

| Fields | Scheme Summary Document | |
|-----------------------------------|--|---|
| 1 | Fund Name | DSP Natural Resources and New Energy Fund |
| 2 | Option Name (Regular/Direct) | Regular Plan & Direct Plan <input type="checkbox"/> Growth* <input type="checkbox"/> Income Distribution cum Capital Withdrawal option (IDCW) - Payout of Income Distribution cum Capital Withdrawal (IDCW) - Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) * default option |
| 3 | Fund Type | An open ended equity scheme investing in Natural Resources and Alternative Energy sector |
| 4 | Riskometer (At the time of Launch) | Very High Risk |
| 5 | Riskometer (As on date) | Very High Risk |
| 6 | Category as per SEBI Categorization Circular | Sectoral/Thematic Fund |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | <p>The primary investment objective of the Scheme is to seek to generate capital appreciation and provide long term growth opportunities by investing in equity and equity related securities of companies domiciled in India whose pre-dominant economic activity is in the:</p> <p>(a) discovery, development, production, or distribution of natural resources, viz., energy, mining etc.;</p> <p>(b) alternative energy and energy technology sectors, with emphasis given to renewable energy, automotive and on-site power generation, energy storage and enabling energy technologies.</p> <p>The Scheme will also invest a certain portion of its corpus in the equity and equity related securities of companies domiciled overseas, which are principally engaged in the discovery, development, production or distribution of natural resources and alternative energy and/or the units/shares of BlackRock Global Funds – Sustainable Energy Fund, BlackRock Global Funds – World Energy Fund and similar other overseas mutual fund schemes. The secondary objective is to generate consistent returns by investing in debt and money market securities. There is no assurance that the investment objective of the Scheme will be realized.</p> |
| 9 | Stated Asset Allocation | <p>1. Equity and Equity related Securities of companies domiciled in India, and principally engaged in the discovery, development, production or distribution of Natural Resources and Alternative Energy: 65% - 100%,</p> <p>2. (a) Equity and Equity related Securities of companies domiciled overseas, and principally engaged in the discovery, development, production or distribution of Natural Resources and Alternative Energy (b) Units/Shares of (i) BGF – Sustainable Energy Fund (ii) BGF – World Energy Fund and (iii) Similar other overseas mutual fund schemes: 0% - 35%</p> <p>3. Debt and Money Market Securities: 0% - 20%</p> |
| 10 | Face Value | Rs. 10/- |
| 11 | NFO Open Date | March 03, 2008 |
| 12 | NFO Close Date | March 31, 2008 |
| 13 | Allotment Date | April 25, 2008 |
| 14 | Reopen Date | April 29, 2008 |
| 15 | Maturity Date (For close-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | 35% S&P BSE Oil & Gas Index + 30% S&P BSE Metal Index + 35% MSCI World Energy 30% Buffer 10/40 Net Total Return |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager 1 - Name | Mr. Rohit Singhania |
| 19 | Fund Manager 1 - Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager 1 - From Date | July 01, 2012 |
| 21 | Fund Manager 2 - Name | Mr. Jay Kothari |
| 22 | Fund Manager 2 - Type (Primary/Comanage/Description) | Dedicated Fund Manager for Overseas Investments |
| 23 | Fund Manager 2 - From Date | March 01, 2013 |
| 24 | Annual Expense (Stated Maximum) | Direct Plan - 1.22 % ; Regular Plan - 2.35 % |
| 25 | Exit Load (if applicable) | Nil |
| 26 | Custodian | Citibank N. A. |
| 27 | Auditor | Walker Chandiosk & Co LLP |
| 28 | Registrar | Computer Age Management Services Limited |
| 29 | RTA Code (To be phased out) | 785 - DSP Natural Resources and New Energy Fund - Direct Plan - Growth 786 - DSP Natural Resources and New Energy Fund - Direct Plan - IDCW 787 - DSP Natural Resources and New Energy Fund - Direct Plan - IDCW - Reinvest 232 - DSP Natural Resources and New Energy Fund - Regular Plan - Growth 233 - DSP Natural Resources and New Energy Fund - Regular Plan - IDCW 386 - DSP Natural Resources and New Energy Fund - Regular Plan - IDCW - Reinvest |
| 30 | Listing Details | Not Applicable |
| 31 | ISINs | INF740K01QA7 - DSP Natural Resources and New Energy Fund - Direct Plan - Growth INF740K01QB5 - DSP Natural Resources and New Energy Fund - Direct Plan - IDCW INF740K01QC3 - DSP Natural Resources and New Energy Fund - Direct Plan - IDCW - Reinvest INF740K01060 - DSP Natural Resources and New Energy Fund - Regular Plan - Growth INF740K01045 - DSP Natural Resources and New Energy Fund - Regular Plan - IDCW INF740K01052 - DSP Natural Resources and New Energy Fund - Regular Plan - IDCW - Reinvest |
| 32 | AMFI Codes (To be phased out) | 119028 - DSP Natural Resources and New Energy Fund - Direct Plan - Growth 119029 - DSP Natural Resources and New Energy Fund - Direct Plan - IDCW 119029 - DSP Natural Resources and New Energy Fund - Direct Plan - IDCW - Reinvest 108202 - DSP Natural Resources and New Energy Fund - Regular Plan - Growth 108203 - DSP Natural Resources and New Energy Fund - Regular Plan - IDCW |
| 33 | SEBI Codes | DSPM/O/E/SEC/06/02/0019 |
| Investment Amount Details: | | |
| 1 | Minimum Application Amount | Rs. 500/- |
| 2 | Minimum Application Amount in multiple of Rs. | Rs. 1/- |
| 3 | Minimum Additional Amount | Rs. 500/- |
| 4 | Minimum Additional Amount in multiple of Rs. | Rs. 1/- |
| 5 | Minimum Redemption Amount in Rs. | 0.01 |
| 6 | Minimum Redemption Amount in Units | 0.001 |
| 7 | Minimum Balance Amount (if applicable) | NA |
| 8 | Minimum Balance Amount in Units (if applicable) | NA |
| 9 | Max Investment Amount | NA |
| 10 | Minimum Switch Amount (if applicable) | 500.00 |
| 11 | Minimum Switch Units | 0.001 |
| 12 | Switch Multiple Amount (if applicable) | 0.01 |
| 13 | Switch Multiple Units (if applicable) | 0.001 |
| 14 | Max Switch Amount | NA |
| 15 | Max Switch Units (if applicable) | NA |
| 16 | Swing Pricing (if applicable) | NA |
| 17 | Side-pocketing (if applicable) | No |
| SIP SWP & STP Details: | | |
| 1 | Frequency | Monthly and Quarterly |
| 2 | Minimum Amount | 500.00 |
| 3 | In multiple of | 1.00 |
| 4 | Minimum Instalments | 6 |
| 5 | Dates | 01,02,03,04,05,06,07,08,09,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27,28,29,30,31 |
| 6 | Maximum Amount (if any) | NA |