

| Fields | SCHEME SUMMARY DOCUMENT | |
|--------|--|--|
| 1 | Fund Name | HSBC Small Cap Fund |
| 2 | Option Names (Regular & Direct) | HSBC Small Cap Fund - Direct Plan - Growth, HSBC Small Cap Fund - Direct Plan - IDCW, HSBC Small Cap Fund - Regular Plan - Growth, HSBC Small Cap Fund - Regular Plan - IDCW |
| 3 | Fund Type | An open ended equity scheme predominantly investing in small cap stocks |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on Date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Open Ended-Equity - Small cap |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | To generate long term capital growth from an actively managed portfolio of equity and equity related securities of predominantly small cap companies. However, it could move a portion of its assets towards fixed income securities if the fund manager becomes negative on the Indian equity markets. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved. |
| 9 | Stated Asset Allocation | Equity & Equity related securities of small cap companies : 65% -100% Equity & Equity related securities of other than small cap companies : 0%-35% Debt and money market Instruments (including cash and cash equivalents): 0%-35% Units issued by REITs/InvITs: 0%-10% |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 22 Apr 2014 |
| 12 | NFO Close date | 06 May 2014 |
| 13 | Allotment Date | 12 May 2014 |
| 14 | Reopen Date | 13 May 2014 |
| 15 | Maturity Date (For closed-end funds) | NA |
| 16 | Benchmark (Tier 1) | NIFTY Small Cap 250 TRI |
| 17 | Benchmark (Tier 2) | - |
| 18 | Fund Manager Name | Venugopal Manghat, Cheenu Gupta, Sonal Gupta |
| 19 | Fund Manager Type (Primary/Comanage/Description) | Primary, Primary, for Foreign securities |
| 20 | Fund Manager From Date | Fund Manager Date - Venugopal Manghat - Dec 17, 2019, Cheenu Gupta, - Oct 1, 2023, Sonal Gupta - 05-July -21 |
| 21 | Annual Expense (Stated maximum) | Regular 1.70, Direct 0.68 |
| 22 | Exit Load (if applicable) | If the units redeemed or switched out are upto 10% of the units purchased or switched in ("the limit") within 1 year from the date of allotment – Nil. If units redeemed or switched out are over and above the limit within 1 year from the date of allotment – 1%. If units are redeemed or switched out on or after 1 year from the date of allotment – Nil. |
| 23 | Custodian | Citibank, N.A., 11th Floor, First International Financial Centre (FIC) C-54 & C-55, G Block, Bandra Kurla Complex, Bandra (East), Mumbai 400 051 |
| 24 | Auditor | MSKA & Associates |
| 25 | Registrar | Computer Age Management Services Limited |
| 26 | RTA Code (To be phased out) | HMEF |
| 27 | Listing Details | NA |
| 28 | ISINs | HSBC Small Cap Fund - Direct Plan - Growth - INF917K01QA1, HSBC Small Cap Fund - Direct Plan - IDCW Payout - INF917K01PZ0, HSBC Small Cap Fund - Direct Plan - IDCW Reinvestment - INF917K01XP5, HSBC Small Cap Fund - Regular Plan - Growth - INF917K01QC7, HSBC Small Cap Fund - Regular Plan - IDCW Payout - INF917K01QB9, HSBC Small Cap Fund - Regular Plan - IDCW Reinvestment - INF917K01XQ3 |
| 29 | AMFI Codes (To be phased out) | HSBC Small Cap Fund - Direct Growth - 151130, HSBC Small Cap Fund - Direct IDCW - 151132, HSBC Small Cap Fund - Regular Growth - 151133, HSBC Small Cap Fund - Regular IDCW - 151131 |
| 30 | SEBI Codes | LTMF/O/E/SCF/14/02/0023 |
| 31 | Minimum Application Amount | 5000 |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | 1000 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | 500 |
| 36 | Minimum Redemption Amount in Units | 50 |
| 37 | Minimum Balance Amount (if applicable) | NA |
| 38 | Minimum Balance Amount in Units (if applicable) | NA |
| 39 | Max Investment Amount | NA |
| 40 | Minimum Switch Amount (if applicable) | 5000 |
| 41 | Minimum Switch Units | NA |
| 42 | Switch Multiple Amount (if applicable) | NA |
| 43 | Switch Multiple Units (if applicable) | NA |
| 44 | Max Switch Amount | NA |
| 45 | Max Switch Units (if applicable) | NA |
| 46 | Swing Pricing (if applicable) | NA |
| 47 | Side-pocketing (if applicable) | N.A. |
| 48 | SIP SWP & STP Details: Frequency | SIP - Weekly, Monthly, Quarterly SWP - Monthly, Quarterly, Half Year, Annual STP - Weekly, Monthly, Quarterly, Fortnightly, Daily (Business Days) |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - 500 Weekly 12; 500 Monthly (subject to total minimum investment of Rs. 6,000); 1500 Quarterly 4 SWP - 500 STP - 500 |
| 50 | SIP SWP & STP Details: In multiple of | 1 |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - Weekly 12; Quarterly 4 SWP - 12 STP - 12 |

| SCHEME SUMMARY DOCUMENT | | |
|-------------------------|--|-----------|
| 52 | SIP SWP & STP Details: Dates | Any Dates |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | NA |