

National Stock Exchange Of India Limited**Department : Primary Market Segment**

Download Ref No: NSE/CMTR/38882

Date : September 14, 2018

Circular Ref. No: 16/2018

All Members,

Non-Competitive Bidding Facility on e-Gsec Platform-Update

This is in continuation and partial modification to our circular ref no 06/2018 (Download No. 37593) dated April 23, 2018, regarding introduction of **Non-Competitive Bidding Facility on e-Gsec Platform**.

Based on market feedback, the Exchange has revised the Annexure 5 of the said circular. Accordingly, participants are required to collect a one-time undertaking from the investors before placing bid on e-GSec platform. The revised format of undertaking is enclosed.

Further, in order to continue development and enhancement of bulk upload facility on e-Gsec platform the Exchange has introduced additional bulk upload file format – “**Uniform file upload (csv)**” similar to existing IPO bidding format, along with existing bulk upload format provided in Annexure 7 of the above mentioned circular. The file format of the same is also enclosed.

There are no other changes in ‘Terms and Conditions’ and ‘Operating Guidelines’ applicable for Non-Competitive Bidding Facility on e-Gsec Platform.

Members are requested to take note of the above.

In case of any queries please call Toll Free no: 1800 266 0053.

**For and on behalf of
National Stock Exchange of India Limited**

**Abhijeet Sontakke
Chief Manager**

Toll Free No	Fax No	Email id
1800-266-00-53	+91-22-26598155	msm@nse.co.in

Undertaking for Participation on e-Gsec Platform
(Letter to be provided by the Investor to the Participant)

To

Date:

Sir,

Sub: Participation on e-Gsec Platform

I/We, _____, am/are interested to participate on the e-Gsec Platform of National Stock Exchange of India Ltd. (Exchange) through _____ (name of the trading member) for the purpose of non-competitive auction of Government of India Dated Securities and Treasury Bills and any other securities as specified from time to time.

I/ We shall abide by the Terms and Conditions of the e-Gsec Platform and authorize the trading member to put the bid on behalf of me/us.

I/we shall submit the Know Your Client details for the purpose of participation on e-Gsec Platform.

I/We am/are willing to abide by the operating guideline, terms and conditions as mentioned in the circular no. NSE/IPO/37593 dated April 23, 2018 and as may be specified by Exchange from time to time in this regard.

I/We shall ensure compliance with the requirements as may be specified from time to time by the Government of India (GOI), the Securities and Exchange Board of India (SEBI), the Reserve Bank of India (RBI), and/or any other relevant authority.

I/We undertake that I/we am/are eligible to participate in the scheme of non-competitive bidding in auction of government securities as notified by RBI/GOI from time to time.

I/We am/are aware that I/we am/ are allowed to make only a single bid for the auction, and therefore, I/We hereby undertake that I/We shall abide by the same.

I/We shall read and understand the contents of the Notification/Press Release, Circular and/or any other guidelines, Information Memorandum, issued by GOI, RBI, SEBI, the Exchange and/or any other relevant authority, from time to time, regarding bidding on the Platform with respect to which I/we choose to participate. I/We further agree to abide by the terms and conditions, rules and regulations.

Thanking you,

Yours faithfully

Uniform File Upload
A: Bulk Upload Entry

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory for NCB	Remarks
1	Symbol	AlphaNumeric 10	Mandatory	
2	Application No.	Numeric 16	Mandatory	
3	Category	AlphaNumeric 6	Non Mandatory	
4	Client Name	Char 50	Non Mandatory	
5	Depository Name	Char 4	Mandatory	
6	DP ID	AlphaNumeric 8	Mandatory	DP_ID (In case of CDSL put 0)
7	Client ID / Beneficiary ID	AlphaNumeric 16	Mandatory	
8	BidQty	Numeric 15	Mandatory	
9	Cut off Indicator	Char 1	Mandatory	Cutoff Always 1
10	Rate / Price	Numeric 6.2	Mandatory	Exchange Intimated Price
11	Chq_Recd_Flag	Char 1	Non Mandatory	Always N for cheque not received
12	Total Amount	Numeric 15	Mandatory	
13	Cheque Number		Non Mandatory	Always Blank
14	PAN No.	AlphaNumeric 10	Mandatory	
15	Bank Code	AlphaNumeric 6	Non Mandatory	
16	Location Code	AlphaNumeric 9	Non Mandatory	
17	Reference Number	AlphaNumeric 16	Non Mandatory	
18	Order Number	Numeric 16		BID ID Put 0 or blank (will be replaced by order number in out file)
19	Activity Type (N-new order M-Modification D- Deletion)	Char 1	Mandatory	N-New entry M-Modification D-Deletion

