

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	ICICI Prudential Smallcap Fund
2	Options Names (Regular & Direct)	ICICI Prudential Smallcap Fund - Direct Plan - Growth ICICI Prudential Smallcap Fund - Direct Plan - IDCW ICICI Prudential Smallcap Fund - Regular Plan - Growth ICICI Prudential Smallcap Fund - Regular Plan - IDCW
3	Fund Type	Open Ended
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on August 31, 2023)	Very High
6	Category as Per SEBI Categorization Circular	Equity - Small Cap Fund
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The primary objective of the Scheme is to seek to generate capital appreciation by predominantly investing in equity and equity related securities of small cap stocks. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.
9	Stated Asset Allocation	<ul style="list-style-type: none"> <li>•Equity &amp; Equity related securities of Small Cap Companies = 65% - 100%</li> <li>•Equity &amp; Equity related securities of other than Small Cap Companies = 0% - 35%</li> <li>•Debt &amp; Money market instruments = 0% - 35%</li> </ul>
10	Face Value	10
11	NFO Open Date	23-Aug-07
12	NFO Close Date	21-Sep-07
13	Allotment Date	18-Oct-07
14	Re-open Date	19-Oct-07
15	Maturity Date (For Closed-end funds)	NA
16	Benchmark (Tier 1)	Nifty Smallcap 250 TRI
17	Benchmark (Tier 2)	Nifty 50 TRI
18	Fund Manager 1 - Name	Anish Tawakley
	Fund Manager 2 - Name	Sri Sharma
	Fund Manager 3 - Name	Sharmila D'mello
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
	Fund Manager 2 - Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1 - From Date	18-Sep-23
	Fund Manager 2 - From Date	18-Sep-23
	Fund Manager 3 - From Date	01-Jul-22
21	Annual Expense (Actual Expenses)	Actual expenses for the month ended October 31, 2023:  Regular Plan: 1.83% Direct Plan: 0.73%
22	Exit Load (if applicable)	1% of the applicable NAV - If units purchased or switched in from another scheme of the Fund are redeemed or switched out within 1 Year from the date of allotment NIL - If units purchased or switched in from another scheme of the Fund are redeemed or switched out after 1 Year from the date of allotment
23	Custodian	HDFC Bank Limited, SBI-SG Global Securities Services Pvt. Ltd., Citibank N. A., Hongkong and Shanghai Banking Corporation Limited (HSBC) and Deutsche Bank A. G.
24	Auditor	M/s S. R. Batliboi & Co. LLP
25	Registrar	Computer Age Management Services Limited
26	RTA code (To be phased out)	ICICI Prudential Smallcap Fund - Direct Plan - Growth - P8034 ICICI Prudential Smallcap Fund - Direct Plan - IDCW - P8035 ICICI Prudential Smallcap Fund - Regular Plan - Growth - P1023 ICICI Prudential Smallcap Fund - Regular Plan - IDCW - P1024
27	Listing Details	NA
28	ISINs	ICICI Prudential Smallcap Fund - Direct Plan - Growth - INF109K015M0 ICICI Prudential Smallcap Fund - Direct Plan - IDCW - Payout - INF109K013M5, Reinvestment - INF109K014M3 ICICI Prudential Smallcap Fund - Regular Plan - Growth - INF109K01B10 ICICI Prudential Smallcap Fund - Regular Plan - IDCW - Payout - INF109K01FA8, Reinvestment - INF109K01BJ8

29	AMFI Code (To be phased out)	ICICI Prudential Smallcap Fund - Direct Plan - Growth - 120591 ICICI Prudential Smallcap Fund - Direct Plan - IDCW - 120870 ICICI Prudential Smallcap Fund - Regular Plan - Growth - 106823 ICICI Prudential Smallcap Fund - Regular Plan - IDCW - 106822
30	SEBI Codes	ICIC/O/E/SCF/07/06/0031
<b>Investment Amount Details:</b>		
31	Minimum Application Amount	Rs. 5,000
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	Rs. 1,000
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	Any amount
36	Minimum Redemption Amount in Units	NA
37	Minimum Balance Amount (if applicable)	NA
38	Minimum Balance Amount in Units (if applicable)	NA
39	Max Investment Amount	NA
40	Minimum Switch Amount (if applicable)	Rs. 5,000
41	Minimum Switch Units	NA
42	Switch Multiple Amount (if applicable)	Any amount
43	Switch Multiple Units (if applicable)	NA
44	Max Switch Amount	NA
45	Max Switch Unit (if applicable)	NA
46	Swing Pricing (if applicable)	NA
47	Side-pocketing (if applicable)	NA
<b>SIP SWP &amp; STP Details:</b>		
<b>SIP</b>		
48	Frequency	Daily,Weekly,Fortnightly,Monthly,Quarterly
49	Minimum amount	Daily,Weekly,Fortnightly,Monthly = Rs. 100 Quarterly = Rs. 5,000
50	In multiple of	Re. 1/-
51	Minimum instalments	Daily,Weekly,Fortnightly,Monthly = 6 Quarterly = 4
52	Dates	Daily = Daily (only business days) Weekly = Anyday (Monday to Friday)* Fortnightly = 1st and 16th day of each month as applicable Monthly = Any day* Quarterly = Any day*  *In case the date chosen for SIP falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.
53	Maximum Amount (If any)	NA
<b>STP</b>		
48	Frequency	Daily,Weekly,Fortnightly,Monthly,Quarterly
49	Minimum amount	Monthly, Weekly, Fortnightly, Quarterly = Rs. 1,000 Daily = Rs. 250
50	In multiple of	Re. 1/-
51	Minimum instalments	Daily,Weekly,Fortnightly,Monthly = 6 Quarterly = 4
52	Dates	Daily = Daily (only business days) Weekly = Anyday (Monday to Friday)* Fortnightly = 1st and 16th day of each month as applicable Monthly = Any day* Quarterly = Any day*  *In case the date chosen for STP falls on a non-business day or on a day which is not available in a particular month, the STP will be processed on the immediate next business day.
53	Maximum Amount (If any)	NA
<b>SWP</b>		
48	Frequency	Monthly,Quarterly, Half Yearly,Annual
49	Minimum amount	Any amount
50	In multiple of	Re. 1/-
51	Minimum instalments	Monthly,Quarterly, Half Yearly,Annual = 2

52	Dates	Monthly = Any day* Quarterly= Any day* Half Yearly = Any day* Annual = Any day*  *In case none of the frequencies has been selected then Monthly frequency shall be considered as the Default frequency and where no withdrawal date is selected, 1st business day of the month shall be considered as the default SWP date.
53	Maximum Amount (If any)	NA