

| Annexure A | | |
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| SCHEME SUMMARY DOCUMENT | | |
| Fields | | |
| 1 | Fund Name | Kotak Emerging Equity Fund |
| 2 | Option Names (Regular & Direct) | Growth Option Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW) |
| 3 | Fund Type | An open ended equity scheme predominantly investing in mid cap stocks |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on February 28, 2022) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Mid cap |
| 7 | Potential Risk Class (as on February 28, 2022) | NA |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to generate long-term capital appreciation from a portfolio of equity and equity related securities, by investing predominantly in mid companies. The scheme may also invest in Debt and Money Market Instruments, as per the asset allocation table. There is no assurance that the investment objective of the Scheme will be achieved. |
| 9 | Stated Asset Allocation | The asset allocation under the Scheme, under normal circumstances, is as follows: Investments Indicative Allocation Risk Profile A Equity & Equity related Securities-65% to 100%-Medium to High (Risk Profile) A1 investments in equity and equity related securities of mid cap companies-65% to 100%-Medium to High (Risk Profile) A2 investments in equity and equity related securities of Companies other than mid cap companies- 0% to 35% -Medium to High (Risk Profile) B Debt & Money Market Instruments * 0% to 35% - Low (Risk Profile) C Units issued by REITs & InvITs - 0-10% Medium to High (Risk Profile) |
| 10 | Face Value | Rs.10 |
| 11 | NFO Open Date | 12/02/2007 |
| 12 | NFO Close date | 16/03/2007 |
| 13 | Allotment Date | 30-Mar-07 |
| 14 | Reopen Date | 11-Apr-2007 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty Midcap 150 TRI |
| 17 | Benchmark (Tier 2) | Nifty Midcap 100 TRI |
| 18 | Fund Manager 1- Name | Mr. Pankaj Tibrewal |
| 19 | Fund Manager 1-Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager 1- From Date | May 27, 2010 |
| 21 | Fund Manager 2- Name | Mr. Arijun Khanna |
| 22 | Fund Manager 2-Type (Primary/Comanage/Description) | the dedicated fund manager for investments in foreign securities. |
| 23 | Fund Manager 2- From Date | 25-May-2018 |
| 24 | Actual Expense (Stated maximum) | Regular Plan- 1.81% Direct Plan- 0.47% |
| 25 | Exit Load (if applicable) | • For redemption / switch out of upto 10%of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. • If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% • If units are redeemed or switched out on or after 1 year from the date of allotment: NIL |
| 26 | Custodian | Deutsche Bank A G, Mumbai & Standard Chartered Bank |
| 27 | Auditor | M/s. Walker Chandio & Co. LLP |
| 28 | Registrar | Computer Age Management Services Private Limited ('CAMS') |
| 29 | RTA Code (To be phased out) | KEMER |
| 30 | Listing Details | Not Applicable |
| 31 | ISINs | Kotak Emerging Equity Fund - Direct Plan-Growth-INF174K01LT0 Kotak Emerging Equity Fund - Direct Plan-IDCW Payout-INF174K01LU8 Kotak Emerging Equity Fund - Direct Plan-IDCW Re-investment-INF174K01LV6 Kotak Emerging Equity Fund - Regular Plan-Growth-INF174K01DS9 Kotak Emerging Equity Fund - Regular Plan-IDCW Payout-INF174K01DU5 Kotak Emerging Equity Fund - Regular Plan-IDCW Re-investment-INF174K01DT7 |
| 32 | AMFI Codes (To be phased out) | Kotak Emerging Equity Fund - Direct Plan-Growth-119775 Kotak Emerging Equity Fund - Direct Plan-IDCW-119774 Kotak Emerging Equity Fund - Regular Plan-Growth-104908 Kotak Emerging Equity Fund - Regular Plan-IDCW -104907 |
| 33 | SEBI Codes | |
| Investment Amount Details: | | |
| 34 | Minimum Application Amount | Rs. 5000/- |
| 35 | Minimum Application Amount in multiples of Rs. | Re. 1 |
| 36 | Minimum Additional Amount | Rs. 1000/- |
| 37 | Minimum Additional Amount in multiples of Rs. | Re. 1 |
| 38 | Minimum Redemption Amount in Rs. | Rs. 1000/- |
| 39 | Minimum Redemption Amount in Units | 100 unit |
| 40 | Minimum Balance Amount (if applicable) | Rs. 1000/- |
| 41 | Minimum Balance Amount in Units (if applicable) | 100 units |
| 42 | Max Investment Amount | Not Applicable |
| 43 | Minimum Switch Amount (if applicable) | Not Applicable |
| 44 | Minimum Switch Units | Not Applicable |
| 45 | Switch Multiple Amount (if applicable) | Not Applicable |
| 46 | Switch Multiple Units (if applicable) | Not Applicable |
| 47 | Max Switch Amount | Not Applicable |
| 48 | Max Switch Units (if applicable) | Not Applicable |
| 49 | Swing Pricing (if applicable) | Not Applicable |
| 50 | Side-pocketing (if applicable) | Not Applicable |
| SIP SWP & STP Details: | | |
| 51 | Frequency | SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly |
| 52 | Minimum amount | SIP - Rs. 1000 SWP - Rs. 1000 STP - Rs. 1000 |
| 53 | In multiple of | SIP - N. A. SWP - STP - |
| 54 | Minimum Instalments | SIP - 6 installments SWP - 6 installments STP - 6 installments |
| 55 | Dates | SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of every Month STP - Any Business Day |
| 56 | Maximum Amount (if any) | Not Applicable |