

Annexure A		
SCHEME SUMMARY DOCUMENT		
Fields		
1	Fund Name	Kotak Small Cap Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended equity scheme
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on February 28, 2022)	Very High
6	Category as Per SEBI Categorization Circular	Small cap Fund
7	Potential Risk Class (as on February 28, 2022)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate capital appreciation from a diversified portfolio of equity and equity related securities by investing predominantly in small cap companies. There is no assurance that the investment objective of the Scheme will be achieved.
9	Stated Asset Allocation	Equity and Equity related instruments- 65% to 100% (High) Investments in equity and equity related securities of small cap companies- 65% to 100% (High) investments in equity and equity related securities of Companies other than small cap companies- 0% to 35% (High) Debt and Money Market Securities- 0% to 35% (Low) Units issued by REITs & InvITs- 0% to 10% (Medium to High)
10	Face Value	10
11	NFO Open Date	30/12/2004
12	NFO Close date	28/01/2005
13	Allotment Date	24/02/2005
14	Reopen Date	25/02/2005
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	NIFTY Smallcap 250 TRI
17	Benchmark (Tier 2)	Nifty Smallcap 100 TRI
18	Fund Manager 1- Name	Mr. Pankaj Tibrewal
19	Fund Manager 1-Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1- From Date	24-Feb-2005
21	Fund Manager 2- Name	Mr. Arjun Khanna
22	Fund Manager 2-Type (Primary/Comanage/Description)	Dedicated fund manager for investments in foreign securities
23	Fund Manager 2- From Date	25-May-2018
24	Annual Expense (Stated maximum)	Regular Plan- 1.93% Direct Plan- 0.49%
25	Exit Load (if applicable)	For redemption / switch out of upto 10% of the initial investment amount (limit) purchased or switched in within 1 year from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 1 year from the date of allotment: 1% If units are redeemed or switched out on or after 1 year from the date of allotment: NIL <del>Units issued on reinvestment of IDCW shall not be subject to entry and exit load</del>
26	Custodian	Deutsche Bank A G, Mumbai
27	Auditor	M/s. Walker Chandio & Co. LLP
28	Registrar	Computer Age Management Services Private Limited ('CAMS')
29	RTA Code (To be phased out)	KMIDC
30	Listing Details	Not Applicable
31	ISINs	Kotak Small Cap Fund - Direct Plan-G- INF174K01KT2 Kotak Small Cap Fund - Direct Plan-IDCW Payout- INF174K01KU0 Kotak Small Cap Fund - Direct Plan-IDCW Re-investment- INF174K01KV8 Kotak Small Cap Fund - Regular Plan-Growth- INF174K01211 Kotak Small Cap Fund - Regular Plan-IDCW Payout- INF174K01237 Kotak Small Cap Fund - Regular Plan-IDCW Re-investment- INF174K01229
32	AMFI Codes (To be phased out)	Kotak Small Cap Fund - Direct Plan-Growth- 120164 Kotak Small Cap Fund - Direct Plan-IDCW- 120163 Kotak Small Cap Fund - Regular Plan-Growth- 102875 Kotak Small Cap Fund - Regular Plan-IDCW- 102874
33	SEBI Codes	
<b>Investment Amount Details:</b>		
34	Minimum Application Amount	Rs. 5000/-
35	Minimum Application Amount in multiples of Rs.	Re. 1
36	Minimum Additional Amount	Rs. 1000/-
37	Minimum Additional Amount in multiples of Rs.	Re. 1
38	Minimum Redemption Amount in Rs.	Rs. 1000/-
39	Minimum Redemption Amount in Units	100 unit
40	Minimum Balance Amount (if applicable)	Rs. 1000/-
41	Minimum Balance Amount in Units (if applicable)	100 units
42	Max Investment Amount	Not Applicable
43	Minimum Switch Amount (if applicable)	Rs. 5000/-
44	Minimum Switch Units	Re. 0.01
45	Switch Multiple Amount (if applicable)	Not Applicable
46	Switch Multiple Units (if applicable)	Not Applicable
47	Max Switch Amount	Not Applicable
48	Max Switch Units (if applicable)	Not Applicable
49	Swing Pricing (if applicable)	Not Applicable
50	Segregated Portfolio (if applicable)	Not Applicable
<b>SIP SWP &amp; STP Details:</b>		
51	Frequency	SIP - Monthly & Quarterly SWP - Monthly & Quarterly STP - Daily, Weekly, Monthly & Quarterly
52	Minimum amount	SIP - Rs. 1000. SWP - Rs. 1000 STP - Rs. 1000
53	In multiple of	SIP - Rs. 1 SWP - Rs. 1 STP - Rs. 1
54	Minimum Instalments	SIP - 6 installments SWP - 6 installments STP - 6 installments
55	Dates	SIP - Any date from 1st to 31st SWP - 1,7,14, 21 & 25 of Every Month STP - Any Business Day
56	Maximum Amount (if any)	Not Applicable