

FAQs on 12 digit NNF IDs

Version 1.0

October 2019

Disclaimer:

“This document/FAQ has been drafted in order to ease Member’s/reader’s understanding of the subject matter. The information and/ or content (collectively ‘Information’) provided herein is general information only and NSE has issued detailed circulars to that effect from time to time. While reasonable care has been exercised to ensure that the information is adequate and reliable, no representation is made by NSE as to its accuracy or completeness and NSE, its affiliates and subsidiaries accept no liability of whatsoever nature for any direct or consequential loss, including without limitation any loss of profits, arising from reliance on this Information. The readers are expected to undertake their own diligence and are advised not to solely rely on this document. Any such reliance shall be at the reader’s own risk. Nothing stated herein shall bind NSE, in any manner whatsoever.”

Background:

Trading members create 12 digit ids to allow their users to access the Exchange trading system using the NNF facility.

1. What is meant by 12 digit NNF ID?

12 digit NNF ids are created by trading members for their approved persons to enable them to access Exchange trading system using the NNF facility.

2. What should be the structure of 12 digit ID?

The structure of the 12 digit ID should be on the basis of the NNF facility it is being used for. Trading members may refer to the below table for creation of 12 digit ids:

NNF facility	12 digit ID	Additional Checkpoints
Computer to Computer Link (CTCL)	Pin code (6 digit), Branch Code (3 digit), Terminal id (3 digit)	Terminal ids and branch ids to be of 3 digits each Trading members to create terminal ids segment-wise and branch-wise in a sequential manner
Internet based trading (IBT)	111111111111 (12 times "1")	Details of NEAT user id enabled for internet trading to be mentioned in the request
Direct	222222222222 (12 times "2")	Details of NEAT user id enabled for DMA to be mentioned in the request
Security	333333333333 (12 times "3")	Details of NEAT user id enabled for STWT to be mentioned in the request

3. Who is an approved user?

An approved user is a person in whose name the NEAT User ID is allotted.

4. Who is an approved person?

An approved person is a person who operates the NNF terminal.

5. Can my approved user have a 12 digit terminal allotted in his name?

An approved user whose NEAT user ID is enabled for NNF can have a 12 digit terminal allotted in their name. Other Approved Users cannot have simultaneously a NEAT user ID and NNF ID in their name.

6. Who is an approved person of authorized person?

If the NNF terminal is allotted by a registered authorized Person of the member to a person other than its employee who is holding a valid certificate, such a person shall be treated as "Approved Person of Authorized Person".

7. What are the purposes for which NNF IDs can be used?

NNF IDs can be used for Trading, Testing, Admin or View purposes.

8. Is certification mandatory for all the above purposes?

All approved persons are required to have valid certification. If the certificate has expired or is no longer valid, trading members are required to de-activate the NNF ID.

9. Is certification mandatory for NNF IDs created for CM segment?

As per existing requirement, certification is not mandatory for NNF IDs created for CM segment.

10. Is it mandatory to report 12 digit NNF IDs created to the Exchange?

Yes, trading members are required to report the details of 12 digit NNF terminals to the Exchange before routing any order through such terminals to avoid mismatches.

11. Where do I need to report the 12 digit NNF IDs details?

All 12 digit NNF IDs details need to be reported on ENIT on one of the below paths:

- *For Activation: Trade > Member Reporting Pre Trade > CTCL ID Activation*
- *For Modification: Trade > Member Reporting Pre Trade > CTCL ID Modification*
- *For Deactivation: Trade > Member Reporting Pre Trade > CTCL ID Deactivation*
- *For Bulk Reporting(activation/deactivation/modification): Trade > Member Reporting Pre Trade > CTCL Bulk Upload*

12. I have reported 12 digit NNF IDs today. Can I trade using them today?

Yes, trading members can carry out their order activity on the same day post upload of their NNF terminals through ENIT. The 12 digit terminal ID will be considered as active from the date mentioned by the member in the CTCL activation request.

13. How can I activate the same NNF User (individual) to be used on the next day of deactivation of the same user?

In case members intend to deactivate an NNF user and then activate the same user from the next day, then member need to place the deactivation request in advance and ensure the activation date is greater than the deactivation date of that NNF User. Kindly note deactivation date cannot be the same day of request submission date.

14. What all details can I modify for the 12 digit NNF IDs reported by me?

Certain details of office address and proposed user details are modifiable.

15. What happens if I do not report NNF IDs created?

Failure to upload the details or upload of incorrect details will lead to CTCL Id mismatch, and result in levy of penalty.

16. How can I check if there are any mismatches?

Provisional CTCL mismatches file is provided by the Exchange to trading members on daily basis so as to enable them to take immediate corrective action and avoid penalty charges by reporting the correct terminals details. The report is available on the following path in ENIT: Trade > Trade Compliance (Post Trade) > Provisional CTCL Mismatch (Report).

Note: Provisional CTCL Mismatch report is only an additional facility for the ease & convenience of the trading members. Members are required to upload complete and correct NNF IDs details to the exchange before sending orders through the said terminal.

17. Which circular can I refer to for more details?

You may refer to circular “Consolidated Circular on matters relating to User Id request” (Reference no. NSE/MSD/34638 dated April 13, 2017).