

**National Stock Exchange Of India Limited****NOTICE**

Notice is hereby given to the Claimants/Constituents who have lodged their claims with the Defaulters' Section of the National Stock Exchange of India Limited (Exchange) against the defaulter and expelled trading members (members) whose trading terminals were disabled before November 18, 2020, and are dissatisfied with the outcome of their respective claims, to file review applications against the same.

Exchange vide its Circular Ref. No. 04/2020 dated November 18,2020 and Circular Ref. No. 01/2021 dated February 17,2021 provides that a Claimant can file upto two review applications i.e. first review against the outcome of initial claim and second review against outcome of first review.

Therefore, only those Claimants/Constituents of the members who have been declared defaulter/expelled from October 2017 (refer Annexure A for the list) are eligible to file review application who have either not filed the first review application or have filed only the first review application, as the case may be. The Claimants/Constituents are further informed to lodge their review applications, if any, within 90 days of issue of Circular Ref No. 25/2023 dated August 03, 2023 by the Exchange i.e. on or before November 01, 2023. Any review request received beyond the said period shall not be accepted.

The review application can either be lodged online on the Exchange portal <https://investorhelpline.nseindia.com/NICEPLUS/welcomeUser> (claimants who have filed an online claim) or through an email at [defaultisc@nse.co.in](mailto:defaultisc@nse.co.in) along with additional supporting documents.

The Exchange will not consider the review applications filed by those Claimants/Constituents who have already exercised their right of filing two review applications.

All review applications submitted by eligible Claimants/Constituents will be considered for processing, if found due and payable in accordance with the Rules, Byelaws, Regulations, Guidelines etc. of the Exchange, SEBI circulars and Regulations and the maximum compensation limit per investor out of the Investor Protection Fund is Rs. 25 lacs (inclusive of initial claims and two review applications) as on the date of this Notice.

In case of any queries, you may contact us on [defaultisc@nse.co.in](mailto:defaultisc@nse.co.in) or on toll free number 1800 266 0050 (Option – 5).

**ANNEXURE A**

<b>Sr No.</b>	<b>Member Code</b>	<b>Members</b>	<b>Member Status</b>	<b>Defaulter date</b>
1	11834	Amrapali Aadya Trading & Investment Pvt. Ltd.	Defaulter and Expelled	11-Oct-17
2	08137	Enrich Fin & Securities Ltd	Expelled	12-Jan-18
3	08769	CPR Capital Services Ltd	Expelled	5-Mar-18
4	12986	Ficus Securities Pvt Ltd	Defaulter and Expelled	5-Mar-18
5	11686	Wealth Mantra Ltd	Expelled	13-Apr-18
6	14673	F6 Finserve Private Limited	Defaulter and Expelled	13-Apr-18
7	09348	Prem Somani Share Brokers Pvt. Ltd.	Defaulter and Expelled	13-Aug-18
8	90013	Moneyflo Securities Pvt. Ltd	Expelled	24-Aug-18
9	11460	Guinness Securities Ltd	Defaulter and Expelled	6-May-19
10	13477	Raghukul Shares India Private Limited	Defaulter and Expelled	4-Nov-19
11	09033	Credential Stock Brokers Limited	Defaulter and Expelled	4-Nov-19
12	14331	Allied Financial Services Pvt Ltd	Defaulter and Expelled	4-Nov-19
13	12723	Fairwealth Securities Limited	Defaulter and Expelled	14-Jan-20
14	12331	Bma Wealth Creators Limited	Defaulter and Expelled	13-Feb-20
15	12763	Kaynet Finance Limited	Defaulter and Expelled	13-Feb-20
16	12889	Vrise Securities Private Limited	Defaulter and Expelled	13-Feb-20
17	14365	Ksbl Securities Limited	Defaulter and Expelled	28-Feb-20
18	08127	Goldstreet Securities Limited	Expelled	23-Mar-20
19	08256	Quantum Global Securities Limited	Defaulter and Expelled	29-Apr-20
20	12829	Wellindia Securities Limited	Defaulter and Expelled	29-Apr-20
21	11402	Vineet Securities (P) Ltd.	Defaulter and Expelled	30-Apr-20
22	90038	Grovalue Securities Pvt Ltd.	Defaulter and Expelled	30-Apr-20

Date : August 04, 2023