

| SR NO | PARTICULARS  | DETAILS  |
|-------|--|--|
| 1     | <b>Fund Name</b>   | HDFC Small Cap Fund  |
| 2     | <b>Options(Regular &amp; Direct)</b>                                 | Plans:Regular and Direct. Each Plan offers the following sub-options:<br>a)Growth Option.<br>b)Income Distribution cum Capital Withdrawal (IDCW) Option. This Option offers following Sub-Options / facilities:<br>• Payout of Income Distribution cum Capital Withdrawal (IDCW) Option / facility and<br>• Re-investment of Income Distribution cum Capital Withdrawal (IDCW) Option / facility.  |
| 3     | <b>Fund Type</b>   | An open ended equity scheme predominantly investing in small cap stocks  |
| 4     | <b>Riskometer(At the time of launch)</b>                             | Not available  |
| 5     | <b>Riskometer(as on August 31, 2022)</b>                             | Very High Risk<br>Investors understand that their principal will be at Very High Risk  |
| 6     | <b>Category as per SEBI Categorization Circular</b>                  | Small Cap Fund   |
| 7     | <b>Potential Risk Class(if applicable,as on date)</b>                | Not applicable   |
| 8     | <b>Description-Ojective of the Scheme</b>                            | To provide long-term capital appreciation /income by investing predominantly in Small-Cap companies.<br>There is no assurance that the investment objective of the Scheme will be realized.  |
| 9     | <b>Stated Asset Allocation</b>                                       | Equity and equity related instruments of Small Cap companies** : 65%- 100%: High;<br>Equity and equity related instruments other than Small Cap companies: 0% - 35%: High;<br>Debt Securities (including securitised debt) and money market instruments: 0% - 35%: Low to Medium;<br>Units issued by REITs and InvITs: 0% - 10%: Medium to High;<br>Non-convertible preference shares: 0% - 10%: Low to Medium;<br><br>** Investment universe of "Small Cap":<br>• The investment universe of "Small Cap" shall comprise companies as defined by SEBI from time to time.<br>• In terms of SEBI circular SEBI/ HO/ IMD/ DF3/ CIR/ P/ 2017/ 114 dated October 6, 2017, the universe of "Small Cap" shall consist of 251st company onwards in terms of full market capitalization.The Scheme may invest up to 35% of its total assets in foreign securities. The Scheme may invest upto 100% of its total assets in Derivatives.The Scheme may undertake (i) repo / reverse repo transactions in Corporate Debt Securities (ii) Credit Default Swaps; and (iii) Short Selling and such other transactions in accordance with guidelines issued by SEBI from time to time. |
| 10    | <b>Face Value</b>  | Rs.10  |
| 11    | <b>NFO Open Date</b>   | Not available  |
| 12    | <b>NFO Close Date</b>  | Not available  |
| 13    | <b>Allotment Date</b>  | April 03, 2008   |
| 14    | <b>Reopen Date</b>   | Not available  |
| 15    | <b>Maturity Date(For Close Ended Funds)</b>                          | Not applicable   |
| 16    | <b>Benchmark(Tier-1)</b>   | S&P BSE 250 SmallCap (Total Returns Index)   |
| 17    | <b>Benchmark(Tier-2)</b>   | Not applicable   |
| 18    | <b>Fund Manager 1-Name (Dedicated Fund Manager wherever)</b>         | Mr.Chirag Setalvad   |
| 19    | <b>Fund Manager 1-Type(To be repeated for all the fund managers)</b> | Fund Manager of the scheme   |
| 20    | <b>Fund Manager 1-From Date</b>                                      | June 28, 2014  |
| 21    | <b>Fund Manager 2-Name (Dedicated Fund Manager wherever)</b>         | Mr. Priya Ranjan   |
| 22    | <b>Fund Manager 2-Type(To be repeated for all the fund managers)</b> | Dedicated Fund Manager for Overseas Investments  |
| 23    | <b>Fund Manager 2-From Date</b>                                      | May 01, 2022   |
