

Annexure A

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Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Balanced Advantage Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended dynamic asset allocation fund
4	Riskometer (At the time of Launch)	High
5	Riskometer (as on February 28, 2022)	Moderately High
6	Category as Per SEBI Categorization Circular	Balanced Advantage Fund
7	Potential Risk Class (as on February 28, 2022)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate capital appreciation by investing in a dynamically balanced portfolio of equity & equity related securities and debt & money market securities. There is no assurance or guarantee that the investment objective of the scheme will be achieved.
9	Stated Asset Allocation	Equity & Equity related securities- 65%-100% (High) Equity Derivatives- 0%-45% (High) Debt Securities & Money Market instruments- 0%-35% (Low to medium) Units of REITs & INVITs- 0%-10% (Medium to High)
10	Face Value	10
11	NFO Open Date	13/07/2018
12	NFO Close date	27/07/2018
13	Allotment Date	03/08/2018
14	Reopen Date	10/08/2018
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty 50 Hybrid composite debt 50:50 Index
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1- Name	Mr. Harish Krishnan
19	Fund Manager 1- Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1- From Date	03/08/2018
18	Fund Manager 2- Name	Mr. Hiten Shah
19	Fund Manager 2- Type (Primary/Comanage/Description)	Primary
20	Fund Manager 2- From Date	03/10/2019
	Fund Manager 3- Name	Mr. Abhishek Bisen
	Fund Manager 3- Type (Primary/Comanage/Description)	Primary
	Fund Manager 3- From Date	03/08/2018
	Fund Manager 4- Name	Mr. Arjun Khanna
	Fund Manager 4- Type (Primary/Comanage/Description)	Dedicated Fund Manager for investments in foreign securities
	Fund Manager 4- From Date	03/08/2018
21	Annual Expense (Stated maximum)	Regular - 1.75% Direct - 0.44%
22	Exit Load (if applicable)	For redemption/switch out of upto 8% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1%. If units are redeemed or switched out on or after 1 year from the date of allotment: Nil. Any exit load charged (net off Goods and Services Tax, if any) shall be credited back to the Scheme.
23	Custodian	Deutsche Bank A.G, Mumbai and Standard Chartered Bank, Mumbai
24	Auditor	M/s. Walker Chandiook & Co. LLP
25	Registrar	Computer Age Management Services Private Limited ('CAMS')
26	RTA Code (To be phased out)	KBAF
27	Listing Details	Not Applicable
28	ISINs	Direct Plan - Growth - INF174KA1210 Direct Plan-Daily -IDCW Re-investment - INF174KA1202 Direct Plan-Daily -IDCW Payout- INF174KA1194 Regular Plan-Growth - INF174KA1186 Regular Plan-Daily - IDCW Re-investment - INF174KA1178 Regular Plan-Daily - IDCW Payout- INF174KA1160
29	AMFI Codes (To be phased out)	Direct Plan - Growth - 144335 Direct Plan-Daily -IDCW Re-investment - 144334 Direct Plan-Daily -IDCW Payout- 144334 Regular Plan-Growth - 144333 Regular Plan-Daily - IDCW Re-investment - 144336 Regular Plan-Daily - IDCW Payout- 144336
30	SEBI Codes	
Investment Amount Details:		
31	Minimum Application Amount	Rs. 1000/-
32	Minimum Application Amount in multiples of Rs.	Re. 1
33	Minimum Additional Amount	Rs. 1000/-
34	Minimum Additional Amount in multiples of Rs.	Re. 1
35	Minimum Redemption Amount in Rs.	Rs. 1000/-
36	Minimum Redemption Amount in Units	100 unit
37	Minimum Balance Amount (if applicable)	Rs. 1000/-
38	Minimum Balance Amount in Units (if applicable)	100 units
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Rs. 1000/-
41	Minimum Switch Units	Re. 0.01
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	No
47	Segregated Portfolio (if applicable)	Enabled, No segregated portfolio created
SIP SWP & STP Details:		
46	Frequency	SIP - Monthly & Quarterly SWP - Weekly, Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
47	Minimum amount	SIP - Rs. 100 SWP - Rs. 1000 STP - Rs. 1000
48	In multiple of	SIP - Rs. 1 SWP - Rs. 1 STP - Rs. 1
49	Minimum Instalments	SIP - 10 installments SWP - 6 installments STP - 6 installments
50	Dates	SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
51	Maximum Amount (if any)	Not Applicable