

| Fields | SCHEME SUMMARY DOCUMENT | |
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| 1 | Fund Name | HDFC Banking & Financial Services Fund |
| 2 | Option Names (Regular & Direct) | Plans:Regular and Direct. Each Plan offers the following sub-options: a)Growth Option. b)Income Distribution cum Capital Withdrawal (IDCW) Option. This Option offers following Sub-Options / facilities: • Payout of Income Distribution cum Capital Withdrawal (IDCW) Option / facility and • Re-investment of Income Distribution cum Capital Withdrawal (IDCW) Option / facility. |
| 3 | Fund Type | An open ended equity scheme investing in Banking and Financial Services Sector. |
| 4 | Riskometer (At the time of Launch) | Very High Risk Investors understand that their principal will be at Very High Risk |
| 5 | Riskometer (as on Date) | Very High Risk Investors understand that their principal will be at Very High Risk |
| 6 | Category as Per SEBI Categorization Circular | Sectoral Fund |
| 7 | Potential Risk Class (as on date) | Not applicable |
| 8 | Description, Objective of the scheme | To provide long-term capital appreciation by investing predominantly in equity and equity related instruments of companies engaged in banking and financial services. There is no assurance that the investment objective of the Scheme will be realized. |
| 9 | Stated Asset Allocation | Equity and equity related instruments of banking and financial services companies: 80% -100% : Medium to High; Equity and Equity related instruments of companies other than above: 0%- 20% : Medium to High; Non-convertible preference shares: 0%-10% : Low to Medium; Units of REITs and InvITs: 0%- 10% : Medium to High; Debt securities*, money market instruments and Fixed Income Derivatives: 0%- 20% : Low to Medium; * including securitised debt, other structured obligations (SO), credit enhanced debt (CE).The Scheme being sectoral in nature, the upper ceiling on investments made will be in accordance with their weightage in the Benchmark Index i.e. NIFTY Financial Services Index or 10% of the NAV of the Scheme whichever is higher. The portfolio of equity and equity related instruments of banking and financial services companies will comprise companies which are constituents of the Benchmark Index as well of other companies which are classified / covered under financial services sector as detailed under section "Investment Strategy". |
| 10 | Face Value | Rs. 10 |
| 11 | NFO Open Date | June 11, 2021 |
| 12 | NFO Close date | June 25, 2021 |
| 13 | Allotment Date | July 01, 2021 |
| 14 | Reopen Date | Not available |
| 15 | Maturity Date (For closed-end funds) | Not applicable |
| 16 | Benchmark (Tier 1) | NIFTY Financial Services Index (Total Returns Index) |
| 17 | Benchmark (Tier 2) | Not applicable |
| 18 | Fund Manager Name | FM 1 - Mr.Anand Laddha, FM 2 - Mr. Dhruv Muchhal |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM 1 - Fund Manager of the scheme, FM 2 - Dedicated Fund Manager for Overseas Investments |
| 20 | Fund Manager From Date | FM 1 - Managing Since July 01, 2021 ; FM 2 - Managing Since June 22, 2023 |
| 21 | Annual Expense (Stated maximum) | Regular 1.9300, Direct 0.5200 |
| 22 | Exit Load (if applicable) | In respect of each purchase/switch in of units- an Exit load of 1% is payable if units are redeemed/switched out within 30 days from the date of allotment. No Exit Load is payable if units are redeemed/switched-out after 30 days from the date of allotment. |
| 23 | Custodian | The Hongkong and Shanghai Banking Corporation Limited |
| 24 | Auditor | S.R. Batliboi & Co. LLP – Chartered Accountants |
| 25 | Registrar | Computer Age Management Services Limited |
| 26 | RTA Code (To be phased out) | HDFCBKGFSE |
| 27 | Listing Details | Not applicable |
| 28 | ISINs | HDFC BANKING & FINANCIAL SERVICES FUND - REGULAR PLAN - GROWTH OPTION -INF179KC1BJ4 HDFC BANKING & FINANCIAL SERVICES FUND - REGULAR PLAN - IDCW PAYOUT OPTION - INF179KC1BK2 HDFC BANKING & FINANCIAL SERVICES FUND - REGULAR PLAN - IDCW REINVESTMENT OPTION- INF179KC1BL0 HDFC BANKING & FINANCIAL SERVICES FUND - DIRECT PLAN - GROWTH OPTION- INF179KC1BG0 HDFC BANKING & FINANCIAL SERVICES FUND - DIRECT PLAN - IDCW PAYOUT OPTION- INF179KC1BH8 HDFC BANKING & FINANCIAL SERVICES FUND - DIRECT PLAN - IDCW REINVESTMENT OPTION- INF179KC1BI6 |
| 29 | AMFI Codes (To be phased out) | 148984- HDFC Banking & Financial Services Fund - IDCW Plan 148985- HDFC Banking & Financial Services Fund - IDCW Option Direct Plan 148987- HDFC Banking & Financial Services Fund - Growth Plan 148986- HDFC Banking & Financial Services Fund - Growth Option Direct Plan |
| 30 | SEBI Codes | HDFC/O/E/SEC/21/02/0076 |
| 31 | Minimum Application Amount | Rs.100 |