| 24    | <b>Annual Expenses(Stated Maximum)</b>                               | Regular Plan: 1.74%<br>Direct Plan: 0.83% (as on August 31, 2022)  |

|  |  |   |
|--|--|---|
| 25   | <b>Exit Load(if applicable)</b>                            | In respect of each purchase/ switch-in of units, an Exit Load of 1.00% is payable if Units are redeemed/switched-out within 1 year from the date of allotment. No Exit Load is payable if Units are redeemed/ switched-out after 1 year from the date of allotment.   |
| 26   | <b>Custodian</b>   | Citibank N.A  |
| 27   | <b>Auditor</b>   | S.R. Batliboi & Co. LLP – Chartered Accountants   |
| 28   | <b>Registrar</b>   | Computer Age Management Services Limited  |
| 29   | <b>RTA Code(to be phased out)</b>                          | HDFCSMALLF  |
| 30   | <b>Listing Details</b>                                     | Not applicable  |
| 31   | <b>ISINs</b>   | HDFC SMALL CAP FUND - REGULAR PLAN - GROWTH OPTION - INF179KA1RZ8<br>HDFC SMALL CAP FUND - REGULAR PLAN - IDCW PAYOUT OPTION - INF179KA1SA9<br>HDFC SMALL CAP FUND - REGULAR PLAN – IDCW REINVESTMENT OPTION - INF179KA1SB7<br>HDFC SMALL CAP FUND - DIRECT PLAN - GROWTH OPTION - INF179KA1RW5<br>HDFC SMALL CAP FUND - DIRECT PLAN - IDCW PAYOUT OPTION - INF179KA1RX3<br>HDFC SMALL CAP FUND - DIRECT PLAN - IDCW REINVESTMENT OPTION - INF179KA1RY1 |
| 32   | <b>AMFI Codes(to be phased out)</b>                        | 130501- HDFC Small Cap Fund - IDCW Option<br>130504 -HDFC Small Cap Fund - IDCW Option - Direct Plan<br>130502-HDFC Small Cap Fund - Growth Option<br>130503- HDFC Small Cap Fund - Growth Option - Direct Plan   |
| 33   | <b>SEBI Codes</b>  | HDFC/O/E/SCF/07/03/0014   |
| <b>INVESTMENT AMOUNT DETAILS</b>   |  |   |
| 34   | <b>Minimum Application Amount</b>                          | Rs.100  |
| 35   | <b>Minimum Application Amount in multiples of Rs.</b>      | Any amount  |
| 36   | <b>Minimum Additional Amount</b>                           | Rs.100  |
| 37   | <b>Minimum Additional Amount in multiples of Rs.</b>       | Any amount  |
| 38   | <b>Minimum Redemption Amount in Rs.</b>                    | Rs.100 and and multiples of Rs.1 thereafter   |
| 39   | <b>Minimum Redemption Amount in Units</b>                  | No minimum redemption criterion for Unit based redemption   |
| 40   | <b>Minimum Balance Amount (if applicable)</b>              | There is no minimum balance amount requirement.   |
| 41   | <b>Minimum Balance Amount in Units(if applicable)</b>      | There is no minimum balance amount in units requirement.  |
| 42   | <b>Maximum Investment Amount</b>                           | Not Applicable  |
| 43   | <b>Minimum Switch Amount (if applicable)</b>               | Switch - in: Rs.100 and any amount thereafter. Additional Amount: Rs. 100<br>Switch-out :Rs. 100 and multiples of Rs. 1/- thereafter  |
| 44   | <b>Minimum Switch Units</b>                                | Not applicable  |
| 45   | <b>Switch Multiple Amount (if applicable)</b>              | Any amount  |
| 46   | <b>Switch Multiple Units (if applicable)</b>               | Any amount  |
| 47   | <b>Maximum Switch amount</b>                               | Switch in: Not Applicable<br>Switch out: Not applicable.  |
| 48   | <b>Maximum Switch Units (if applicable)</b>                | Switch in: Not Applicable<br>Switch out: Not applicable.  |
| 49   | <b>Swing Pricing (if applicable)</b>                       | Not applicable  |
| 50   | <b>Side Pocketing (if applicable) Segregated Portfolio</b> | Enabled but no segregated portfolio created   |
| <b>SIP (SYSTEMATIC INVESTMENT PLAN)DETAILS, SWP(SYSTEMATIC WITHDRAWALS PLAN) DETAILS,STP(SYSTEMATIC TRANSFER PLAN) DETAILS</b> |  |   |
