switch Units (if applicable)	Not Applicable
47	Swing Pricing (if applicable)	Market Dislocation period – Swing Factor 1.5%, Normal times – NA
48	Segregated Portfolio (if applicable)	Enabled, No segregated portfolio created
SIP SWP & STP Details:		
49	Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
50	Minimum amount	SIP - Rs. 1000 SWP - Rs. 1000 STP - Rs. 1000
51	In multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
52	Minimum Instalments	SIP - 6 installments SWP - 6 installments STP - 6 installments
53	Dates	SIP - Any date from 1st to 31st of a given month/ quarter. SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
54	Maximum Amount (if any)	Not Applicable