

Fields	Scheme Summary Document	
1	Fund Name	DSP Small Cap Fund
2	Option Name (Regular/Direct)	<u>Regular Plan & Direct Plan</u> <input type="checkbox"/> Growth* <input type="checkbox"/> Income Distribution cum Capital Withdrawal option (IDCW) - Payout of Income Distribution cum Capital Withdrawal (IDCW) - Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) * default option
3	Fund Type	An open ended equity scheme predominantly investing in small cap stocks
4	Riskometer (At the time of Launch)	Very High Risk
5	Riskometer (As on February 2022)	Very High Risk
6	Category as per SEBI Categorization Circular	Small Cap Fund
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	The primary investment objective is to seek to generate long term capital appreciation from a portfolio that is substantially constituted of equity and equity related securities of small cap companies. From time to time, the fund manager will also seek participation in other equity and equity related securities to achieve optimal portfolio construction. There is no assurance that the investment objective of the Scheme will be realized
9	Stated Asset Allocation	1. (a) Equity & equity related instruments of small cap companies: 65% - 100%, (b) Other equity & equity related instruments which are in the top 250 stocks by market capitalization: 0% - 35% 2. Debt* and Money Market Securities: 0% - 35% 3. Units issued by REITs & InvITs: 0% - 10% *Debt Instruments may include securitised debts up to 10% of the net assets of the Scheme.
10	Face Value	Rs. 10/-
11	NFO Open Date	May 04, 2007
12	NFO Close Date	May 25, 2007
13	Allotment Date	June 14, 2007
14	Reopen Date	June 20, 2007
15	Maturity Date (For close-end funds)	Not Applicable
16	Benchmark (Tier 1)	S&P BSE 250 SMALL CAP TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager 1 - Name	Mr. Vinit Sambre
19	Fund Manager 1 - Type (Primary/Comanage/Description)	Primary
20	Fund Manager 1 - From Date	June 01, 2010
21	Fund Manager 2 - Name	Mr. Resham Jain
22	Fund Manager 2 - Type (Primary/Comanage/Description)	Comanage
23	Fund Manager 2 - From Date	March 01, 2018
24	Fund Manager 3 - Name	Mr. Jay Kothari
25	Fund Manager 3 - Type (Primary/Comanage/Description)	Dedicated Fund Manager for Overseas Investments
26	Fund Manager 3 - From Date	March 01, 2013
27	Annual Expense (Stated Maximum)	3.01%
28	Exit Load (if applicable)	Holding period from the date of allotment: < 12 months - 1% ≥ 12 months – Nil (as a % of Applicable NAV)
29	Custodian	Citibank N. A.
30	Auditor	Walker ChandioK & Co LLP
31	Registrar	Computer Age Management Services Limited
32	RTA Code (To be phased out)	788 - DSP Small Cap Fund - Direct Plan - Growth 789 - DSP Small Cap Fund - Direct Plan - IDCW 790 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest 157 - DSP Small Cap Fund - Regular Plan - Growth 357 - DSP Small Cap Fund - Regular Plan - IDCW 392 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest
33	Listing Details	Not Applicable
34	ISINs	INF740K01QD1 - DSP Small Cap Fund - Direct Plan - Growth INF740K01QE9 - DSP Small Cap Fund - Direct Plan - IDCW INF740K01QF6 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest INF740K01797 - DSP Small Cap Fund - Regular Plan - Growth INF740K01805 - DSP Small Cap Fund - Regular Plan - IDCW INF740K01AM6 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest
35	AMFI Codes (To be phased out)	119212 - DSP Small Cap Fund - Direct Plan - Growth 119213 - DSP Small Cap Fund - Direct Plan - IDCW 119213 - DSP Small Cap Fund - Direct Plan - IDCW - Reinvest 105989 - DSP Small Cap Fund - Regular Plan - Growth 113153 - DSP Small Cap Fund - Regular Plan - IDCW 113153 - DSP Small Cap Fund - Regular Plan - IDCW - Reinvest
36	SEBI Codes	6
Investment Amount Details:		
1	Minimum Application Amount	Rs. 500/-
2	Minimum Application Amount in multiple of Rs.	Re. 1/-
3	Minimum Additional Amount	Rs. 500/-
4	Minimum Additional Amount in multiple of Rs.	Re. 1/-
5	Minimum Redemption Amount in Rs.	0.01
6	Minimum Redemption Amount in Units	0.001
7	Minimum Balance Amount (if applicable)	NA
8	Minimum Balance Amount in Units (if applicable)	NA
9	Max Investment Amount	NA
10	Minimum Switch Amount (if applicable)	500.00
11	Minimum Switch Units	0.001
12	Switch Multiple Amount (if applicable)	0.01
13	Switch Multiple Units (if applicable)	0.001
14	Max Switch Amount	NA
15	Max Switch Units (if applicable)	NA
16	Swing Pricing (if applicable)	NA
17	Side-pocketing (if applicable)	No
SIP SWP & STP Details:		
1	Frequency	Monthly and Quarterly
2	Minimum Amount	500.00
3	In multiple of	1.00
4	Minimum Instalments	6
5	Dates	01,02,03,04,05,06,07,08,09,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27,28,29,30,31
6	Maximum Amount (if any)	NA