| 51   | <b>Frequency</b>   | <b>SIP</b><br>For SIP - Daily,Weekly,Monthly,Quarterly,Half Yearly and Yearly<br><b>SWP</b><br>Fixed SWP- Monthly,Quarterly,Half Yearly,Yearly<br>Variable SWP- Quarterly,Half Yearly,Yearly<br><b>STP</b><br>Fixed Systematic Transfer Plan(FSTP)- Daily,Weekly,Monthly,Quarterly<br>Capital Appreciation Systematic Transfer Plan(CASTP)- Monthly,Quarterly   |

|    |                        |   |
|----|------------------------|---|
| 52 | Minimum Amount         | <p><b><u>SIP</u></b><br/> For DSIP, WSIP and MSIP : Rs. 100 and in multiples of Re. 1 thereafter;<br/> For QSIP: Rs. 1,500- Rs.2,999 and in multiples of Re. 1 thereafter; Rs 3,000 and above in multiples of Re. 1 thereafter;<br/> For HYSIP: Rs. 2,500 and above in multiples of Re. 1 thereafter.<br/> For YSIP - Rs. 5,000 and above in multiples of Re.1 therefater</p> <p><b><u>SWP</u></b><br/> Fixed SWP- Rs.500; Variable SWP- Rs.300;</p> <p><b><u>STP</u></b><br/> Under Daily FSTP: Rs 500; Under Weekly FSTP : Rs 500; Under Monthly FSTP : Rs 1,000 ;<br/> Under Quarterly FSTP : Rs 3,000; Under Monthly CASTP: Rs 300 Under Quarterly CASTP: Rs 1,000</p>  |
| 53 | In Multiple of         | <p><b><u>SIP</u></b> : Re. 1<br/> <b><u>SWP</u></b>: For Fixed SWP: In multiples of Rs.100 therefater, Variable SWP : Not Applicable,<br/> <b><u>STP</u></b>:<br/> Under Daily FSTP :In Multiples of Rs 100 therefater<br/> Under Weekly FSTP : Any amount<br/> Under Monthly FSTP : In Multiples of Rs 100 therefater<br/> Under Quarterly FSTP: In Multiples of Rs 100 therefater</p>   |
| 54 | Minimum Instalments    | <p><b><u>SIP</u></b>:<br/> Minimum number of installments under DSIP, WSIP and MSIP - 6<br/> Minimum number of installments under QSIP :<br/> - In respect of each SIP Installment between Rs.1,500 to Rs.2,999 in value- 4<br/> - In respect of each SIP Installment equal to or greater than Rs. 3,000 in value- 2<br/> Minimum number of installments under HYSIP:<br/> - In respect of each SIP Installment equal to or greater than Rs. 2,500 in value- 2<br/> Minimum number of installments under YSIP:<br/> - In respect of each SIP Installment equal to or greater than Rs. 5,000 in value- 1</p> <p><b><u>SWP</u></b>:<br/> For Fixed and Variable SWP- 2</p> <p><b><u>STP</u></b>:<br/> Under Daily FSTP:<br/> -where installment amount is less than Rs. 1,000 : 12<br/> - where installment amount is equal to or greater than Rs. 1,000: 6<br/> Under Weekly STP :<br/> - Where installment amount is less than Rs. 1,000: 12 installments<br/> - Where installment amount is equal to or greater than Rs. 1,000: 6 installments<br/> However, for weekly STP in equity linked savings schemes, there should be a minimum of 6 installments for enrollment.<br/> Under Monthly FSTP &amp; Monthly CASTP : - Minimum 6 installments, Under Quarterly FSTP &amp; Quarterly CASTP : - Minimum 2 installments.Further, the minimum balance in the Unit holders account or the minimum amount of application at the time of enrolment for STP in the Transferor Scheme should be Rs. 12,000. There will be no maximum duration for STP enrolment.</p> |
| 55 | Dates                  | <p><b><u>SIP</u></b>:<br/> For Daily SIP- All Business Days<br/> For Weekly SIP- Any business day between Monday to Friday, (Default date is Wednesday)<br/> For all other frequnecies - Any date fo the month. If it happens to be a non-Business Day, SIP will be processed on the next Business Day (Default date = 10th of the month)</p> <p><b><u>SWP</u></b>:<br/> For Fixed and Variable SWP- Any date. Default Date: 25th</p> <p><b><u>STP</u></b><br/> For Daily FSTP- All Business days<br/> For Weekly FSTP-Monday,Tuesday,Wednesday,Thursday,Friday (Default Day)<br/> For Monthly FSTP and CASTP - 1,5,10#,15,20,25<br/> For Quarterly FSTP and CASTP - 1,5,10#,15,20,25<br/> #Default Date</p>  |
| 56 | Maximum Amount(if any) | Not Applicable  |