B: Bulk Upload Success

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory for NCB	Remarks
1	Symbol	AlphaNumeric 10	Mandatory	
2	Application No.	Numeric 16	Mandatory	
3	Category	AlphaNumeric 6	Non Mandatory	
4	Client Name	Char 50	Non Mandatory	
5	Depository Name	Char 4	Mandatory	
6	DP ID	AlphaNumeric 8	Mandatory	DP_ID (<i>In case of CDSL put 0</i>)
7	Client ID / Beneficiary ID	AlphaNumeric 16	Mandatory	
8	Biq Qty	Numeric 15	Mandatory	
9	Cut off Indicator	Char 1	Mandatory	Cutoff Always 1
10	Rate / Price	Numeric 6.2	Mandatory	Exchange Intimated Price
11	Chq_Recd_Flag	Char 1	Non Mandatory	Always N for cheque not received
12	Total Amount	Numeric 15	Mandatory	
13	Cheque Number		Non Mandatory	Always Blank
14	PAN No.	AlphaNumeric 10	Mandatory	
15	Bank Code	Alpha Numeric 6	Non Mandatory	
16	Location Code	AlphaNumeric 9	Non Mandatory	
17	Reference Number	AlphaNumeric 16	Non Mandatory	
18	Order Number	Numeric 16		BID ID Put 0 or blank (will be replaced by order number in out file)
19	Activity Type (N-new order M-Modification D- Deletion)	Char 1	Mandatory	N-New entry M-Modification D-Deletion
20	User	AlphaNumeric Char 30	Mandatory	Will be the login id
21	Error message	AlphaNumeric Char 100	Non Mandatory	

C: Bulk Upload Error

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory for NCB	Remarks
1	Symbol	AlphaNumeric 10	Mandatory	
2	Application No.	Numeric 16	Mandatory	
3	Category	AlphaNumeric 6	Non Mandatory	
4	Client Name	Char 50	Non Mandatory	
5	Depository Name	Char 4	Mandatory	
6	DP ID	AlphaNumeric 8	Mandatory	DP_ID (<i>In case of CDSL put 0</i>)
7	Client ID / Beneficiary ID	AlphaNumeric 16	Mandatory	
8	Bid Qty	Numeric 15	Mandatory	
9	Cut off Indicator	Char 1	Mandatory	Cutoff Always 1
10	Rate / Price	Numeric 6.2	Mandatory	Exchange Intimated Price
11	Chq_Recd_Flag	Char 1	Non Mandatory	Always N for cheque not received
12	Total Amount	Numeric 15	Mandatory	
13	Cheque Number		Non Mandatory	Always Blank
14	PAN No.	AlphaNumeric 10	Mandatory	
15	Bank Code	AlphaNumeric 6	Non Mandatory	
16	Location Code	AlphaNumeric 9	Non Mandatory	
17	Reference Number	AlphaNumeric 16	Non Mandatory	
18	Order Number	Numeric 16		BID ID Put 0 or blank (will be replaced by order number in out file)
19	Activity Type (N-new order M-Modification D- Deletion)	Char 1	Mandatory	N-New entry M-Modification D-Deletion
20	User	AlphaNumeric Char 30	Mandatory	Will be the login id
21	Error message	AlphaNumeric Char 100	Non Mandatory	