| 32 | Minimum Application Amount in multiples of Rs. | Any amount |
| 33 | Minimum Additional Amount | Rs.100 |
| 34 | Minimum Additional Amount in multiples of Rs. | Any amount |
| 35 | Minimum Redemption Amount in Rs. | Rs.100 and and multiples of Rs.1 thereafter |
| 36 | Minimum Redemption Amount in Units | No minimum redemption criterion for Unit based redemption |
| 37 | Minimum Balance Amount (if applicable) | There is no minimum balance amount requirement. |
| 38 | Minimum Balance Amount in Units (if applicable) | There is no minimum balance amount in units requirement. |
| 39 | Max Investment Amount | Not Applicable |
| 40 | Minimum Switch Amount (if applicable) | Switch - in: Rs.100 and any amount thereafter. Additional Amount: Rs. 100 Switch-out :Rs. 100 and multiples of Rs. 1/- thereafter |
| 41 | Minimum Switch Units | Not applicable |
| 42 | Switch Multiple Amount (if applicable) | Any amount |
| 43 | Switch Multiple Units (if applicable) | Any amount |
| 44 | Max Switch Amount | Switch in: Not Applicable Switch out: Not applicable. |

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| 45 | Max Switch Units (if applicable) | Switch in: Not Applicable. Switch out: Not applicable. |
| 46 | Swing Pricing (if applicable) | Not applicable |
| 47 | Side-pocketing (if applicable) | Not Applicable |
| 48 | SIP SWP & STP Details: Frequency | SIP For SIP - Daily,Weekly,Monthly,Quarterly,Half Yearly and Yearly SWP Fixed SWP- Monthly,Quarterly,Half Yearly,Yearly Variable SWP- Quarterly,Half Yearly,Yearly STP Fixed Systematic Transfer Plan(FSTP)- Daily,Weekly,Monthly,Quarterly Capital Appreciation Systematic Transfer Plan(CASTP)- Monthly,Quarterly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP For DSIP, WSIP and MSIP : Rs. 100 and in multiples of Re. 1 thereafter; For QSIP: Rs. 1,500- Rs.2,999 and in multiples of Re. 1 thereafter; Rs 3,000 and above in multiples of Re. 1 thereafter; For HYSIP: Rs. 2,500 and above in multiples of Re. 1 thereafter. For YSIP - Rs. 5,000 and above in multiples of Re.1 therefater SWP Fixed SWP- Rs.100; Variable SWP- Rs.300; STP Under Daily FSTP: Rs 500; Under Weekly FSTP : Rs 500; Under Monthly FSTP : Rs 1,000 ; Under Quarterly FSTP : Rs 3,000; Under Monthly CASTP: Rs 300 Under Quarterly CASTP: Rs 1,000 |
| 50 | SIP SWP & STP Details: In multiple of | SIP : Re. 1 SWP: For Fixed SWP: In multiples of Rs.100 therefater, Variable SWP : Not Applicable, STP: Under Daily FSTP :In Multiples of Rs 100 therefater Under Weekly FSTP : Any amount Under Monthly FSTP : In Multiples of Rs 100 therefater Under Quarterly FSTP: In Multiples of Rs 100 therefater |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP: Minimum number of installments under DSIP, WSIP and MSIP - 6 Minimum number of installments under QSIP : - In respect of each SIP Installment between Rs.1,500 to Rs.2,999 in value- 4 - In respect of each SIP Installment equal to or greater than Rs. 3,000 in value- 2 Minimum number of installments under HYSIP: - In respect of each SIP Installment equal to or greater than Rs. 2,500 in value- 2 Minimum number of installments under YSIP: - In respect of each SIP Installment equal to or greater than Rs. 5,000 in value- 1 SWP: For Fixed and Variable SWP- 2 STP: Under Daily FSTP: -where installment amount is less than Rs. 1,000 : 12 - where installment amount is equal to or greater than Rs. 1,000: 6 Under Weekly STP : - Where installment amount is less than Rs. 1,000: 12 installments - Where installment amount is equal to or greater than Rs. 1,000: 6 installments However, for weekly STP in equity linked savings schemes, there should be a minimum of 6 installments for enrollment. Under Monthly FSTP & Monthly CASTP : - Minimum 6 installments, Under Quarterly FSTP & Quarterly CASTP : - Minimum 2 installments.Further, the minimum balance in the Unit holders account or the minimum amount of application at the time of enrolment for STP in the Transferor Scheme should be Rs. 12,000. There will be no maximum duration for STP enrolment. |
| 52 | SIP SWP & STP Details: Dates | SIP: For Daily SIP- All Business Days For Weekly SIP- Any business day between Monday to Friday, (Default date is Wednesday) For all other frequencies - Any date fo the month. If it happens to be a non-Business Day, SIP will be processed on the next Business Day (Default date = 10th of the month) SWP: For Fixed and Variable SWP- Any date. Default Date: 25th STP For Daily FSTP- All Business days For Weekly FSTP-Monday,Tuesday,Wednesday,Thursday,Friday (Default Day) For Monthly FSTP and CASTP - 1,5,10#,15,20,25 For Quarterly FSTP and CASTP - 1,5,10#,15,20,25 #Default Date |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable |