

Annexure A

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Equity Arbitrage Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended scheme investing in arbitrage opportunities
4	Riskometer (At the time of Launch)	Low
5	Riskometer (as on February 28, 2022)	Low
6	Category as Per SEBI Categorization Circular	Arbitrage Fund
7	Potential Risk Class (as on February 28, 2022)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and by investing the balance in debt and money market instruments. However, there is no assurance that the
9	Stated Asset Allocation	Equity and equity related instruments including derivatives- 65%-90% (Low to medium) Debt and money market instruments including margin money deployed in derivatives transactions- 10%-
10	Face Value	10
11	NFO Open Date	12-Sep-2005
12	NFO Close date	21-Sep-2005
13	Allotment Date	29-Sep-2005
14	Reopen Date	03-Oct-2005
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	NIFTY 50 Hybrid Composite Debt 65:35 Index
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1- Name	Mr. Hiten Shah
19	Fund Manager 1-Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1- From Date	3-Oct-2019
21	Annual Expense (Stated maximum)	Regular - 0.98% Direct - 0.41%
22	Exit Load (if applicable)	For redemptions / switch outs (including STP/SWP) within 30 days from the date of allotment of units, irrespective of the amount of the investment - 0.25% For redemptions / switch outs (including STP/SWP) after 30 days from the date of allotment of units, irrespective of the amount of the investment: Nil <u>Units issued on reinvestment of IDCWs shall not be subject to entry and exit load</u>
23	Custodian	Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai
24	Auditor	M/s. Walker Chandok & Co. LLP
25	Registrar	Computer Age Management Services Private Limited ('CAMS')
26	RTA Code (To be phased out)	KEARB
27	Listing Details	Not Applicable
28	ISINs	Kotak Equity Arbitrage Fund - Growth - INF174K01302 Kotak Equity Arbitrage Fund - Growth - Direct - INF174K01LC6 Kotak Equity Arbitrage Fund - IDCW - INF174K01328 Kotak Equity Arbitrage Fund - IDCW Reinvestment - INF174K01310 Kotak Equity Arbitrage Fund - IDCW Direct - INF174K01LE2 Kotak Equity Arbitrage Fund - IDCW Direct Reinvestment - INF174K01LD4
29	AMFI Codes (To be phased out)	Kotak Equity Arbitrage Fund - Growth - 105968 Kotak Equity Arbitrage Fund - Growth - Direct - 119771 Kotak Equity Arbitrage Fund - IDCW - 105967 Kotak Equity Arbitrage Fund - IDCW Reinvestment - 105967 Kotak Equity Arbitrage Fund - IDCW Direct - 119770 Kotak Equity Arbitrage Fund - IDCW Direct Reinvestment - 119770
30	SEBI Codes	
ent Amount Details:		
31	Minimum Application Amount	Rs. 5000/-
32	Minimum Application Amount in multiples of Rs.	Re. 1
33	Minimum Additional Amount	Rs. 1000/-
34	Minimum Additional Amount in multiples of Rs.	Re. 1
35	Minimum Redemption Amount in Rs.	Rs. 1000/-
36	Minimum Redemption Amount in Units	100 unit
37	Minimum Balance Amount (if applicable)	Rs. 1000/-
38	Minimum Balance Amount in Units (if applicable)	100 units
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Rs. 5000/-
41	Minimum Switch Units	Re. 0.01
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	No
47	Segregated Portfolio (if applicable)	Enabled, No segregated portfolio created
VP & STP Details:		
46	Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
47	Minimum amount	SIP - Rs. 500. SWP - Rs. 1000 STP - Rs. 1000
48	In multiple of	SIP - Rs. 1 SWP - Rs. 1 STP - Rs. 1
49	Minimum Instalments	SIP - 10 installments SWP - 6 installments STP - 6 installments
50	Dates	SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
51	Maximum Amount (if any)	Not Applicable